

## *Land Model and Biogeochemistry Working Groups*

# Local Urban Climate-Aware HVAC Control via Reinforcement Learning

Junjie Yu<sup>1</sup>, John S. Schreck<sup>2</sup>, David John Gagne<sup>2</sup>, Keith W. Oleson<sup>3</sup>, Mingfei Sun<sup>4</sup>, David O. Topping<sup>1</sup>, Fengqi You<sup>5</sup>, Zhonghua Zheng<sup>1</sup>

<sup>1</sup> Department of Earth and Environmental Sciences, The University of Manchester, UK

<sup>2</sup> Computational and Information Systems Laboratory, NCAR, USA

<sup>3</sup> Climate and Global Dynamics Laboratory, NCAR, USA

<sup>4</sup> Department of Computer Science, The University of Manchester, UK

<sup>5</sup> College of Engineering, Cornell University, USA

# Urbanization changes land surface processes

**Radiation**

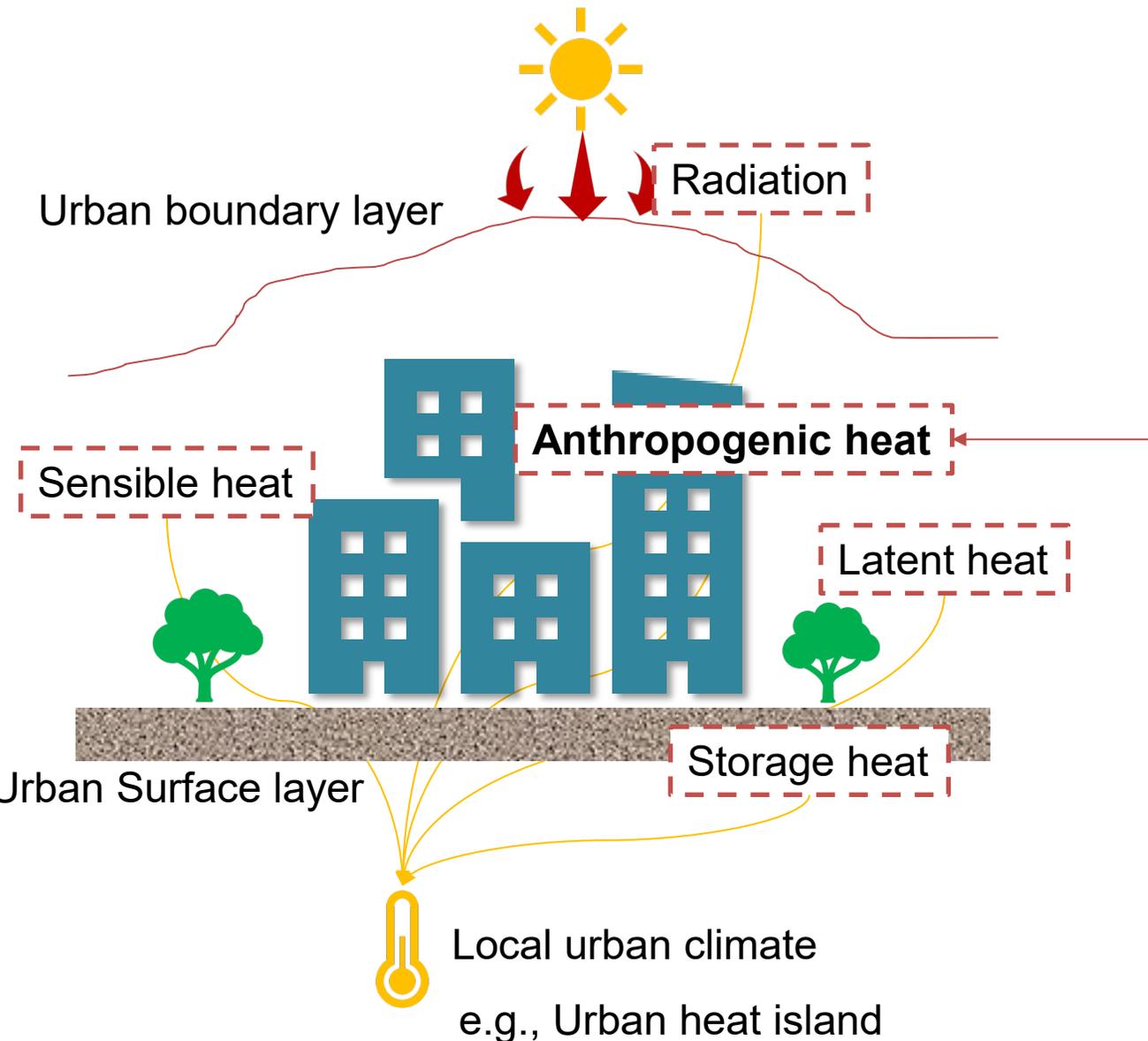
**Turbulenc  
e**

**Anthropogenic  
emission**

...



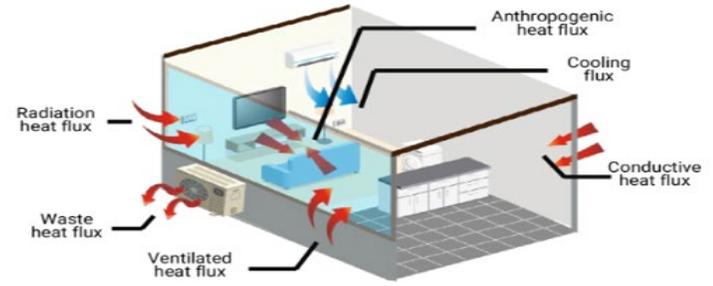
# Heat from HVAC is important for urban climate



Human affected

- 1. Building heat
- 2. Transportation heat
- 3. Human bio
- 4. ...

