

# Impact of parameters on runoff sensitivities in CLM: a study over the Upper Colorado headwaters

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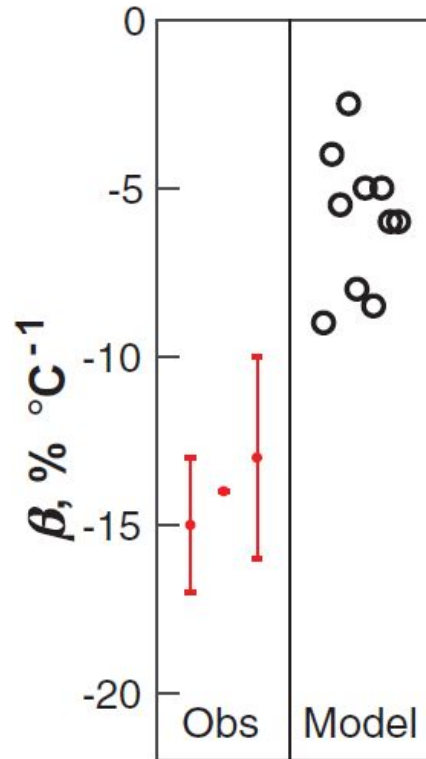
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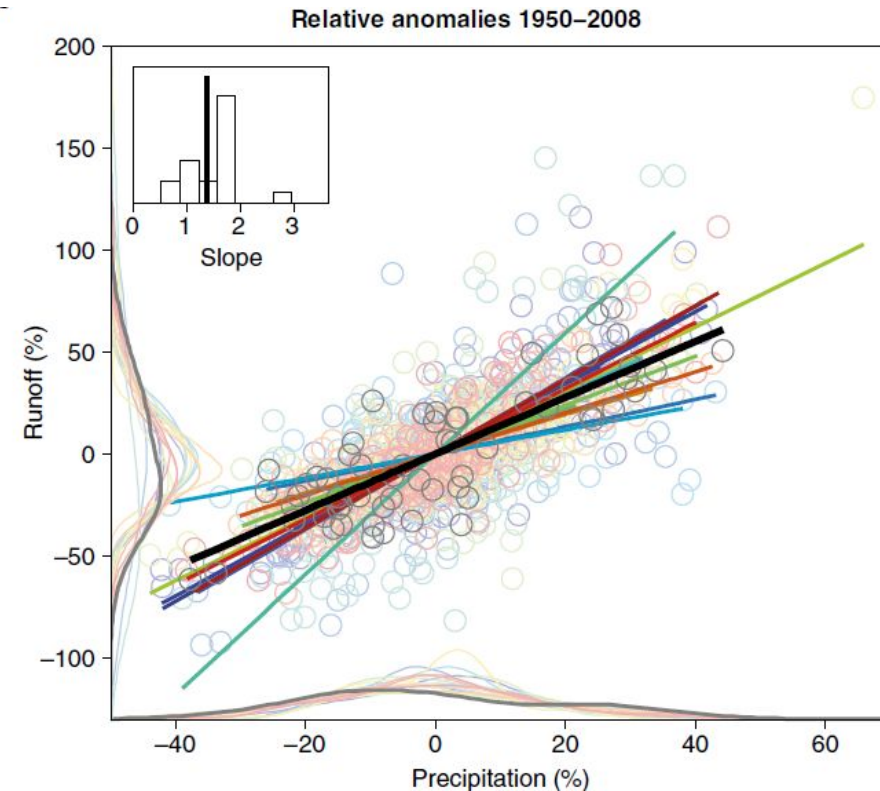
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# Model biases in runoff sensitivities

