

Data
Assimilation
Research
Testbed



Improving Forecasts of Land Surface Processes using CLM-DART (Data Assimilation Research Testbed)

Brett Raczka¹, Xueli Huo², Lewis Kunik², John C. Lin², Daniel Hagan³, Jiameng Lai⁴,
Zhenqi Luo⁴, Ying Sun⁴, Moha Gharamti¹, Kevin Raeder¹, Jeffrey Anderson¹

¹NSF National Center for Atmospheric Research, ²University of Utah, ³Ghent University, ⁴Cornell University

CESM Land Model and Biogeochemistry Working Group Meeting
February 29th, 2024

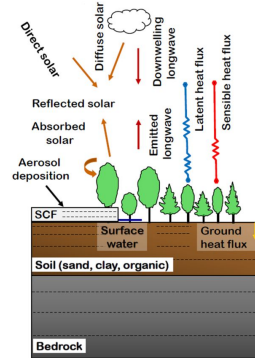


Approaches to implementing CLM

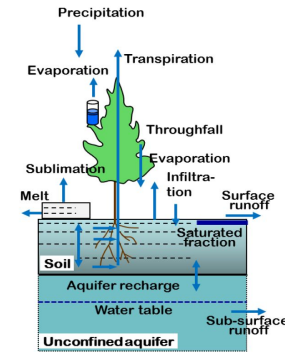
CLM-BGC (Biogeochemistry)

- Initial & boundary conditions
- Parameters/compsets
- No external constraints

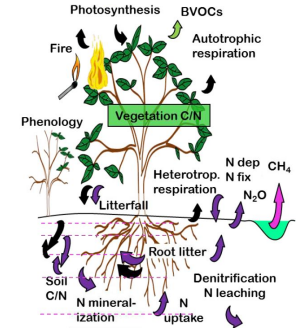
Energy balance



Hydrology



Carbon and nitrogen cycles

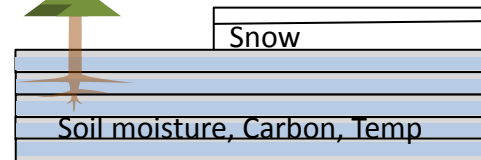


CLM-SP (Satellite Phenology)

- Prescribed Leaf Area



Leaf Area



CLM-DART

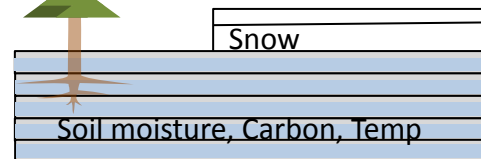
- Observed and unobserved land surface properties
- Bayesian (prior retained)
- Uncertainty quantification



Leaf Area



Soil Moisture



Biomass

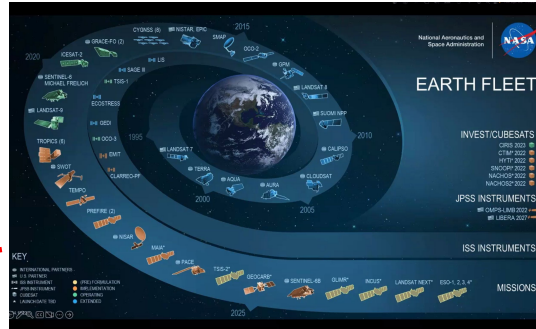
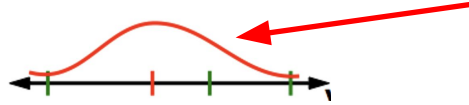


Snow

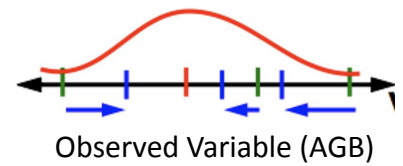
Overview of CLM-DART assimilation steps (biomass example)

Observation Space

2 Calculate observed AGB

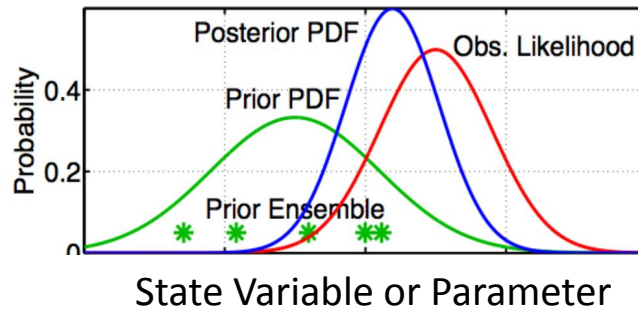


3 Update (posterior)

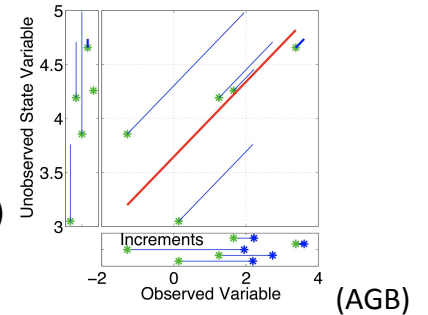


Forward operator: h
 Observation estimate = $h(\text{deadstemc}, \text{livest})$

CLM History, restart files



4 Apply update to model state (posterior)



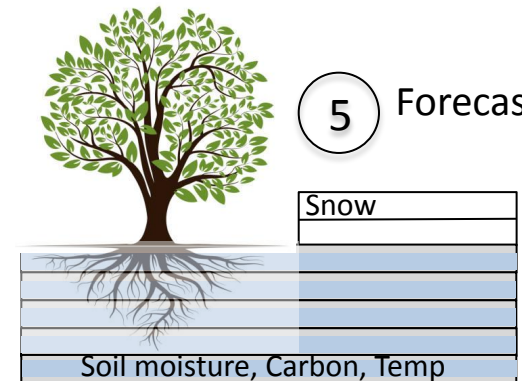
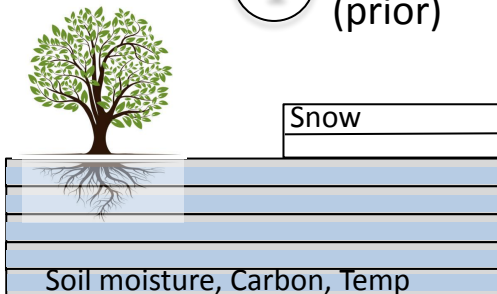
(c, n)
 $, n)$
 $\text{root}(c, n)$
 $\text{Deadcoarseroot}(c, n)$
 $\text{Fineroot}(c, n)$
 $\text{Litter}(c, n)$