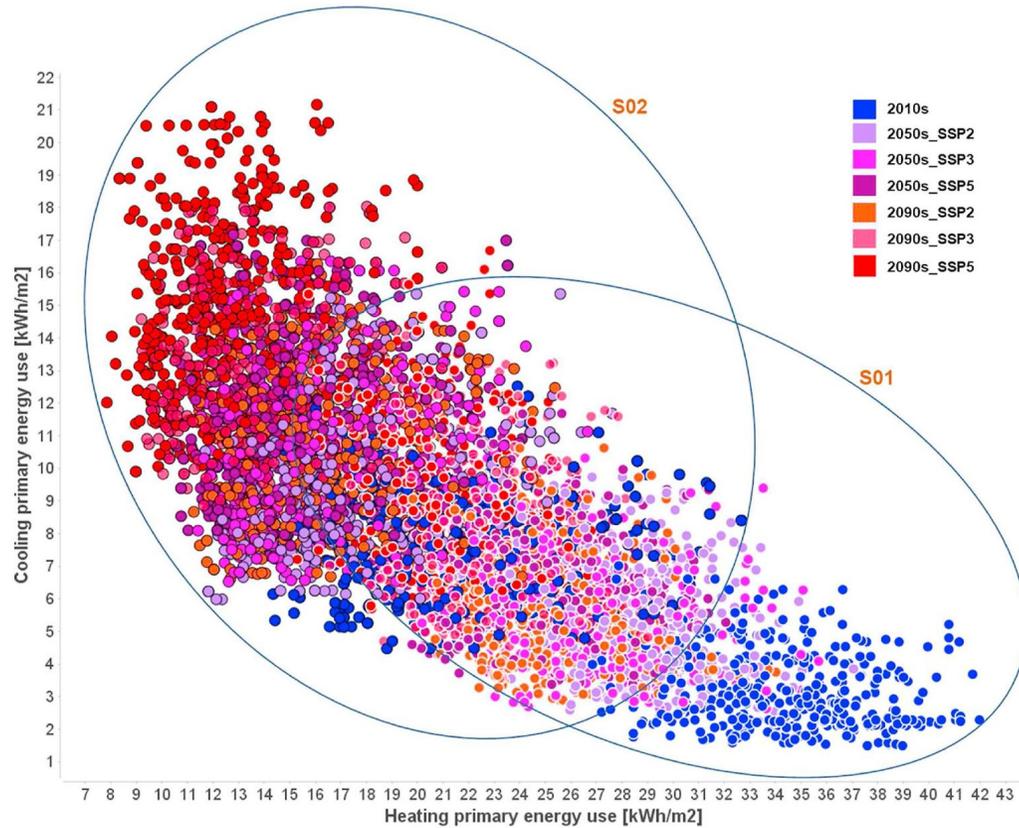
## HVAC of Building heat



**40%**  
HVAC system  
among building energy

# We need dynamic HVAC control

## Climate Change Challenges the HVAC Systems



heating-dominated

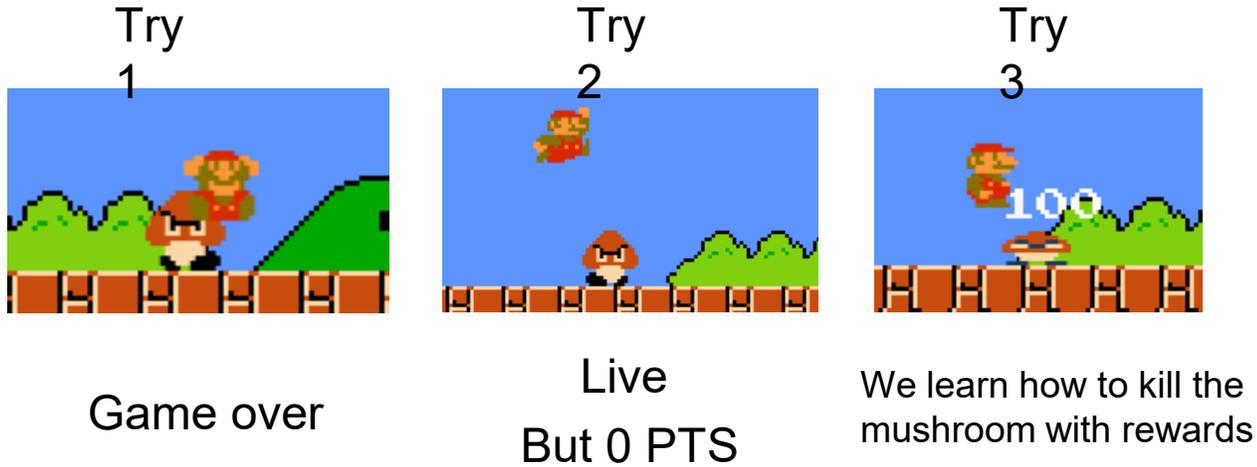


cooling-dominated

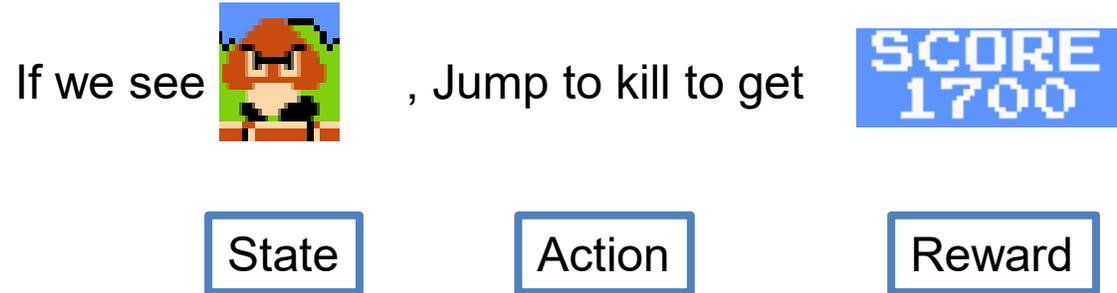
We need dynamic HVAC control.

Source: j.buildenv.2022.109397

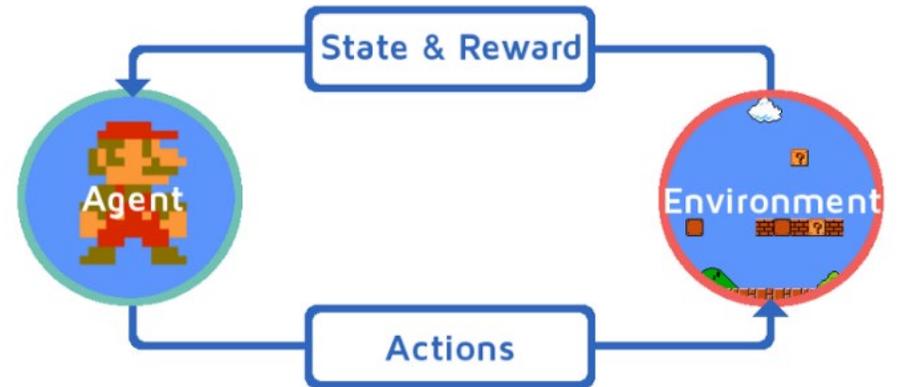
# Reinforcement Learning (RL)