Upper Colorado basin



Milly & Dunne 2020

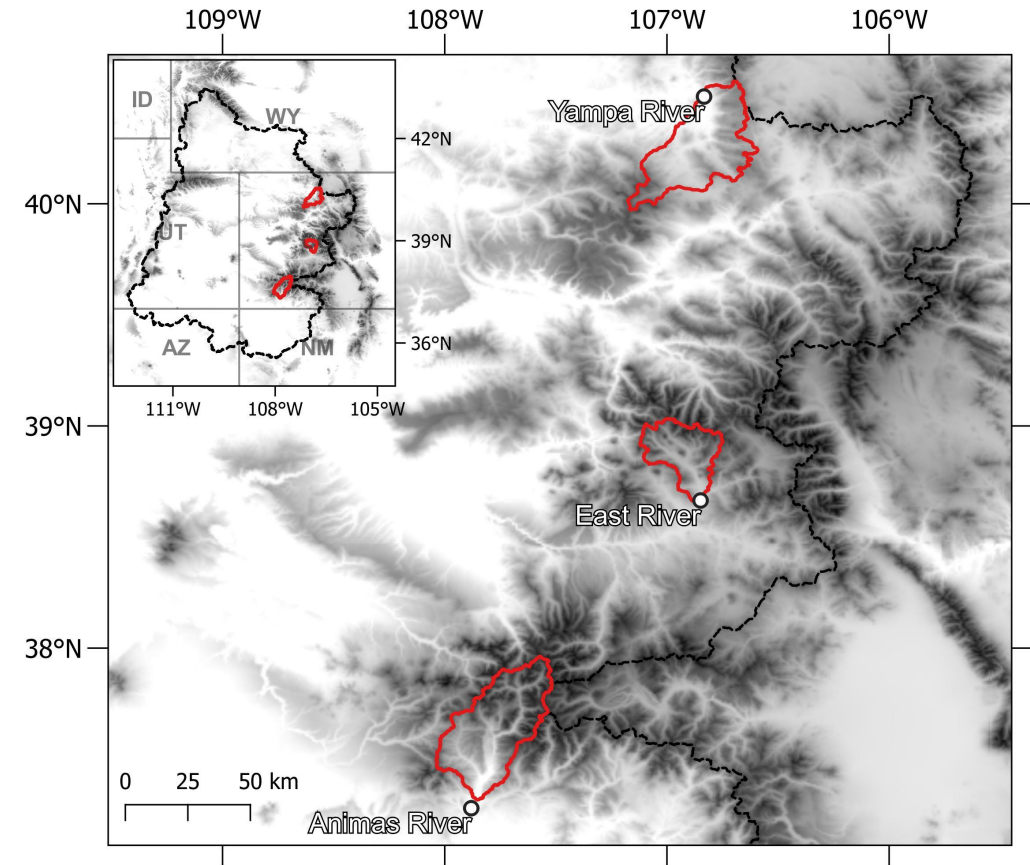


Lehner et al. 2019

- What are the most sensitive parameters for runoff sensitivities in CLM?
- How parameter values affect modelled runoff sensitivities?

# Study domain and model setup

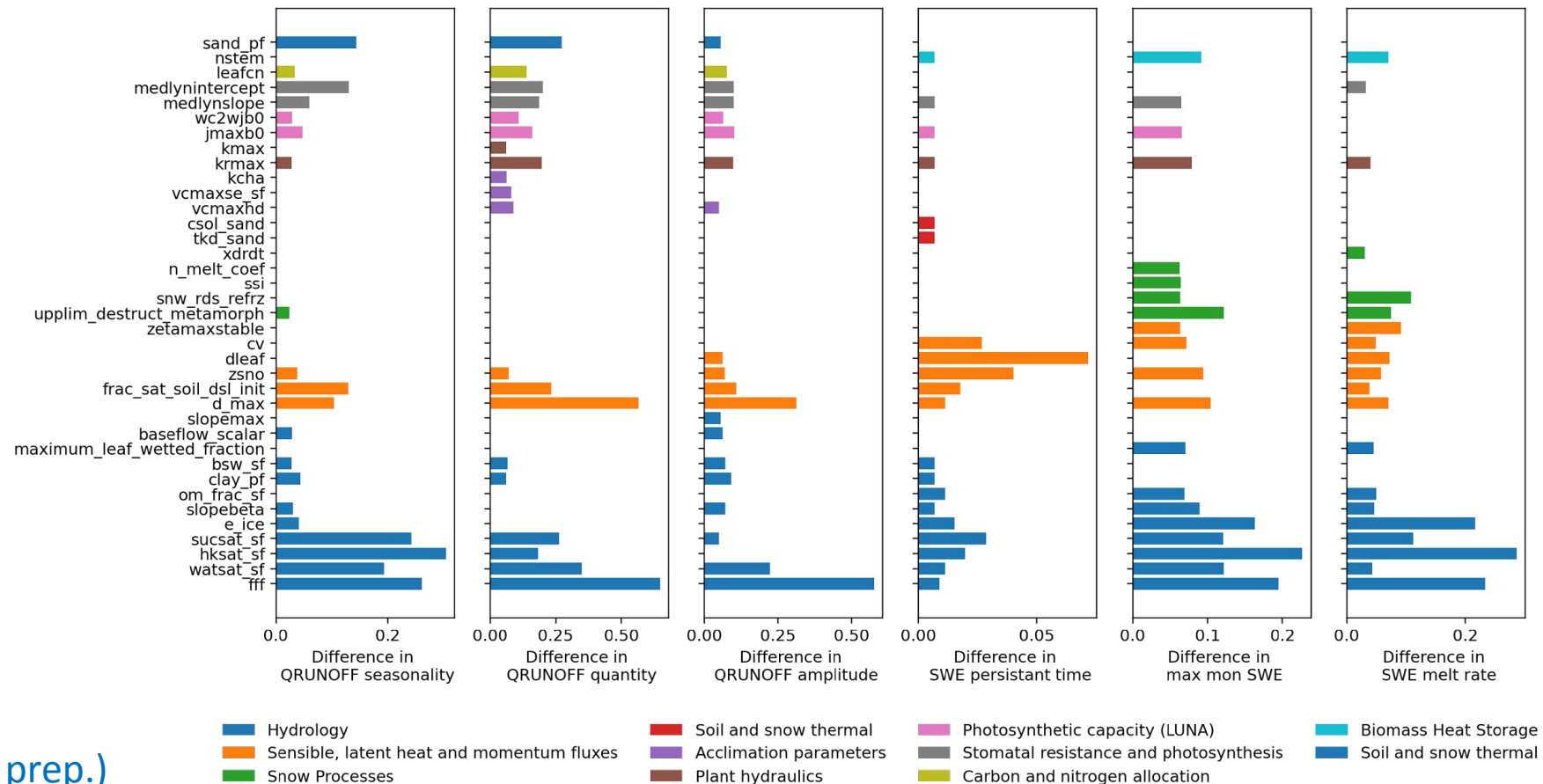
- Representative of the unregulated headwaters regions
- Consideration in many CC and parameter sensitivity analyses ([e.g., Mendoza et al. 2015](#))
- Relatively Small area (thousands of model runs)
- ctsm5.1.dev108, Satellite Phenology (SP), 0.9x1.25 surface dataset
- Model runs over **60-year (1951-2010)**
- Precipitation and Temperature from [Livneh et al. \(2015\)](#), other forcing from GSWP3
- T and P sensitivities of runoff are estimated through regression (with storage correction [Milly et al. 2018](#))