1 Forecast (t_k) (prior)

Model Space

5 Forecast (t_{k+1})

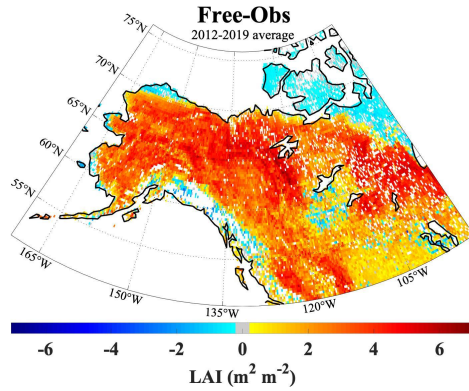
CAM-DART
 Reanalysis
 Ensemble



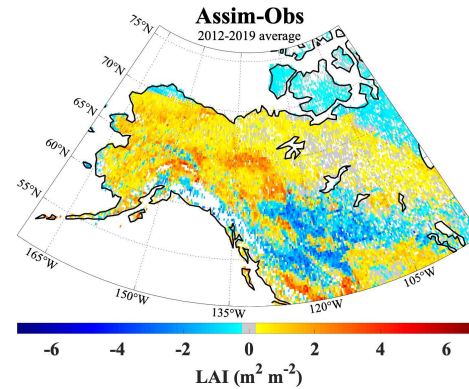
Raeder et al., (2012, 2021)

Arctic Ecosystems: Assimilating biomass has cascade effect

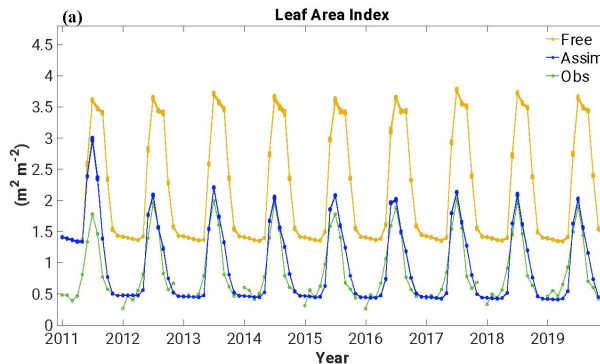
- Free run overestimates leaf area and biomass



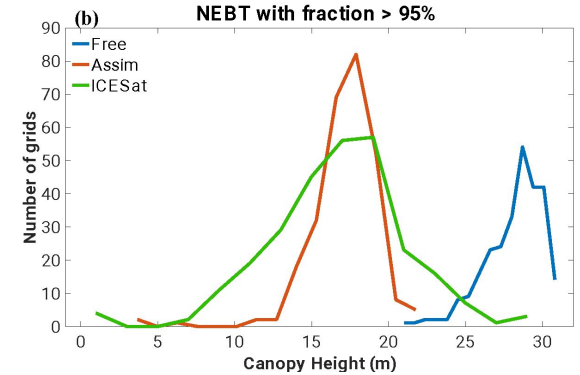
- CLM-DART removes this bias



- Seasonal timing of leaf area (phenology) improved



- Forest height improved compared to ICESat observations



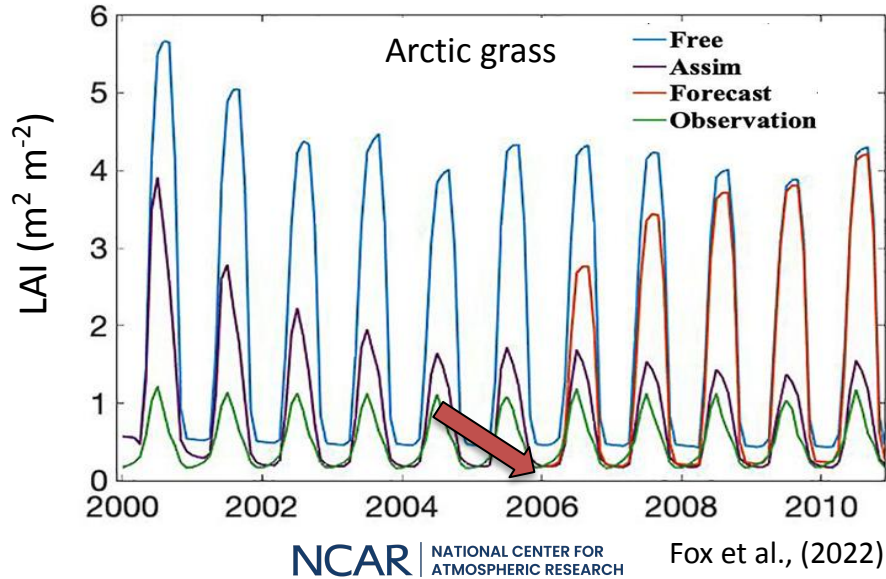
- Most carbon, water and energy fluxes improved; (ILAMB benchmark)

	gswp3v1run	freerun	assimrun
Ecosystem and Carbon Cycle			
Leaf Area Index			
Aboveground Biomass			
Biomass			
Gross Primary Productivity			
Ecosystem Respiration			
Net Ecosystem Exchange			
Soil Carbon			
Hydrology Cycle			
Evapotranspiration			
Latent Heat			
Sensible Heat			
Terrestrial Water Storage Anomaly			
Snow Water Equivalent			
Relationships			
LeafAreaIndex/AVH15C1			
AbovegroundBiomass/GEOCARBON			
Biomass/Thurner			
GrossPrimaryProductivity/FLUXCOM			
Evapotranspiration/MODIS			