## Learned



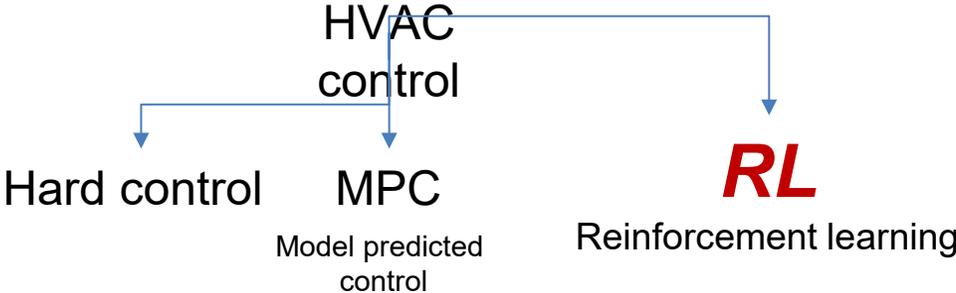
## Reinforcement Learning



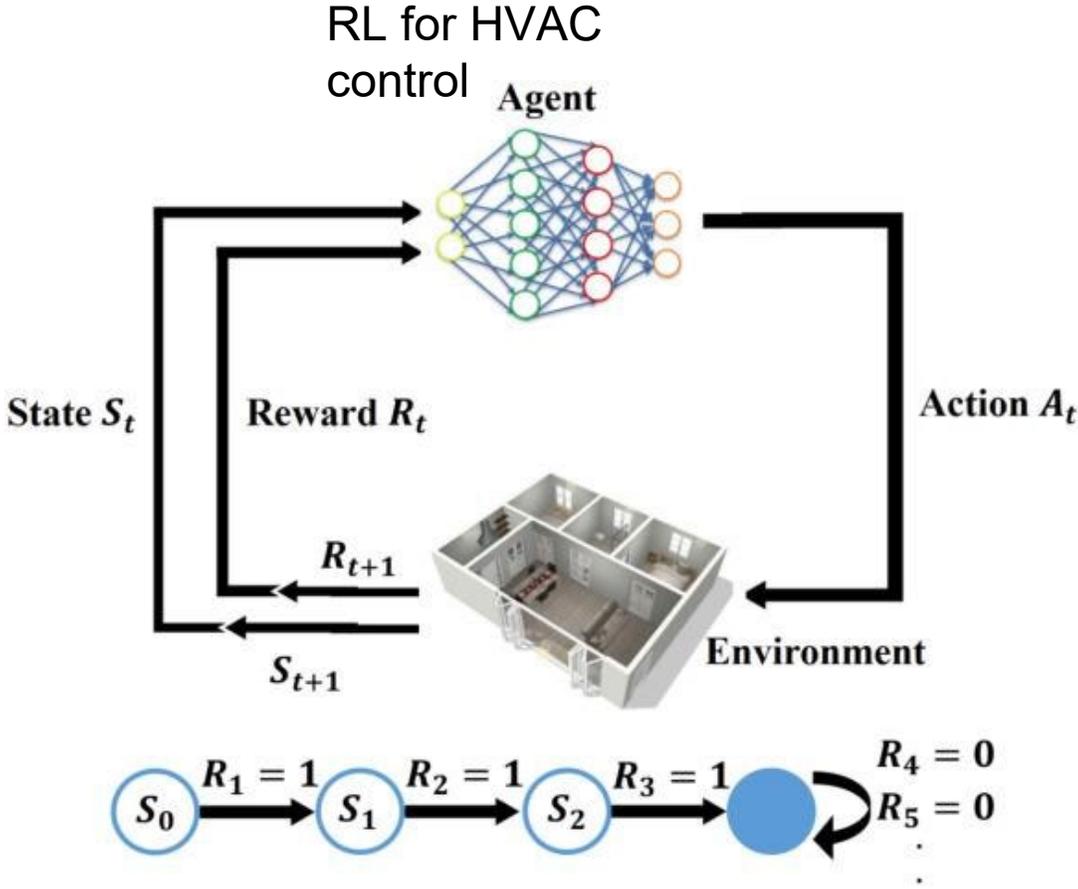
Source: <https://imgtec.eetrend.com/blog/2019/100017372.html>

# RL is an efficient and scalable solution for HVAC control

## No additional infrastructure



Effective, low cost, keep indoor comfort

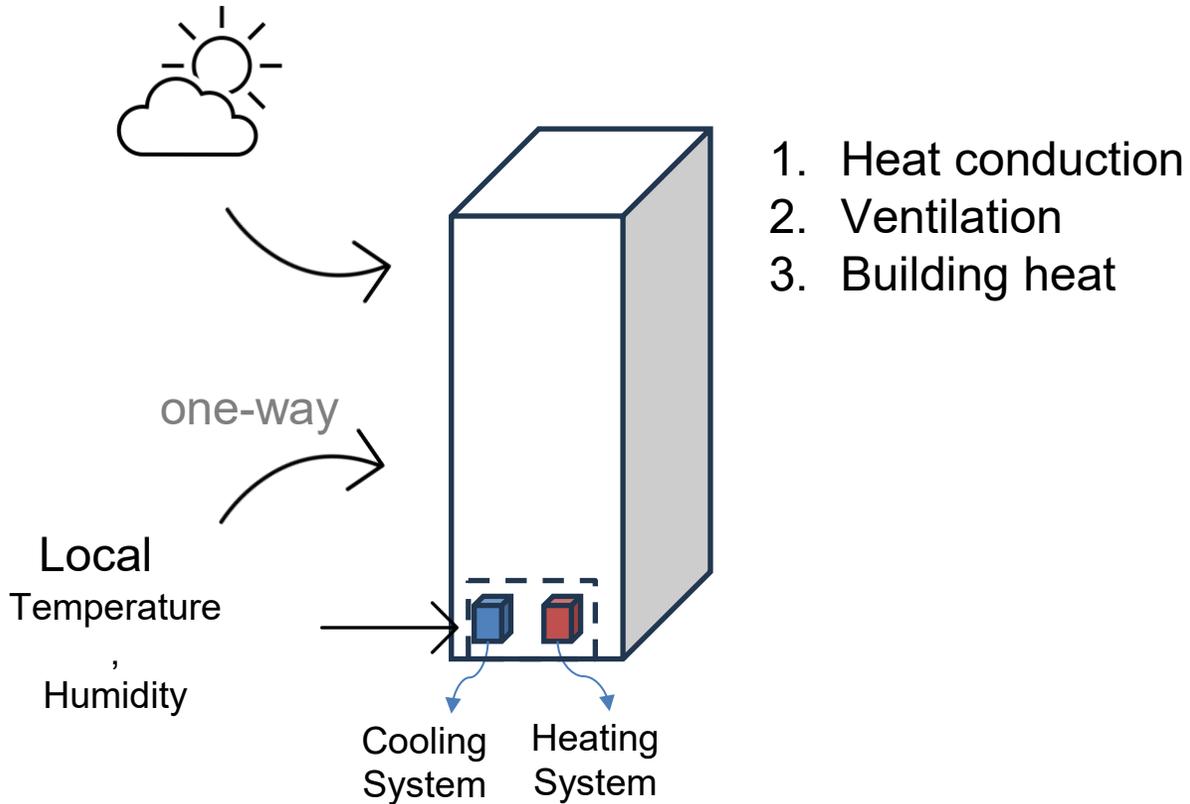


Source: 10.1016/j.jobe.2024.110085

# HVAC Control ↔

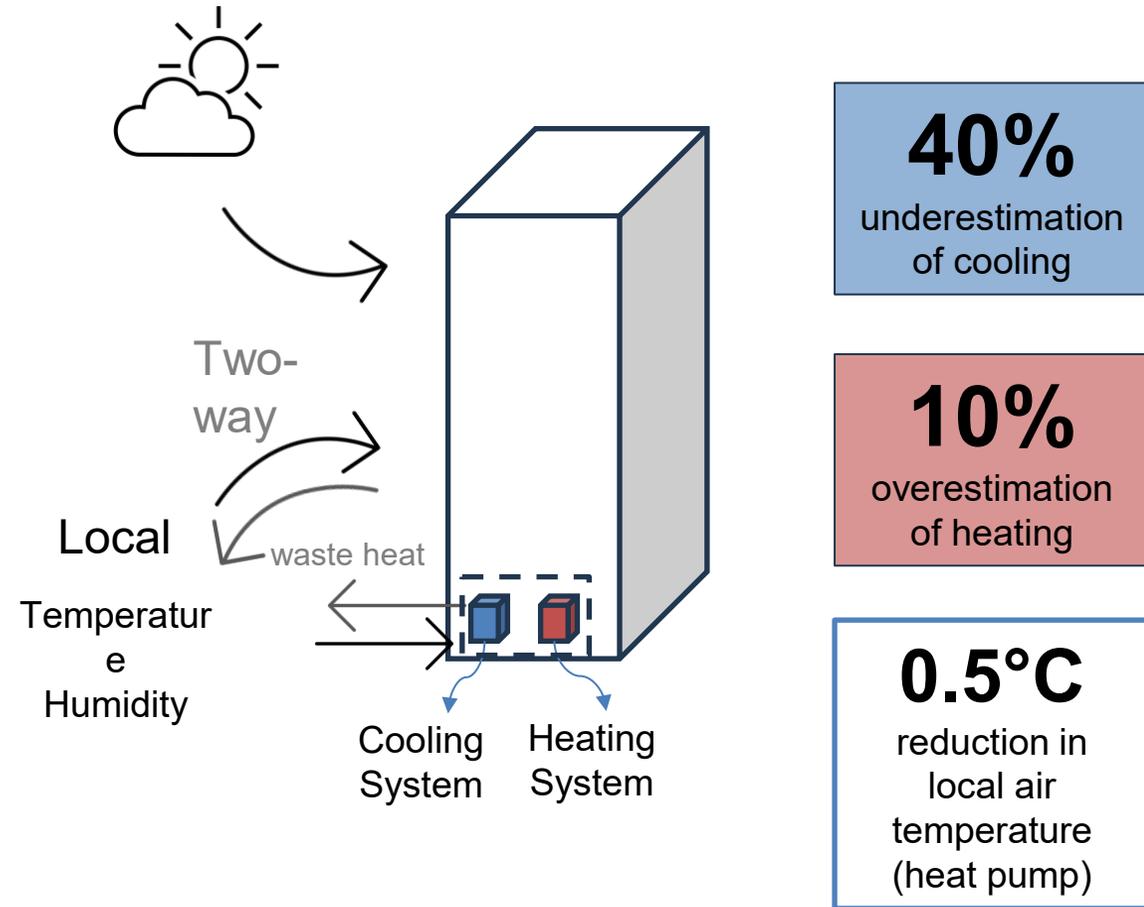
# Local Urban Climate ?