Three basins in the Colorado Headwaters Region

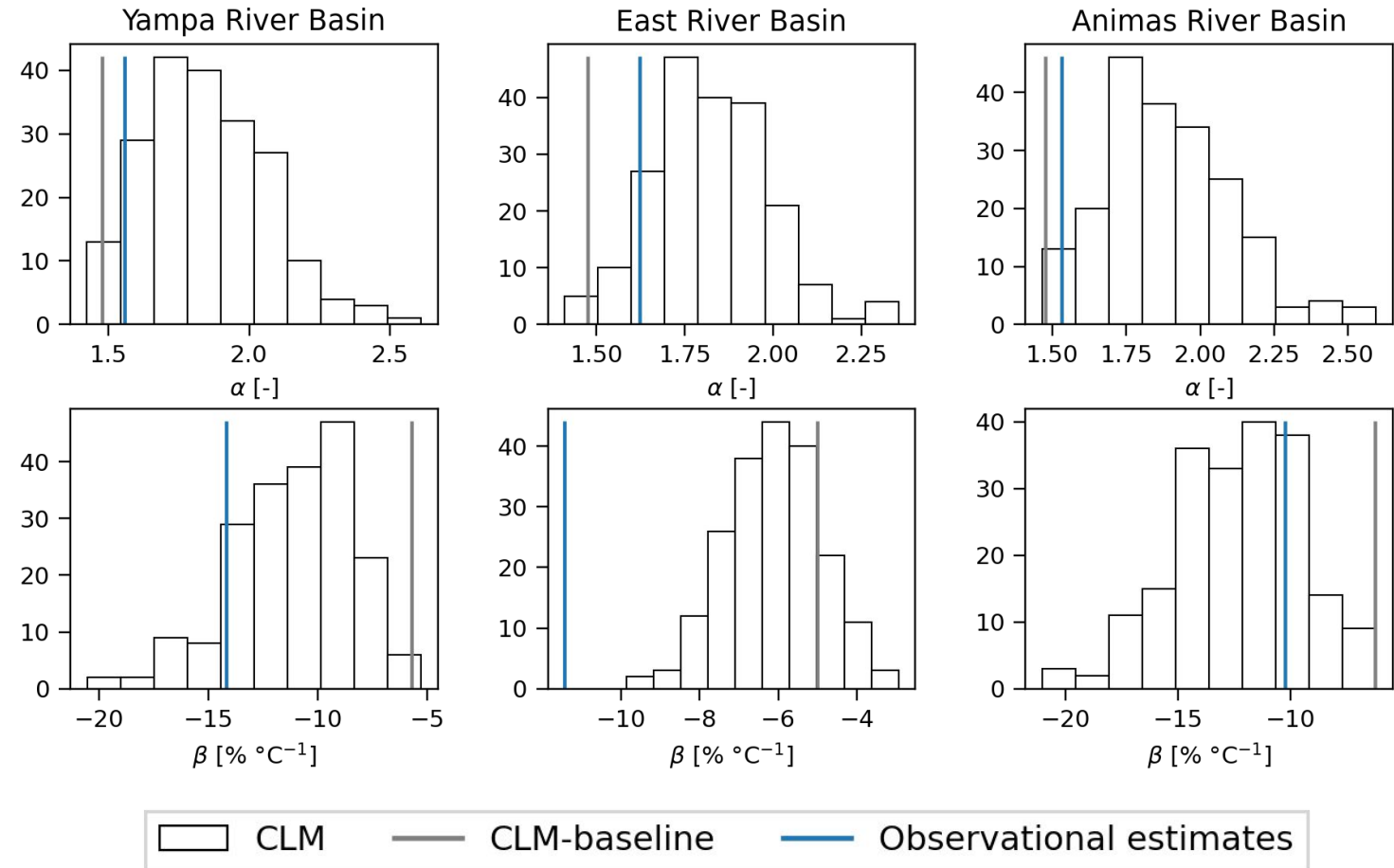
# Pre-screening of parameters using PPE

- Perturbed Parameter Ensemble (PPE) used to calculate six metrics following Cheng et al. (2022); Dagon et al. (2020)