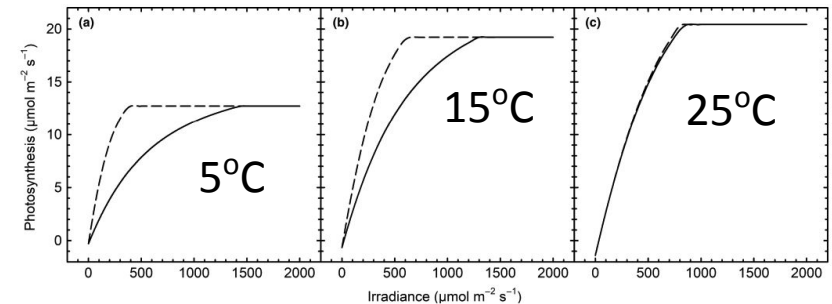
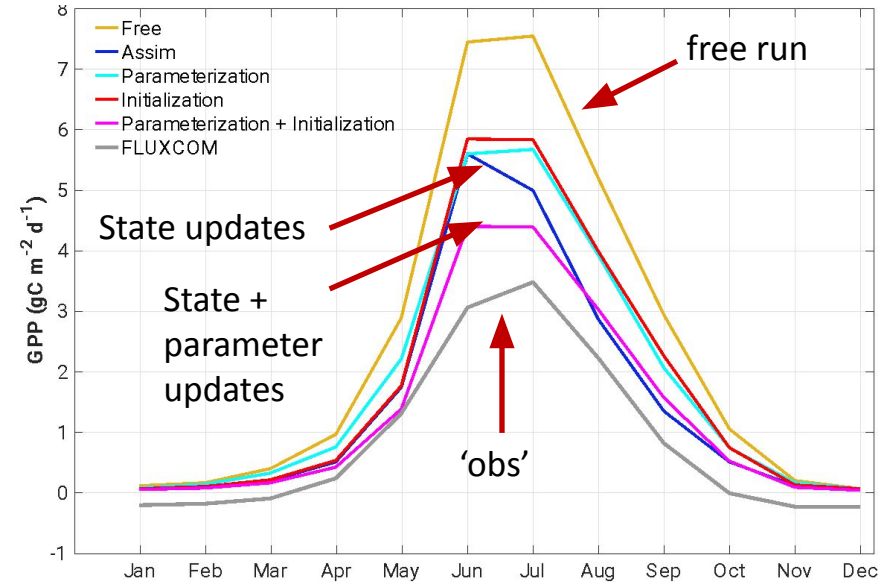
Arctic Ecosystems: Enhance forecasting skill with parameter updates

- Global CLM simulation, w/ leaf area observations



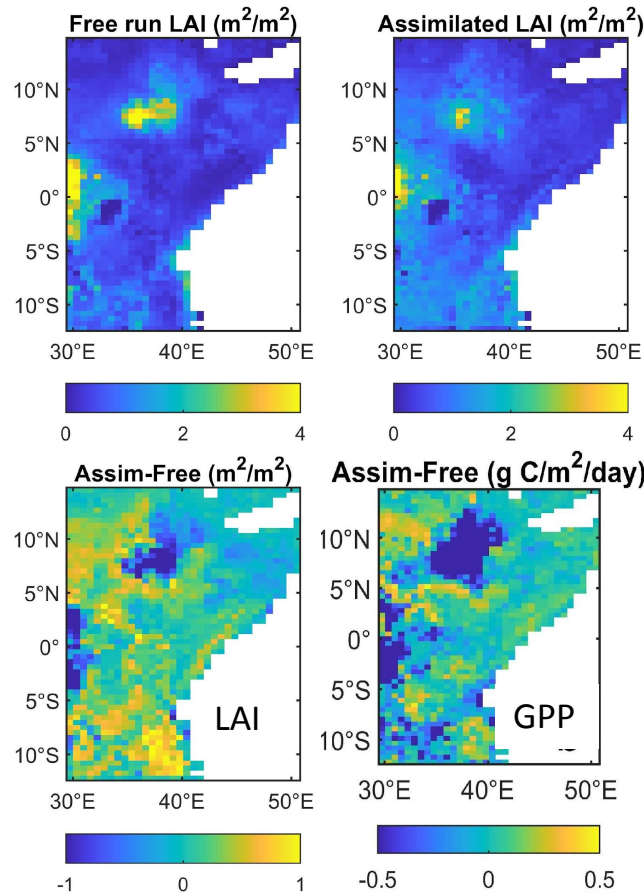
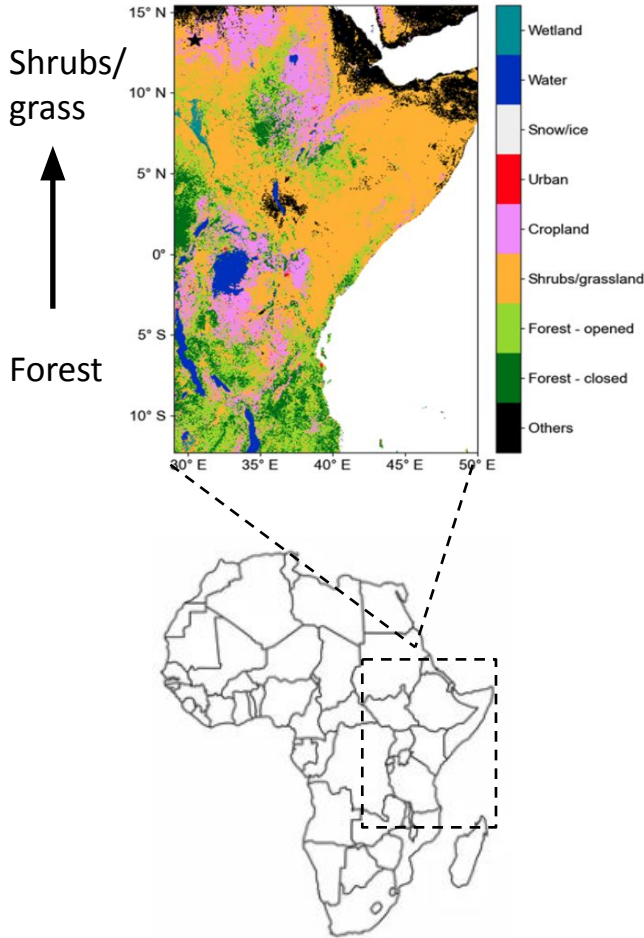
- Model 'state' updates reduce initial condition error, but some structural/parametric model error remain
- The simulated LAI **extended forecast** (starting at yr 2006) returns to the **free simulation**

- ABoVe (Arctic domain), CLM seasonal cycle of GPP



- Including structural/parameter updates enhances forecasting (hindcasting) skill

Sub-Saharan East Africa: Verifying forest restoration practices

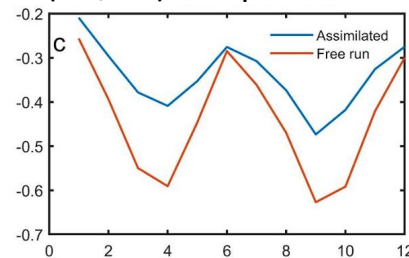


- Observations increase average LAI and GPP
- PFT performance?