one-way simulation



Previous paradigm for RL HVAC control

two-way simulation

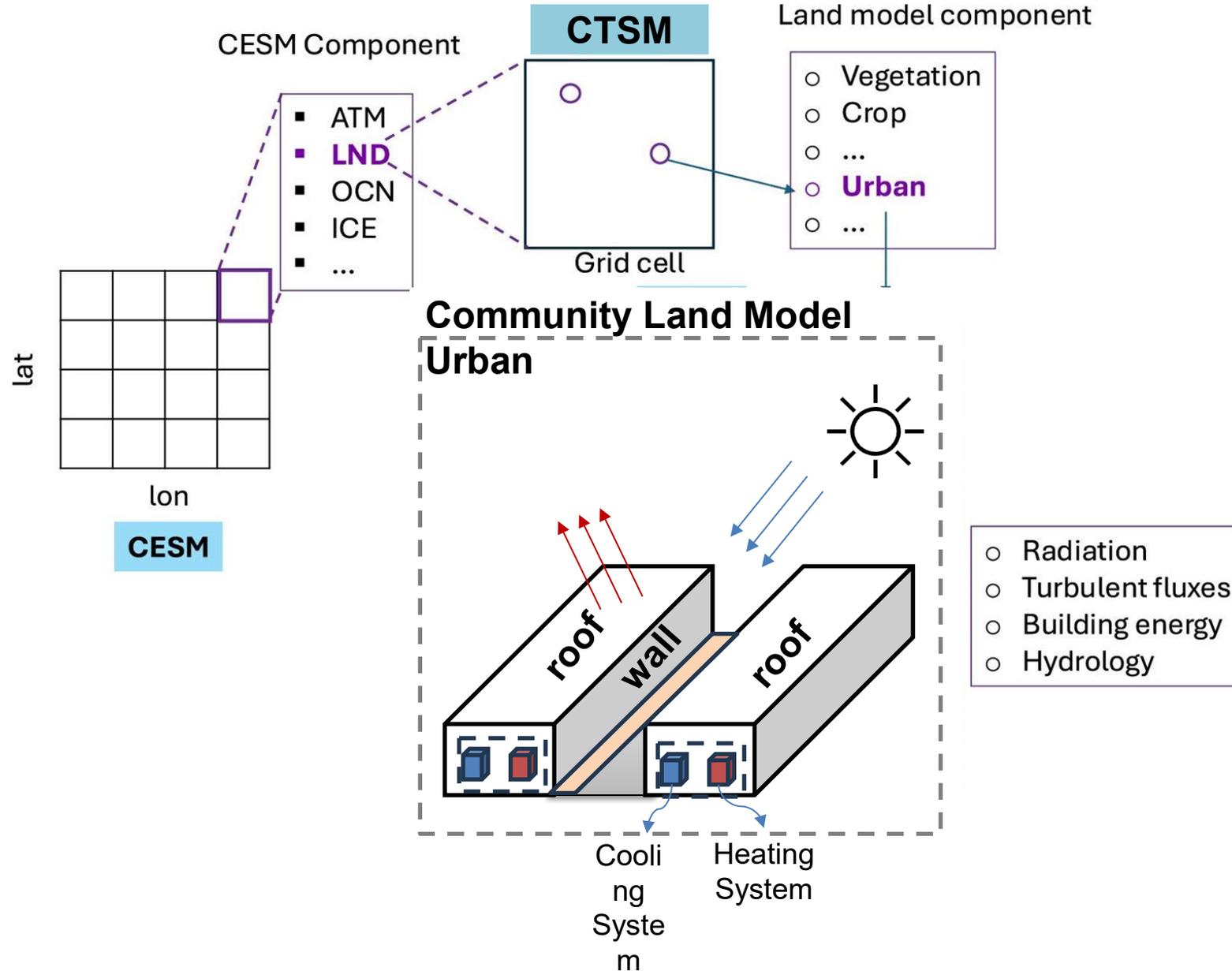


HVAC control

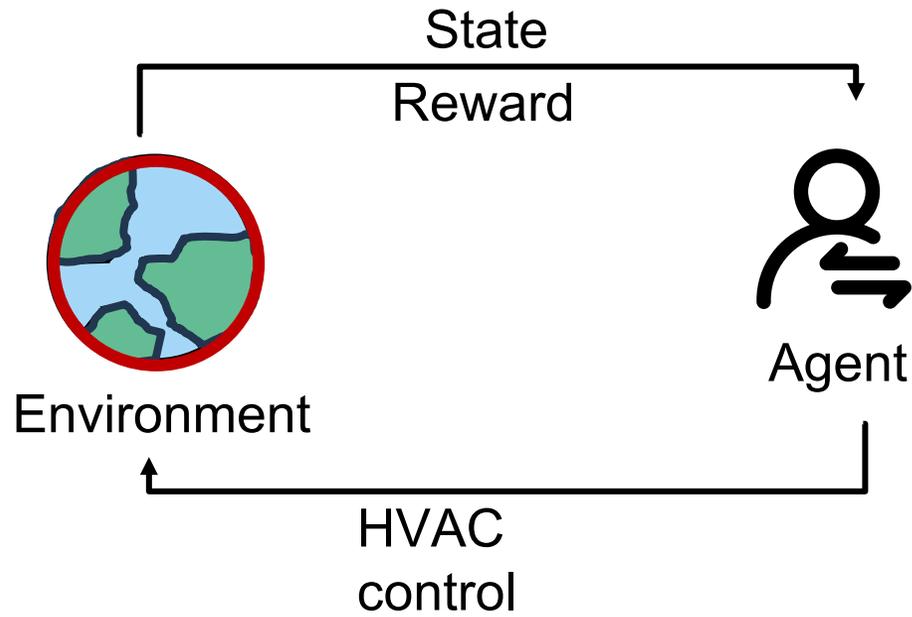


local urban climate

# Modelling urban and building energy in CTSM

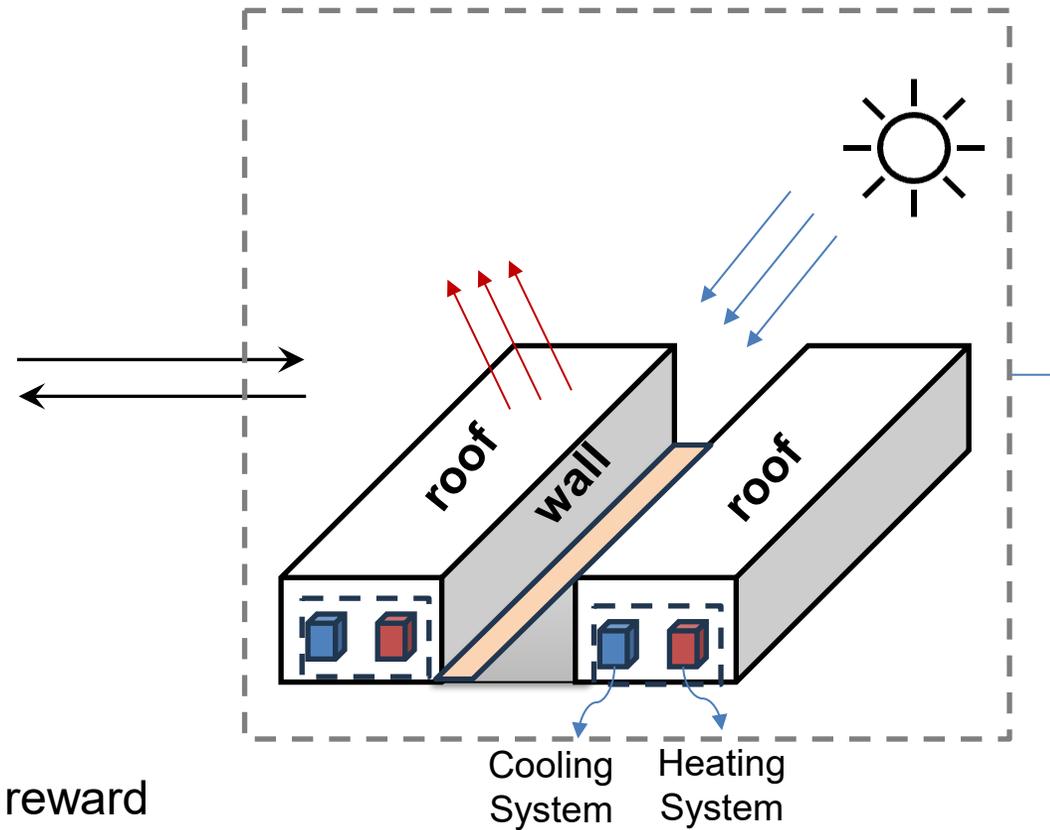