- **37 informative parameters** for hydrology in Southwestern US



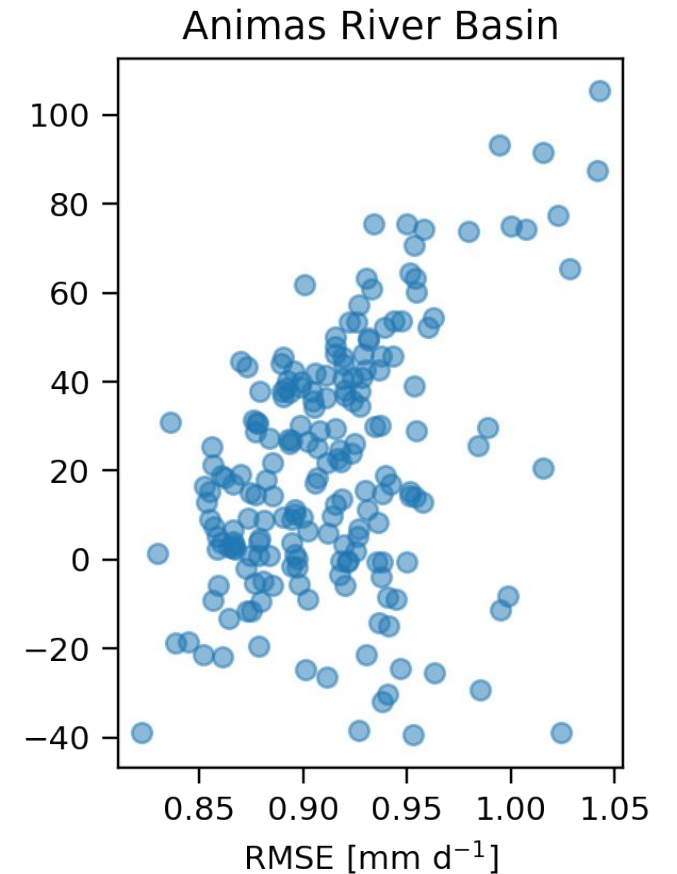
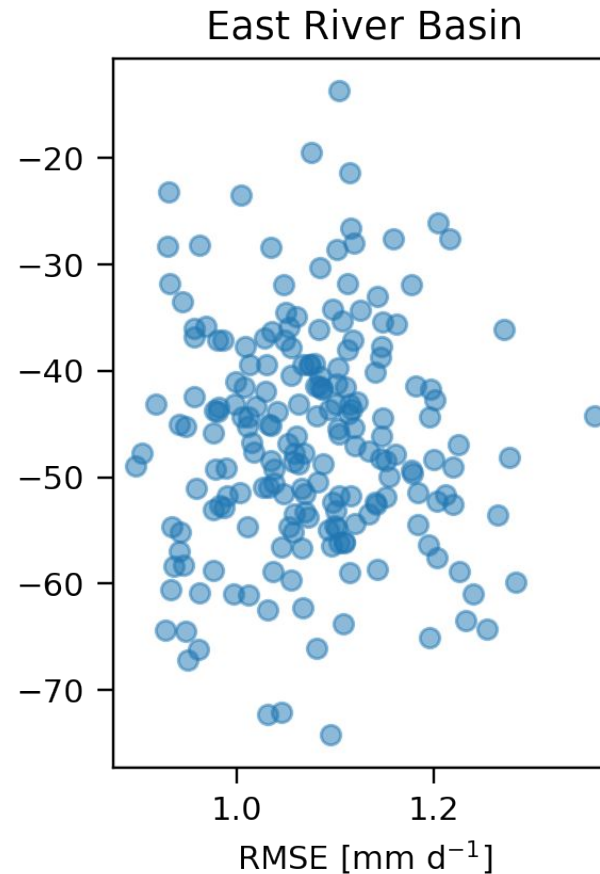
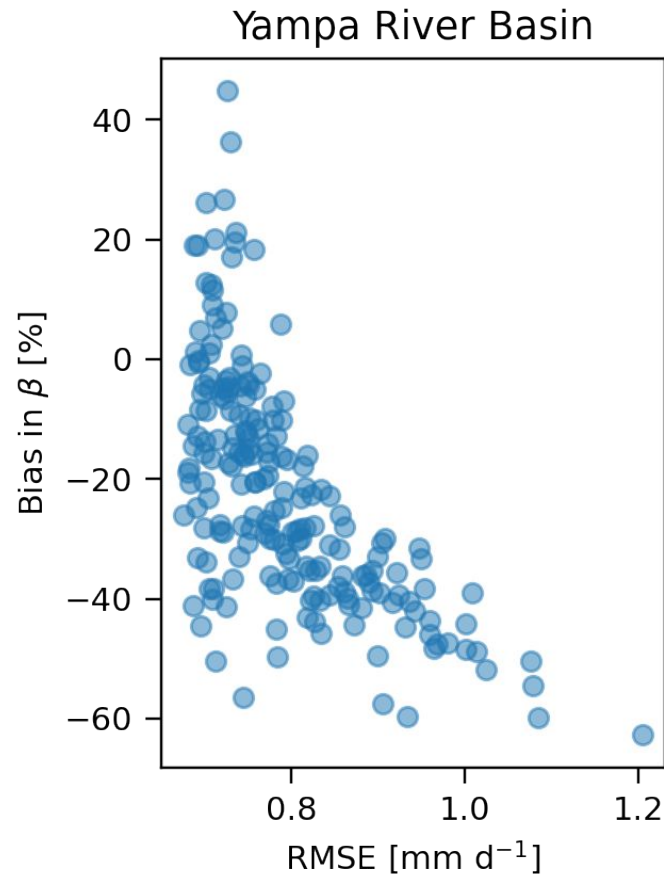
# Parametric uncertainty in model runoff sensitivities