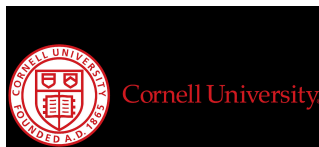
Next steps

- Increase ensemble size to 60
- Add observations of SMAP and SIF
- Expand to African continent

LAI (m^2/m^2) compared to obs



2011-2020 monthly average



Constraining hydrologic cycle: Soil moisture observations

Methodology:

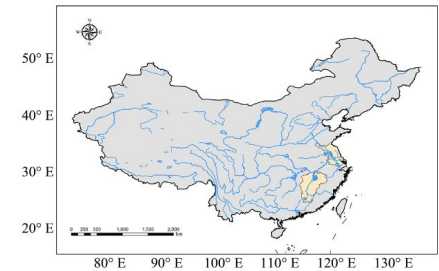
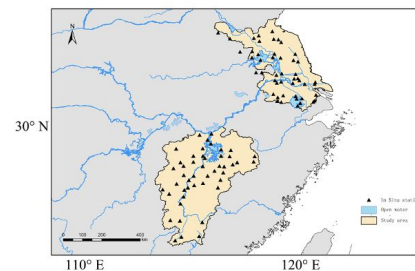
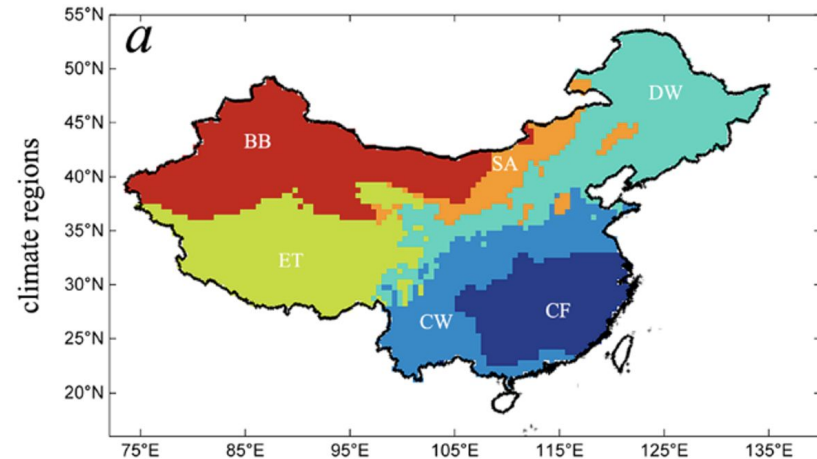
CLM 4.5 w/ satellite phenology
0.5° spatial resolution

Observations:

- ESA CCI ECV combined soil moisture (~ 5 cm depth)
- Re-scaled against GLDAS-NOAH climatology
- Frozen soil data not included

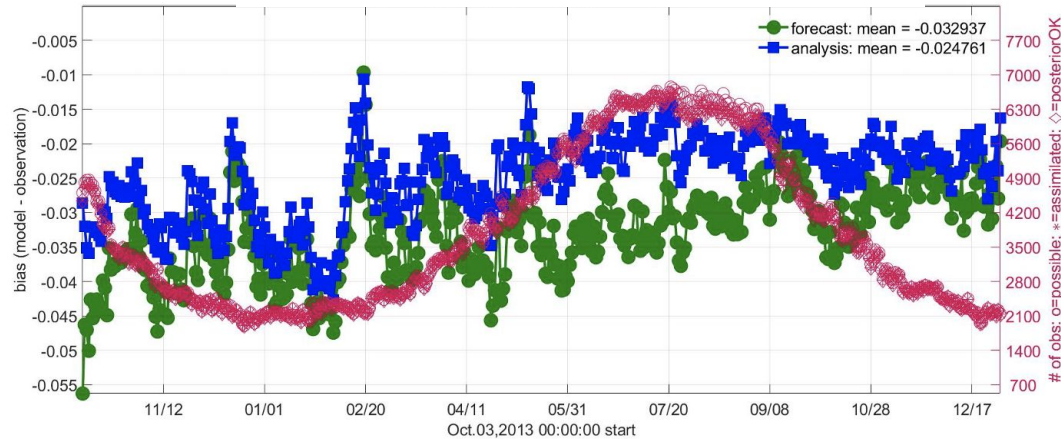
Benchmark Data

- ERA5 Land soil moisture
- Subsurface site level – insitu



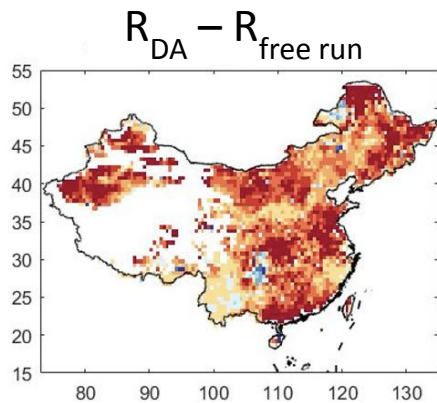
Constraining hydrologic cycle: Soil moisture observations

CLM Soil Moisture Bias (m^3/m^3)

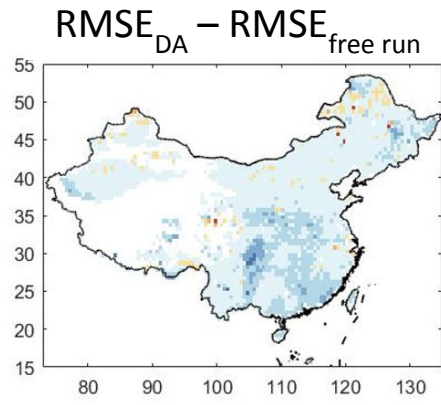


- >90% observations are assimilated, forecast and analysis bias reduced by ~50%

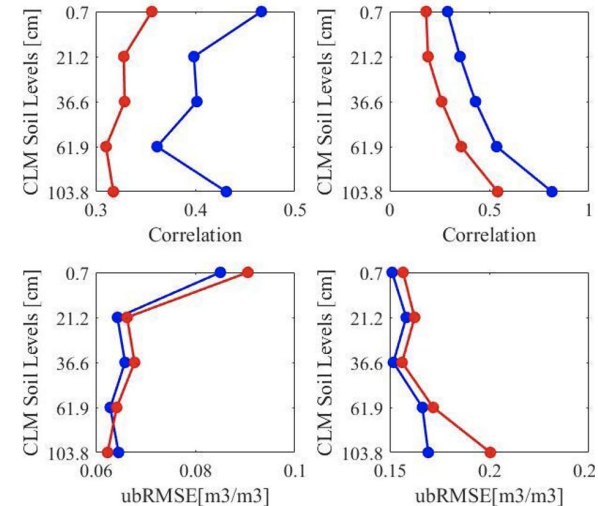
CLM (free run) CLM-DART (DA)



Red -> higher R for DA



blue -> lower RMSE for DA



- Surface soil moisture statistics improve for DA

- Influence of surface obs extends down to ~ 1 meter (100 cm shown here)