# Reinforcement Learning (RL) Framework



Consider current and future to get the maximum reward

## Community Land Model Urban

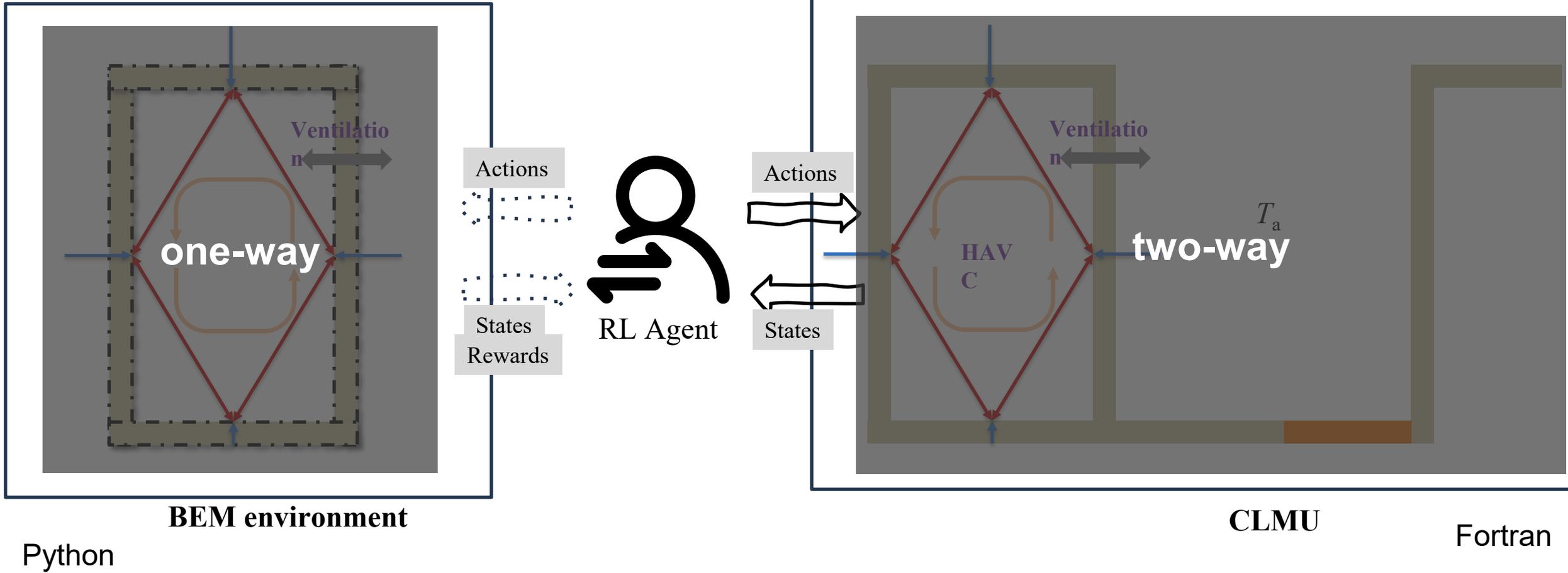


- Modeling local urban climate
- Modeling building energy and HVAC

# Training Framework

## Surrogate model

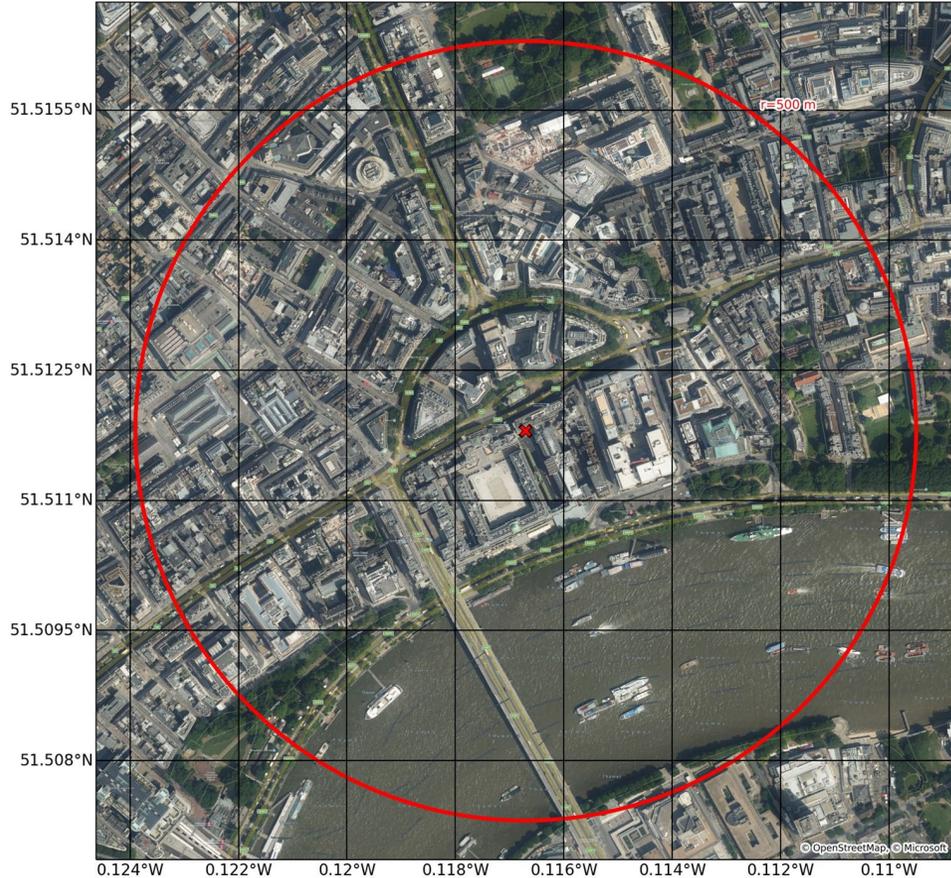
- Time consuming simulation
- Fortran based model