- 37-parameters Latin Hypercube sample (N=200) ensemble of 60-year simulations
- T sensitivity  $\beta$  [-21, -6] % °C<sup>-1</sup>
- T sensitivity varies by a factor of **2** among LSMs e.g., Vano et al. (2012)
- P sensitivity  $\alpha$  [1.46, 2.59]



# Reducing errors in runoff does not necessarily improve runoff sensitivities

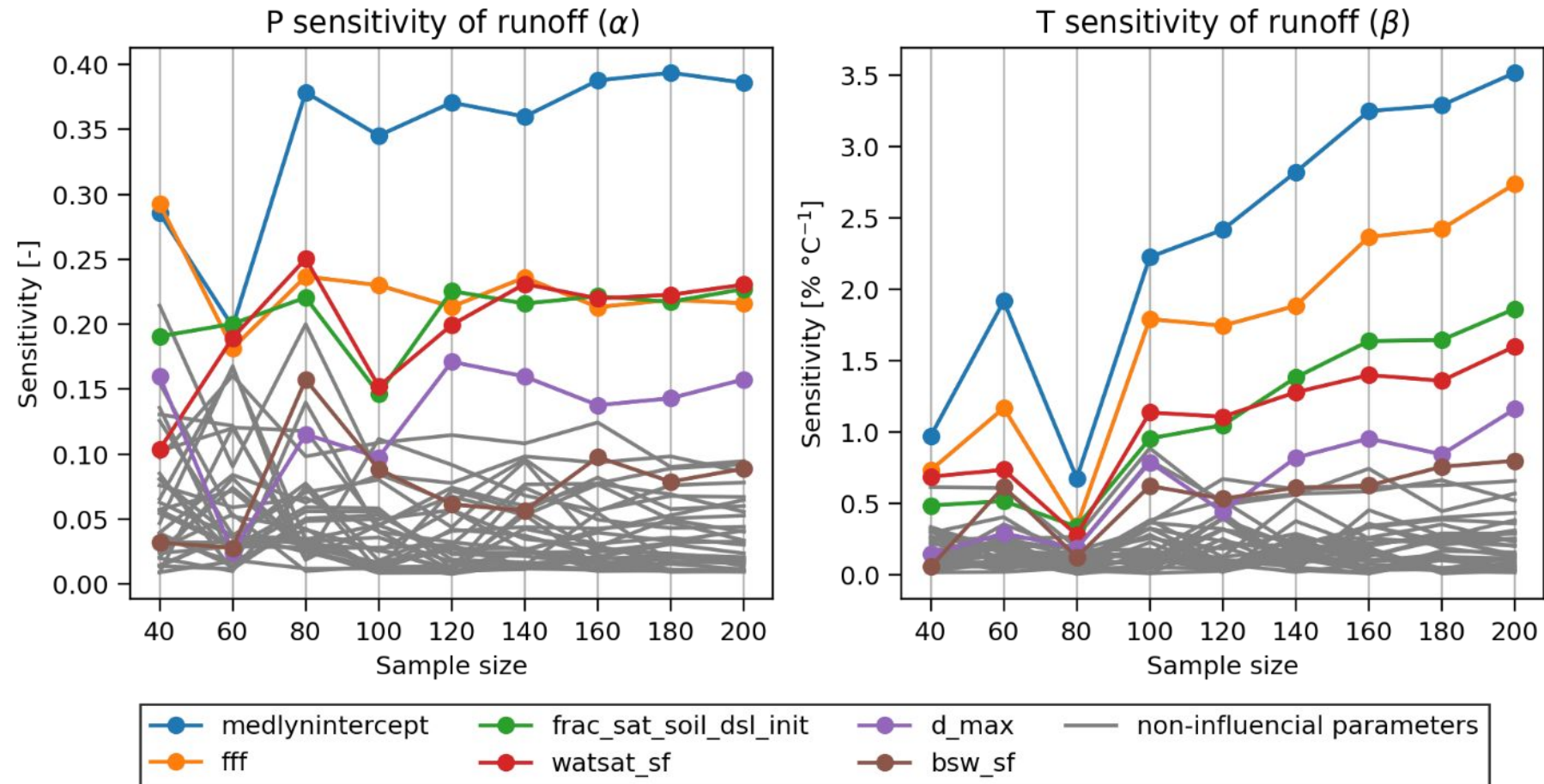
Bias in T  
sensitivity of  
runoff  
( $\beta$ )  
1951-2010



- Reaffirms conclusions of previous studies e.g., [Mendoza et al. \(2015\)](#): reducing errors in runoff might not correctly reproduce catchment processes.

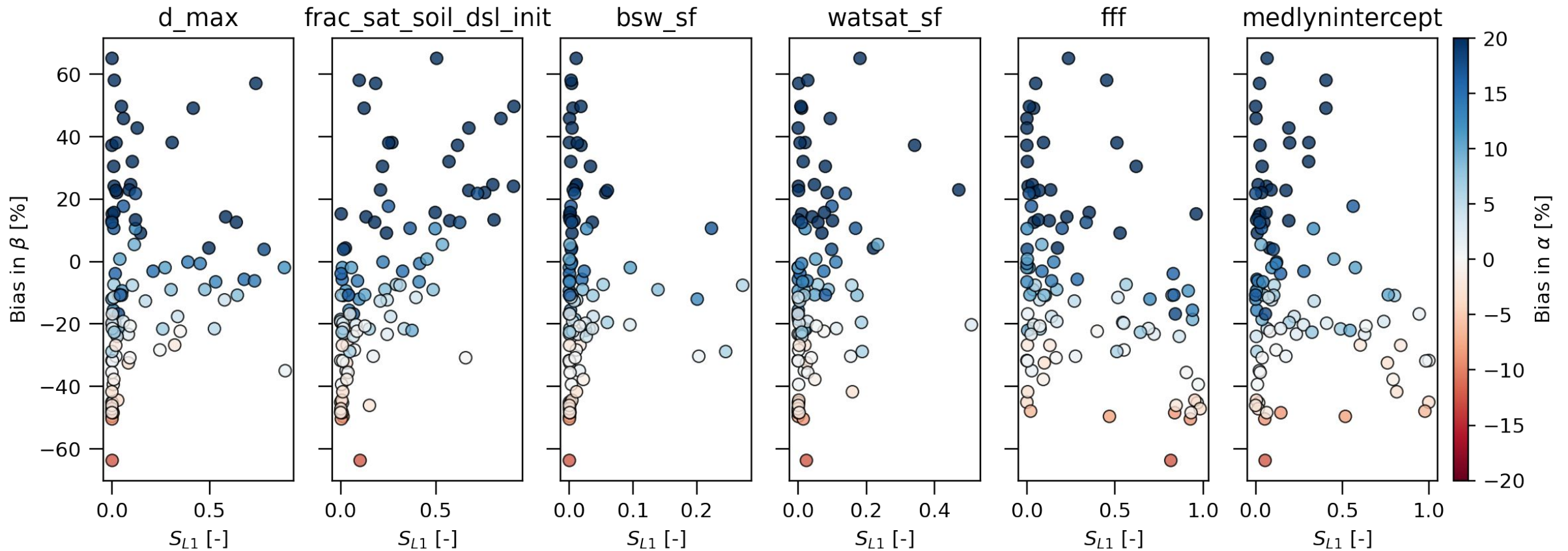
# Identification of the most sensitive parameters