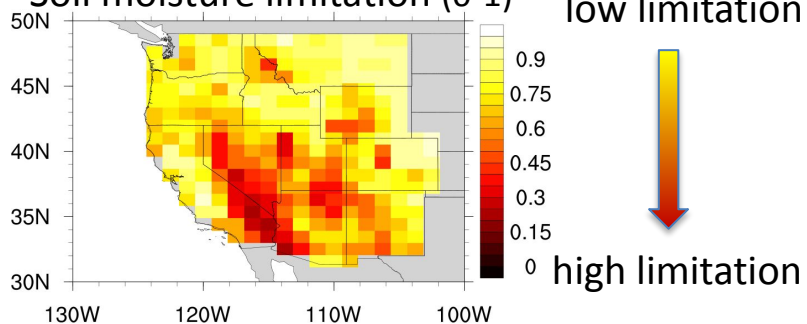
Snow dependent systems: SWE observations (Western US)

- Snow and soil moisture is a strong limitation upon carbon uptake

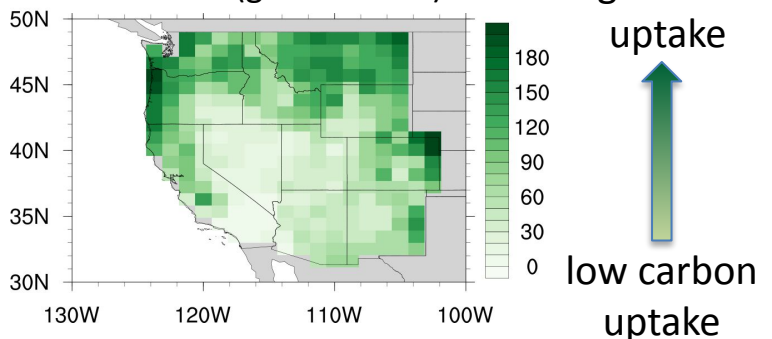
- CLM underestimates snow water equivalent (SWE), motivating snow and soil moisture DA

Summer (1998-2011)

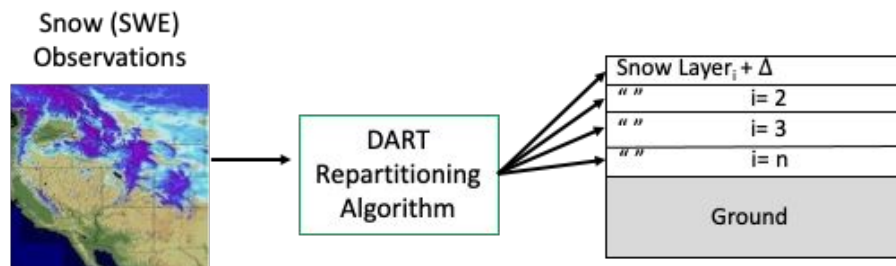
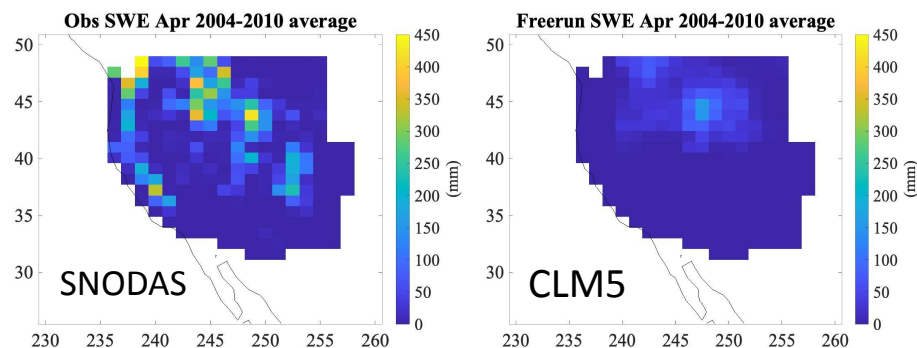
Soil moisture limitation (0-1)



GPP ($\text{gC m}^{-2} \text{mth}^{-1}$)

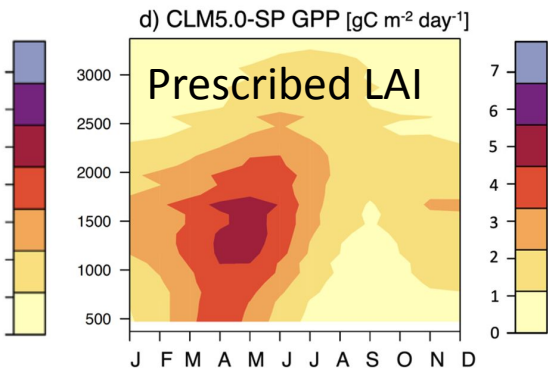
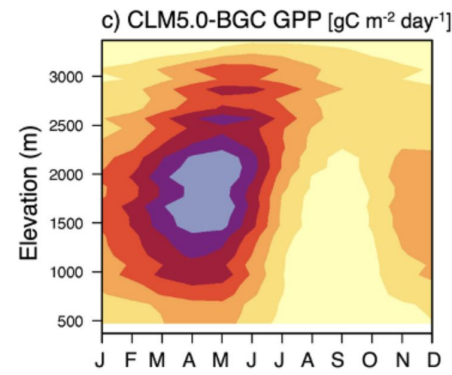
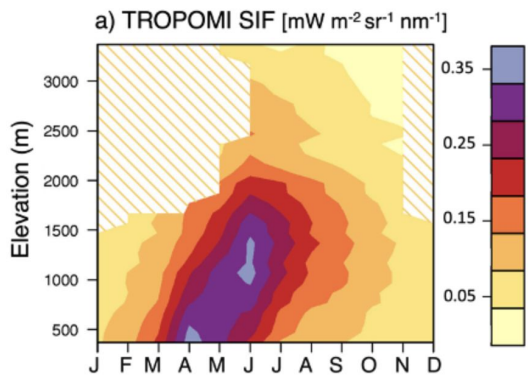
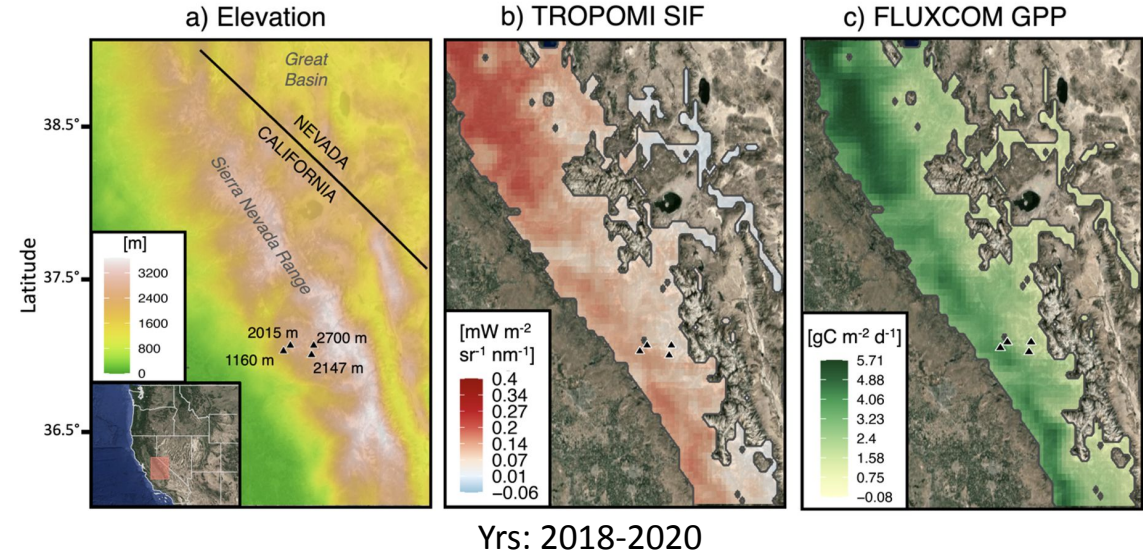
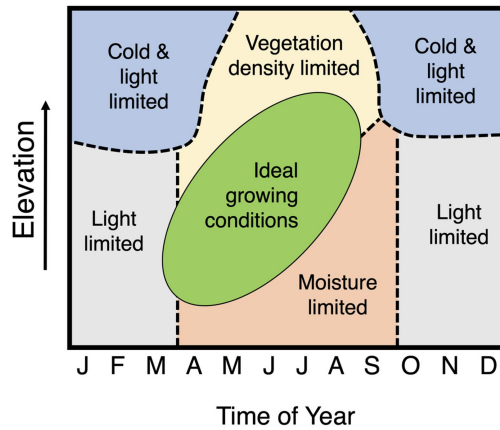