**Agent Objective: using less cost to maintain indoor thermal comfort**

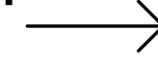
# Case study: heat-pump retrofit and RL heating control in London

UK-KingsCollege (51.5118,-0.1167)



UK London

Heating system of **heat-pump**



Waste heat from heating system will put into urban canyon

$$Q_{\text{waste}} = F_{\text{heating}} * (\text{COP}^{-1} - 1)$$

$$\text{COP} = 0.07 * T_{ac} + 3.2$$

Determine the performance of heating system

heating set point temperature  
indoor air temperature  
local urban air temperature  
electricity prices (n, n+4) intervals  
sin and cos time embedding

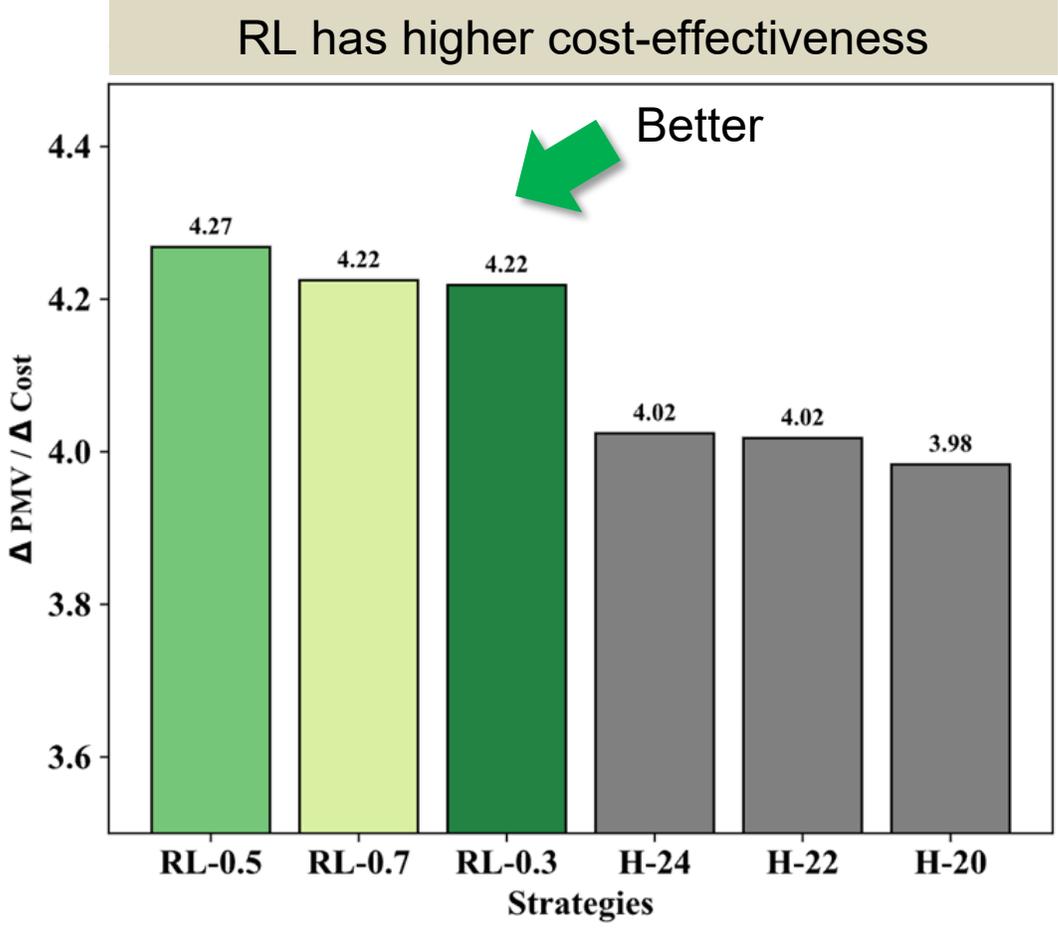
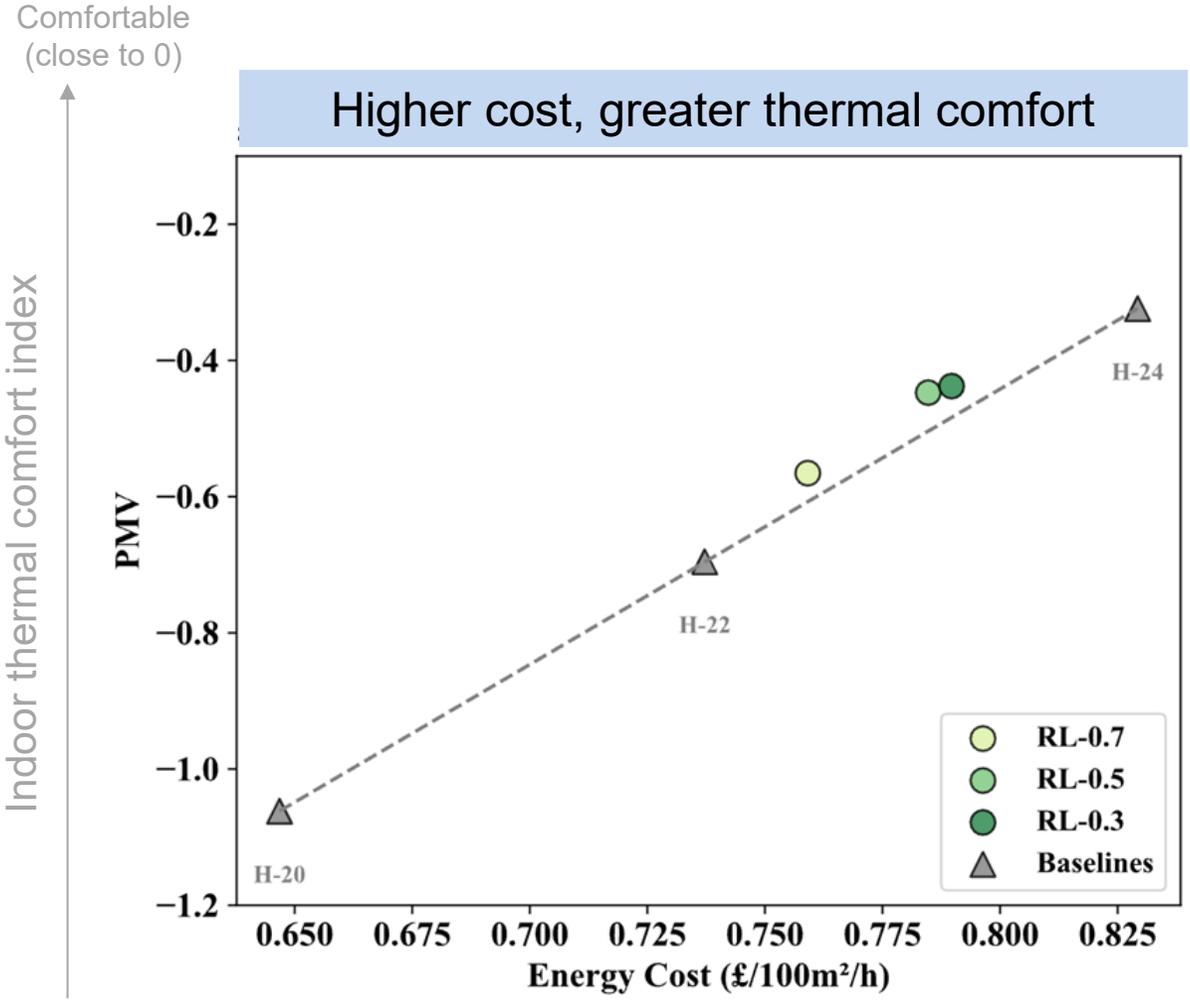