- 37-parameters Latin Hypercube sample (N=200) 60-year simulations ensemble
- Surrogate models (Cheng et al. 2022) used to identify the most important parameters



# Conditioning of parameter sensitivity using model performance

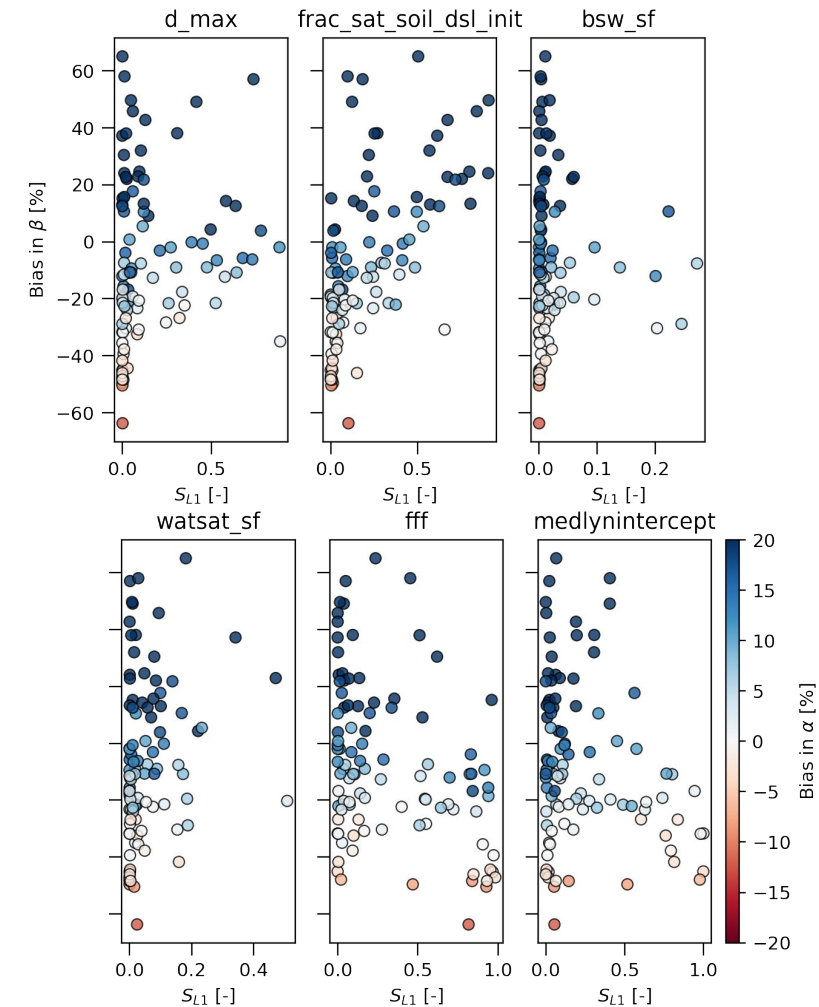
- Reducing the number of parameters simplifies the sensitivity analysis exercise
- Distributed Evaluation of Local Sensitivity Analysis (DELSA) ([Rakovec et al. 2014](#)).