CLM simulated SWE



Solar Induced Fluorescence (SIF)

- SIF observations can capture both elevational and seasonal variation on carbon uptake across complex terrain (Sierra Nevada Mountains)



CLM + SIF observations:
 - Leaf Area
 - Moisture
 - Nutrients
 Ongoing work

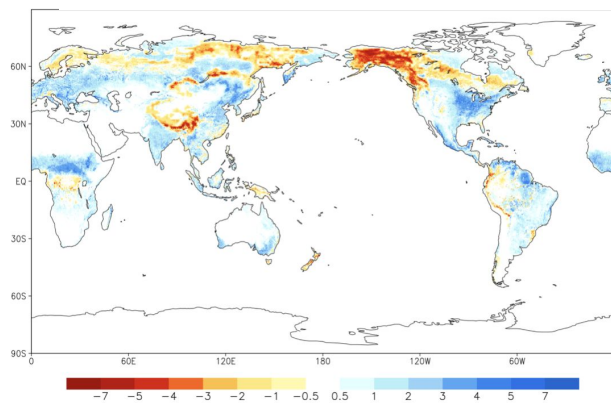
CLM Open loop

CLM + LAI observations

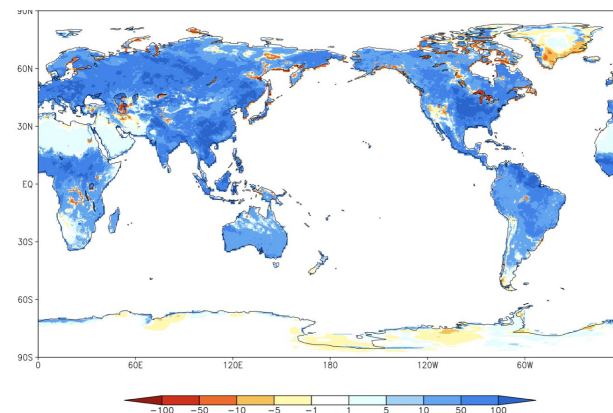
Land-atmosphere interactions: Seasonal Forecasting

Objective: Use CLM and observations of LAI, AGB, snow and soil moisture to initialize the land surface (LDAS-SPREADS) for seasonal atmospheric forecasts

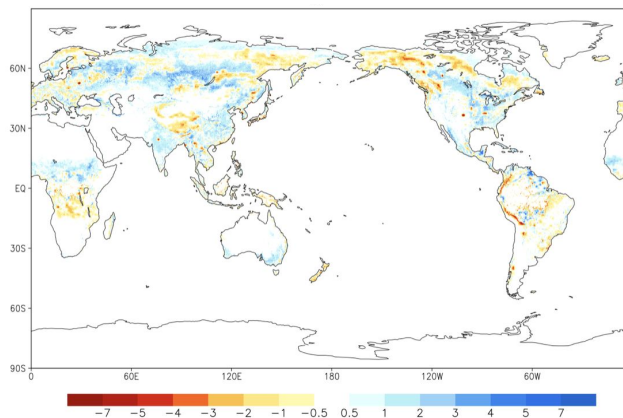
LAI ($\text{m}^2 \text{m}^{-2}$), (obs – free run)



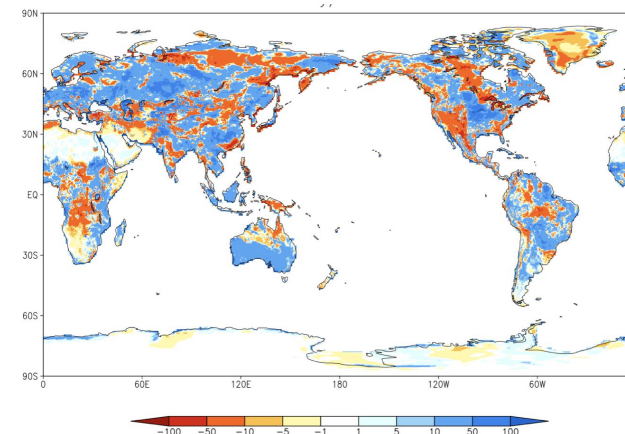
Latent Heat (W m^{-2}), (ERA5 – free run)



LAI ($\text{m}^2 \text{m}^{-2}$), (obs – assimilation)



Latent Heat (W m^{-2}), (ERA5 – assimilation)