Agent



heating set point temperature

# RL balances the thermal comfort and energy cost

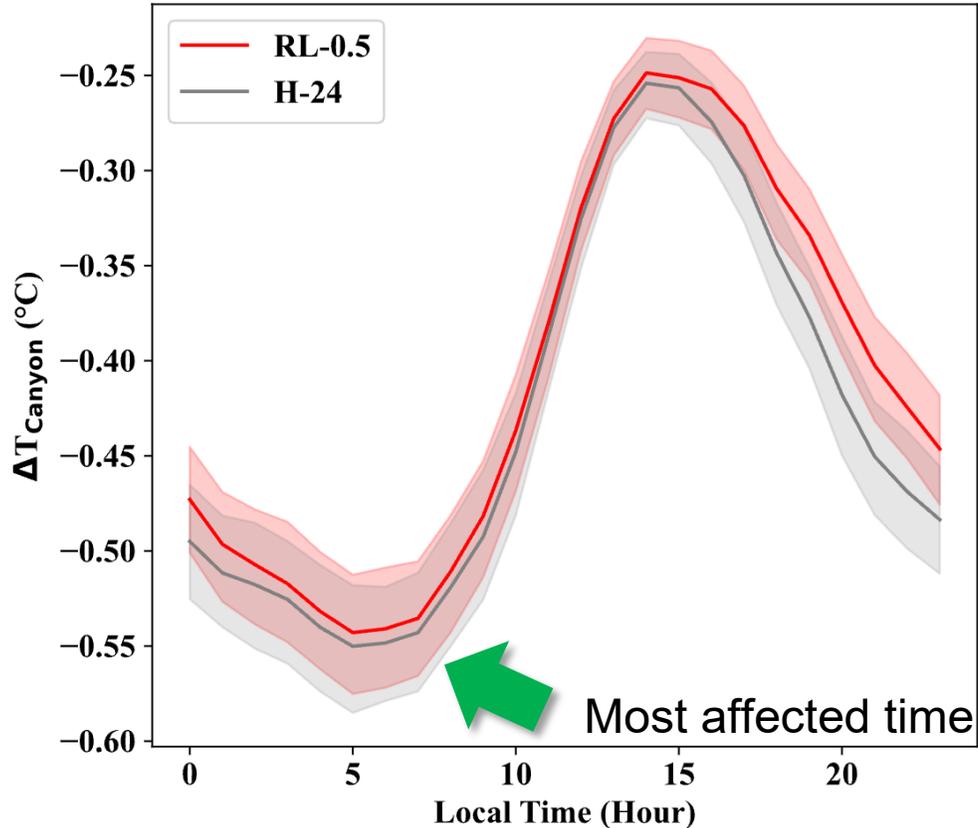


~6% improvement in cost-effectiveness

Weight: index for balancing the cost and thermal discomfort, higher means more concern about the cost

# Two-way interaction affects outdoor thermal environment

Less energy use  less environmental footprint induced by two-way interaction



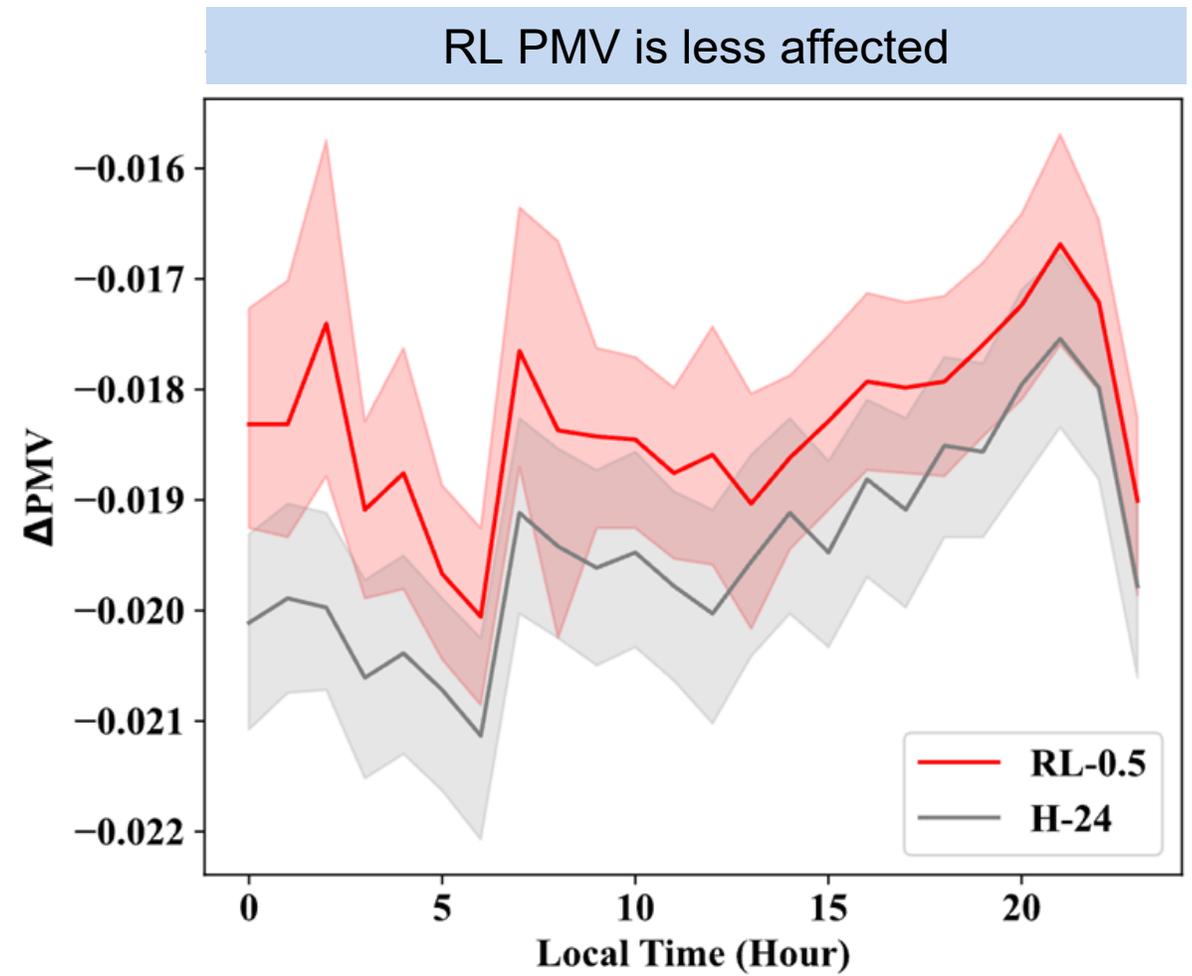
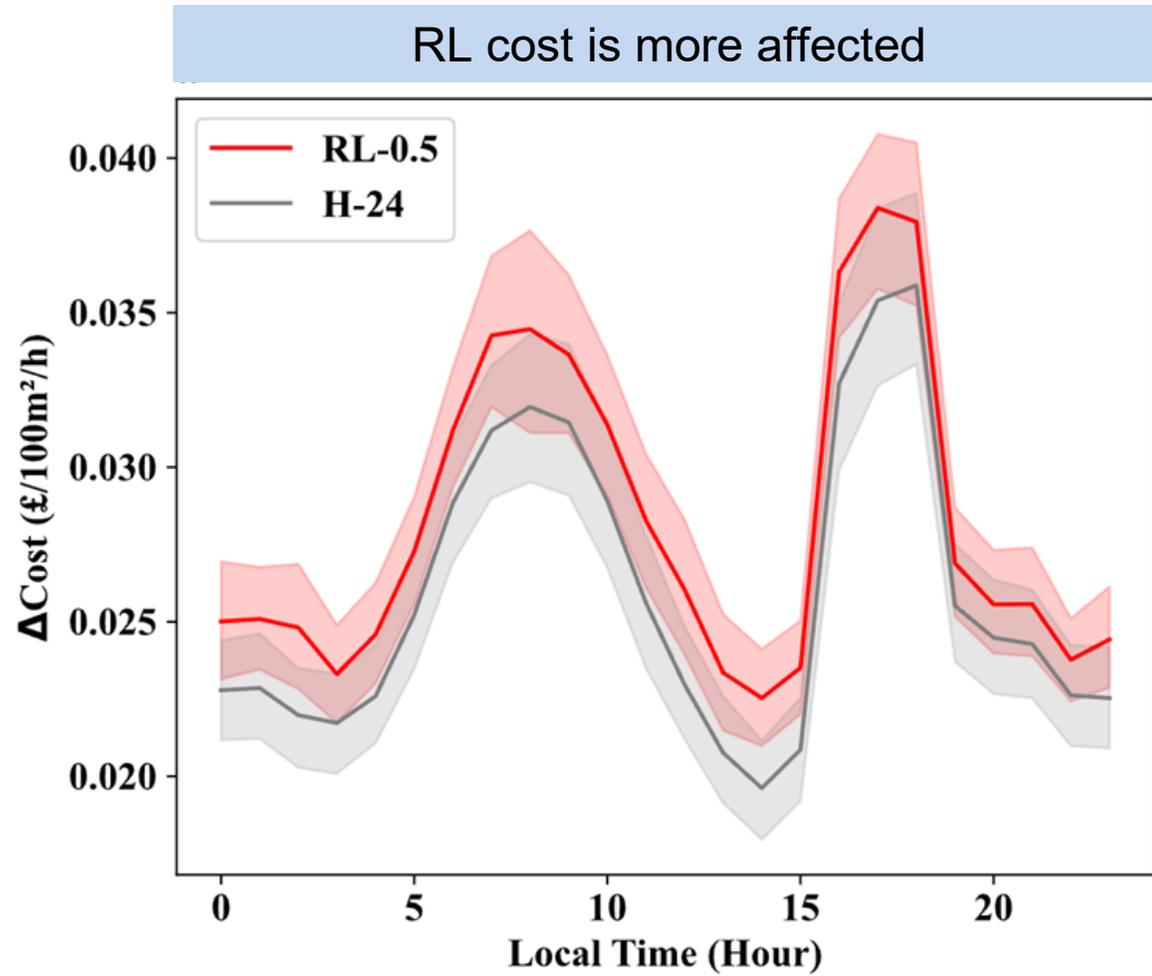
Two-way interaction makes a cooler and wetter environment