# Conditioning of parameter sensitivity using model performance

- **Where  $\beta$  is underestimated:** (fff, medlynintercept)
  - Flashier total runoff  $\rightarrow$  surface runoff  $\rightarrow$  Infiltration  $\rightarrow$  sub-surface runoff & evapotranspiration
  - Higher in stomatal resistance  $\rightarrow$  Transpiration
- **Where  $\beta$  is overestimated:** (d\_max, frac\_sat\_soil\_dsl\_init)
  - Less surface resistance to evaporation  $\rightarrow$  soil evaporation  $\rightarrow$  sub-runoff (spring and summer)
- **Bias in  $\beta$ :** (watsat\_sf)
  - Porosity  $\rightarrow$  Latent heat (*soil evaporation*) and total runoff
  - Increase (decrease) evaporation & subsurface runoff

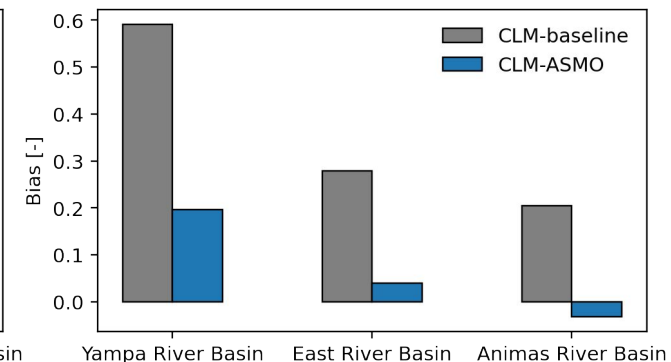
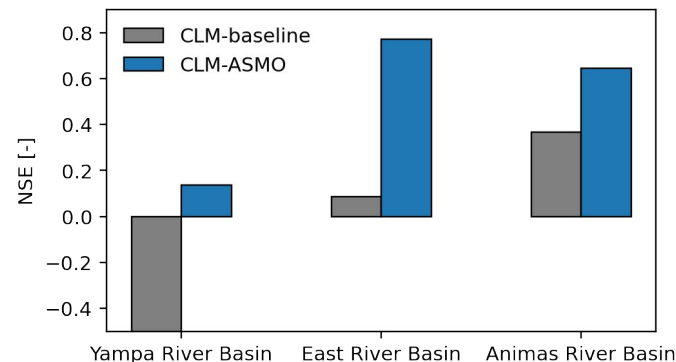
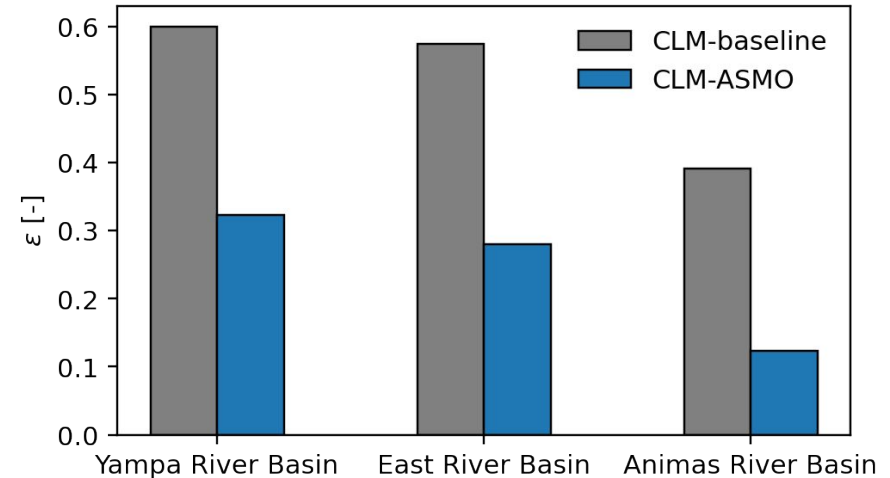


# Optimization of most sensitive parameters

- Adaptive Surrogate Based Modeling Optimization (ASMO) in Cheng et al. 2022

- $$\epsilon = \sqrt{\left(\frac{\alpha_{sim} - \alpha_{obs}}{\alpha_{obs}}\right)^2 + \left(\frac{\beta_{sim} - \beta_{obs}}{\beta_{obs}}\right)^2}$$

- Reduce bias from **52% to 28%** (mainly in  $\beta$ )
- Improvement in other metrics (NSE, long-term bias in runoff)
- High resolution surface dataset could further reduce biases



# Conclusions

- Reducing errors in runoff does not necessarily improve long-term runoff sensitivities
- Surface runoff parametrization, resistance to soil evaporation and transpiration, and soil hydraulic constants (porosity) are important sources for bias in runoff sensitivities in CLM
- Illustrate that consideration of long-term hydrologic sensitivities can help to guide model development ([Lehner et al. 2019](#))
- Expand the analysis to other environments requires development of workflows e.g., make spatially averaged surface dataset over a catchment of interest (similar to single point simulations).

**Many Thanks!**

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