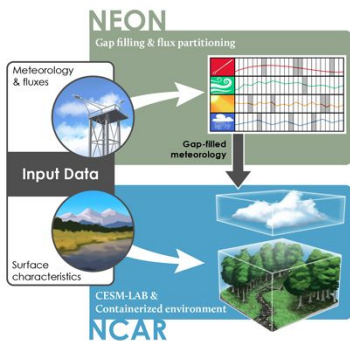


- Assimilation of LAI observation product (GLASS) shifts LAI and latent heat flux distributions

Incorporating site level data into CLM-DART

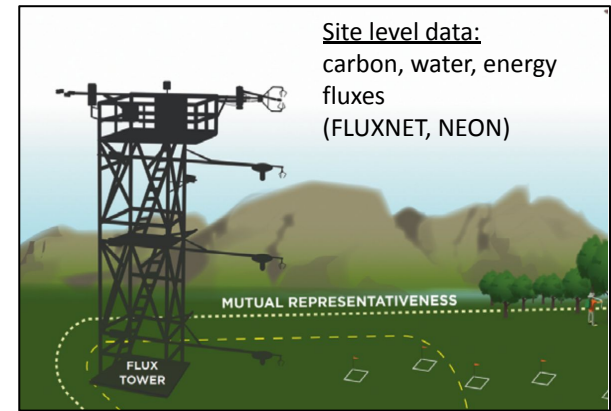
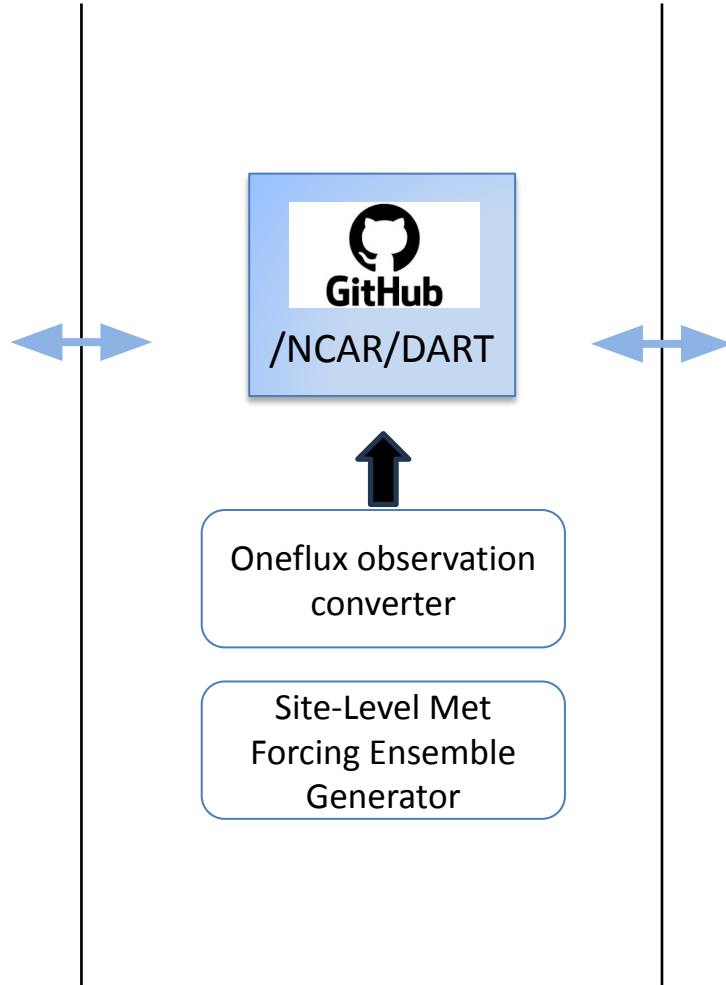
CLM site generation tools:

'run_neon'

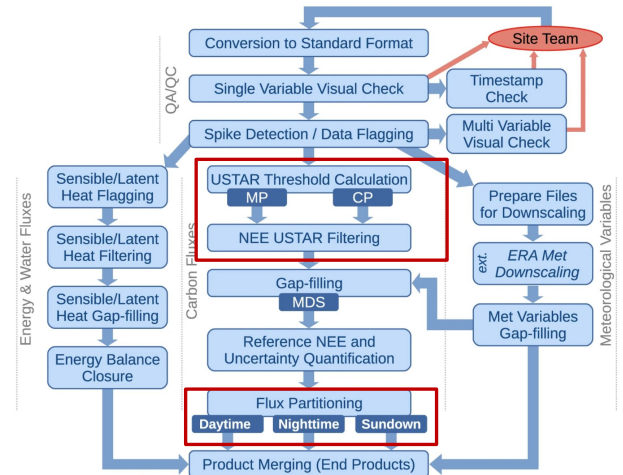


NCAR-NEON system

Generalizing to 'run_tower' for Ameriflux & PLUMBER2 sites



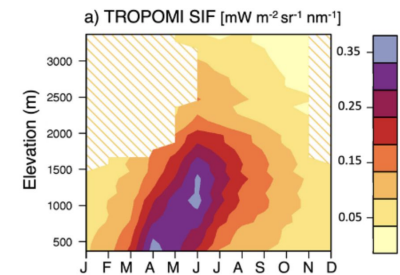
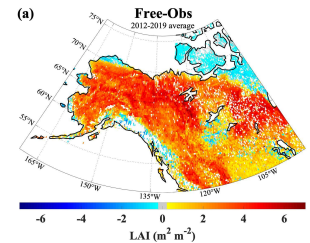
ONEFLUX Processed Data



Pastorello et al., (2020)

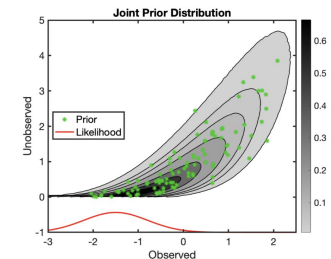
Conclusions

- Leaf area and biomass observations improves the model state, with downstream effects on carbon, water and energy fluxes
- Water limitation can be addressed with snow and soil moisture observations, but presents methodological challenges
- Solar induced fluorescence shows promise to inform all limiting factors for carbon uptake (GPP)



Addressing Other Challenges:

- State augmentation approach can be applied to fields for ensemble DA parameter estimation
- New Quantile Conserving Filter (QCEFF) in DART addresses non-linear and non-gaussian applications for updating bounded quantities



For more information:



<https://dart.ucar.edu/>



Thank You !

Questions ?