	$T_{\text{canyon}} (^{\circ}\text{C})$			RH (%)		
	OFF	ON	$\Delta$	OFF	ON	$\Delta$
H-20	6.913	6.552	-0.361	71.293	72.916	1.623
H-22	6.983	6.585	-0.398	70.868	72.680	1.812
H-24	7.055	6.625	-0.430	70.451	72.415	1.964
RL-0.3	7.021	6.605	-0.416	70.651	72.473	1.822
RL-0.5	7.017	6.606	-0.411	70.676	72.480	1.804
RL-0.7	6.997	6.591	-0.406	70.793	72.579	1.786

Improve efficiency!!!

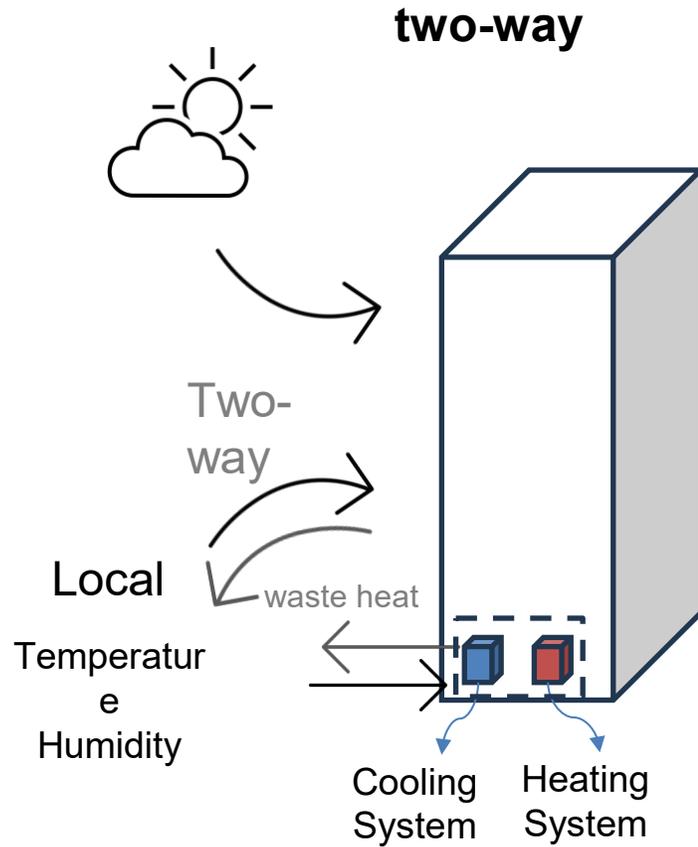
$\Delta = \text{Simulation}(\text{with waste heat}) - \text{Simulation}(\text{no waste heat})$

# Cost and thermal comfort affected by two-way interaction



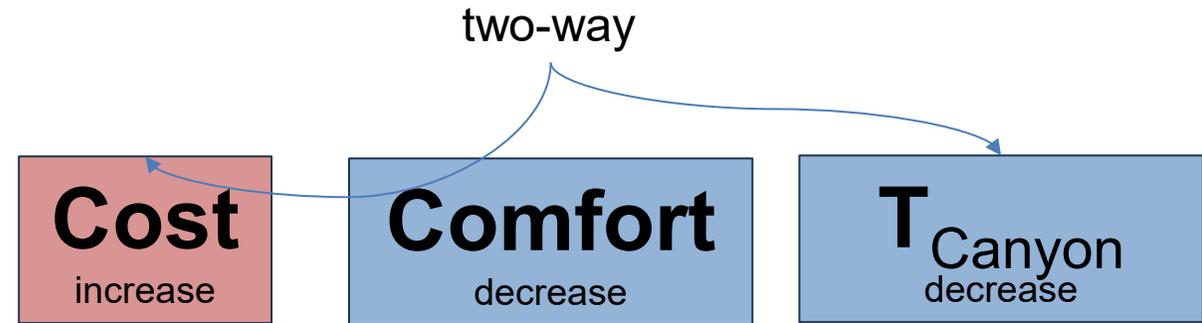
3.60% difference in cost of RL  
2.95% difference in cost of H-24

# Conclusions



RL Higher cost-effectiveness

RL avoids extreme cost



Next step: regional simulations