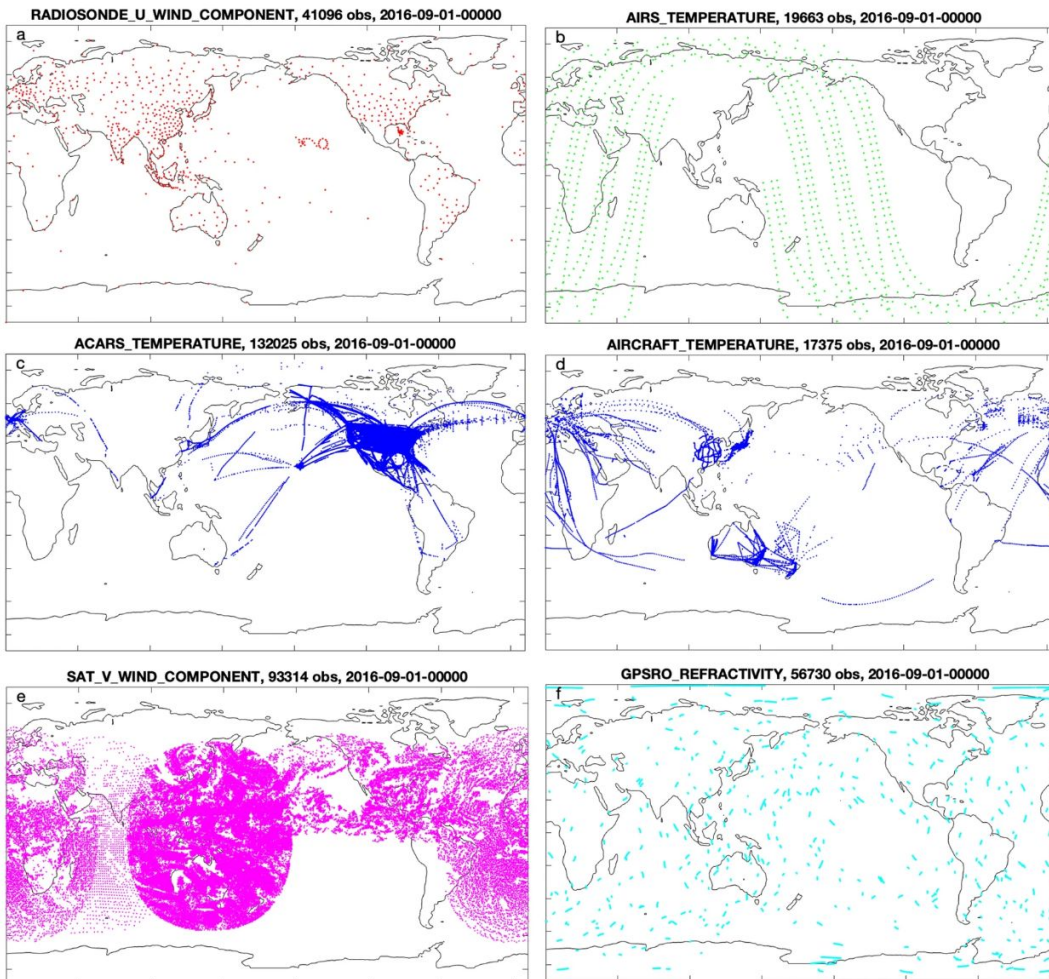
Coupling CLM to DART: Generating ensemble spread



- To generate ensemble spread in the CLM-DART simulations, we use an ensemble met forcing product (CAM6-DART Reanalysis Ensemble, 80 members).

- Density of observation in time/space reduce CAM6 model biases

Observations: > 300,000 obs per 6 hour time step (yrs 2011-2021)



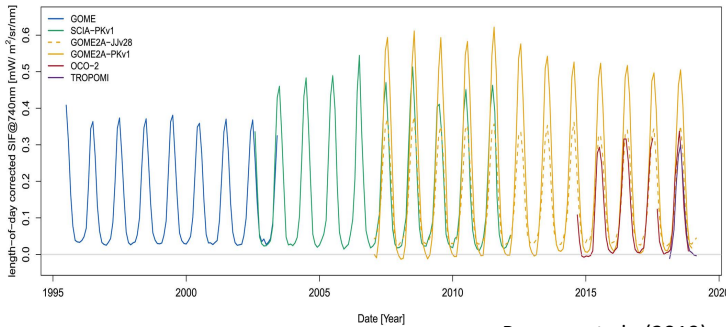
- Radiosondes: Surface balloon launches
- ACARS: aircraft
- AIRS: IR Soundings
- CDW: Cloud Drift Winds (satellites)
- GPS Refractivity: atmospheric density

(Kevin Raeder et al., 2021)

Challenge: Addressing Observation and Model biases

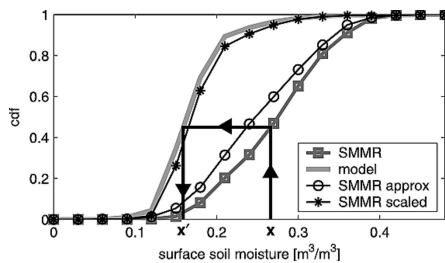
Remotely sensed land surface products are subject to systematic biases :

Solar Induced Fluorescence Data Products



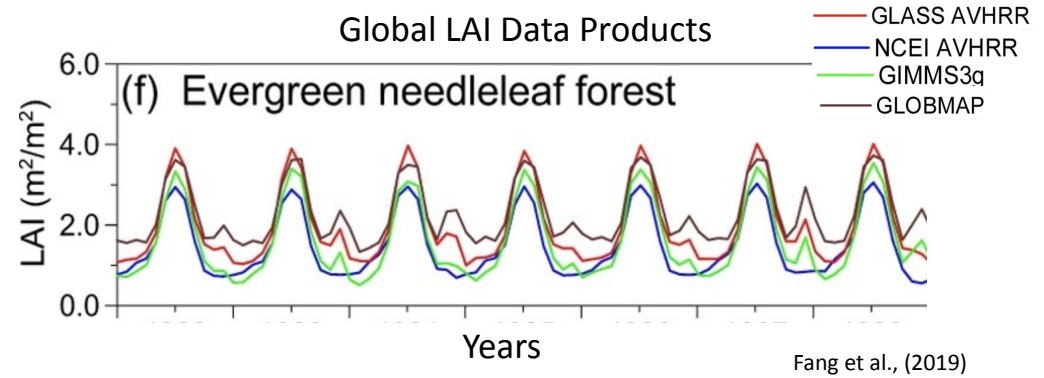
Parazoo et al., (2019)

- Adaptive inflation can address systematic biases if data product is trusted
- If not, CDF matching re-scales data products to remove model-data bias and retain variability



Reichle & Koster 2004 (GRL)

Global LAI Data Products



Fang et al., (2019)

- CDF matched soil moisture product removes systematic bias

