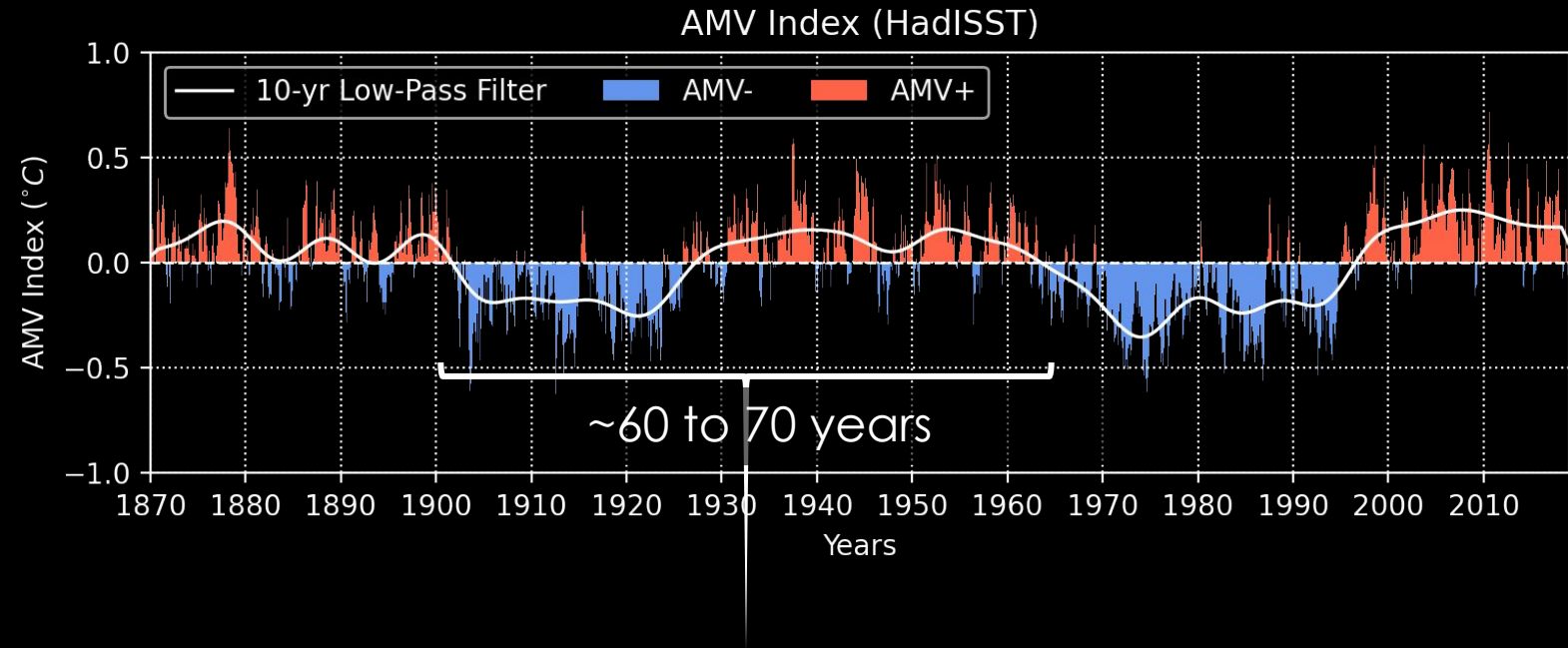
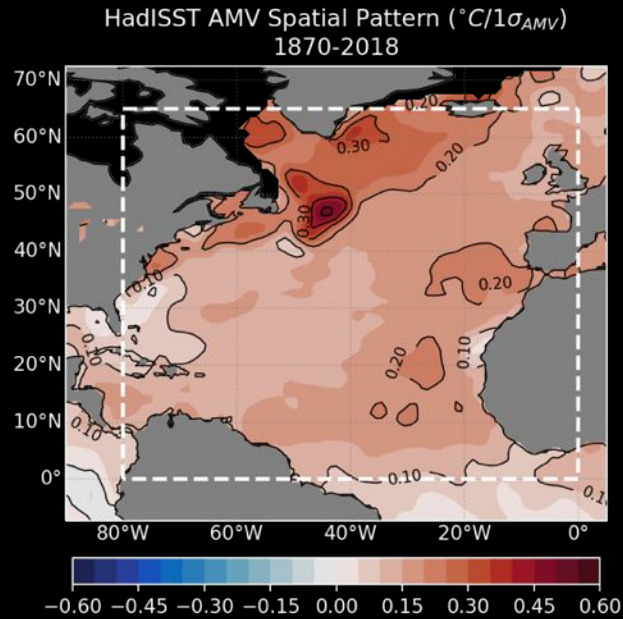


# Physical Insights from the Prediction of Atlantic Multidecadal Variability using Explainable Deep Neural Networks

Glenn Liu<sup>1</sup>, Peidong Wang<sup>2</sup>, Young-Oh Kwon<sup>3</sup>

2023 CESM Earth System Prediction Winter Working Group Meeting

# Atlantic Multidecadal Variability (AMV)



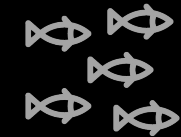
Why it's important:



Atlantic Hurricane Activity



Extreme Temperature and Precipitation

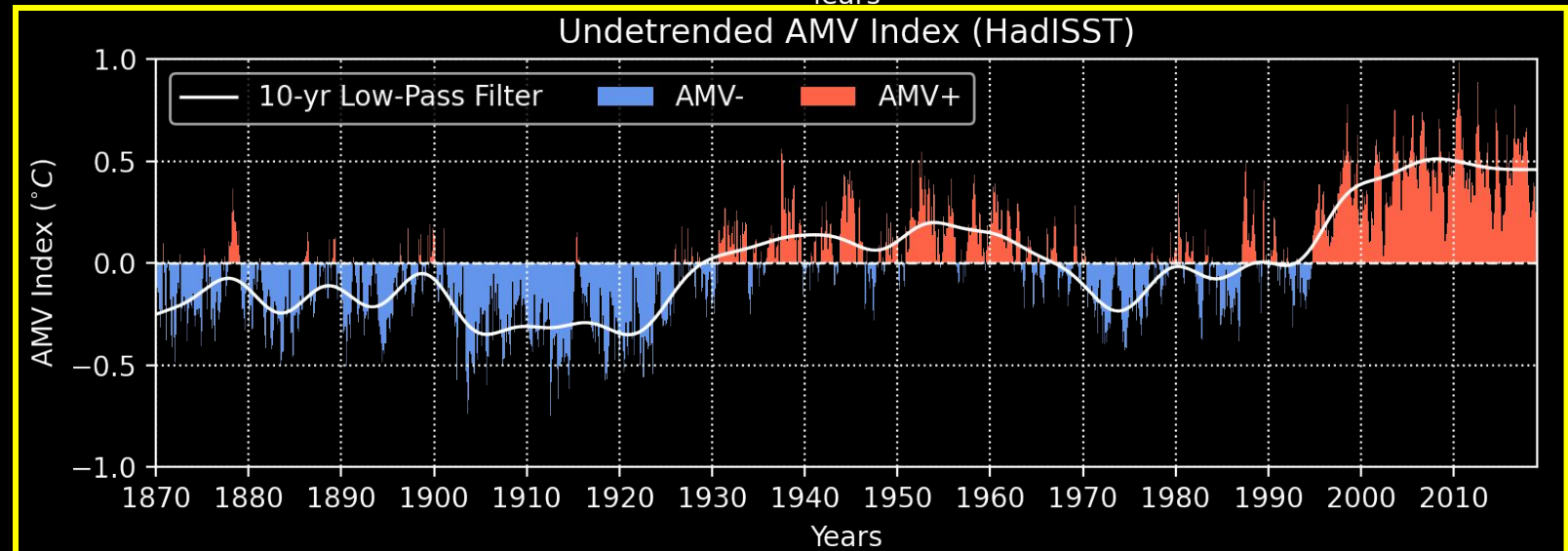
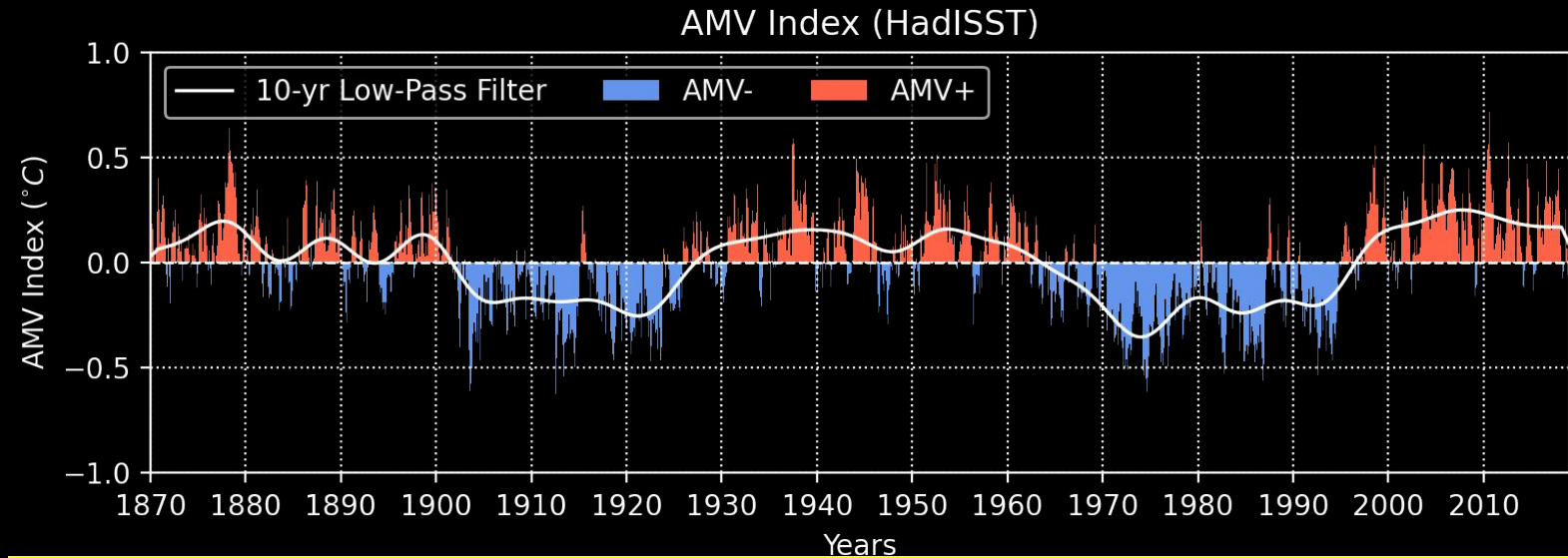


Ecosystem Regime Shifts

Relative importance of **ocean** vs. **atmospheric** dynamics? 2

# AMV and the External Trend

We focus on predicting the **undetrended** and **unsmoothed** AMV Index



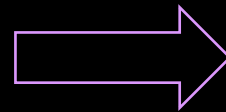
- Q: Do oceanic or atmospheric variables contribute to accurate prediction of the AMV state?

Neural networks have shown promise at *interannual* climate prediction



Are neural networks capable of making skillful predictions at longer timescales?

Insufficient data in observations  
1870-2022 (~150 years)

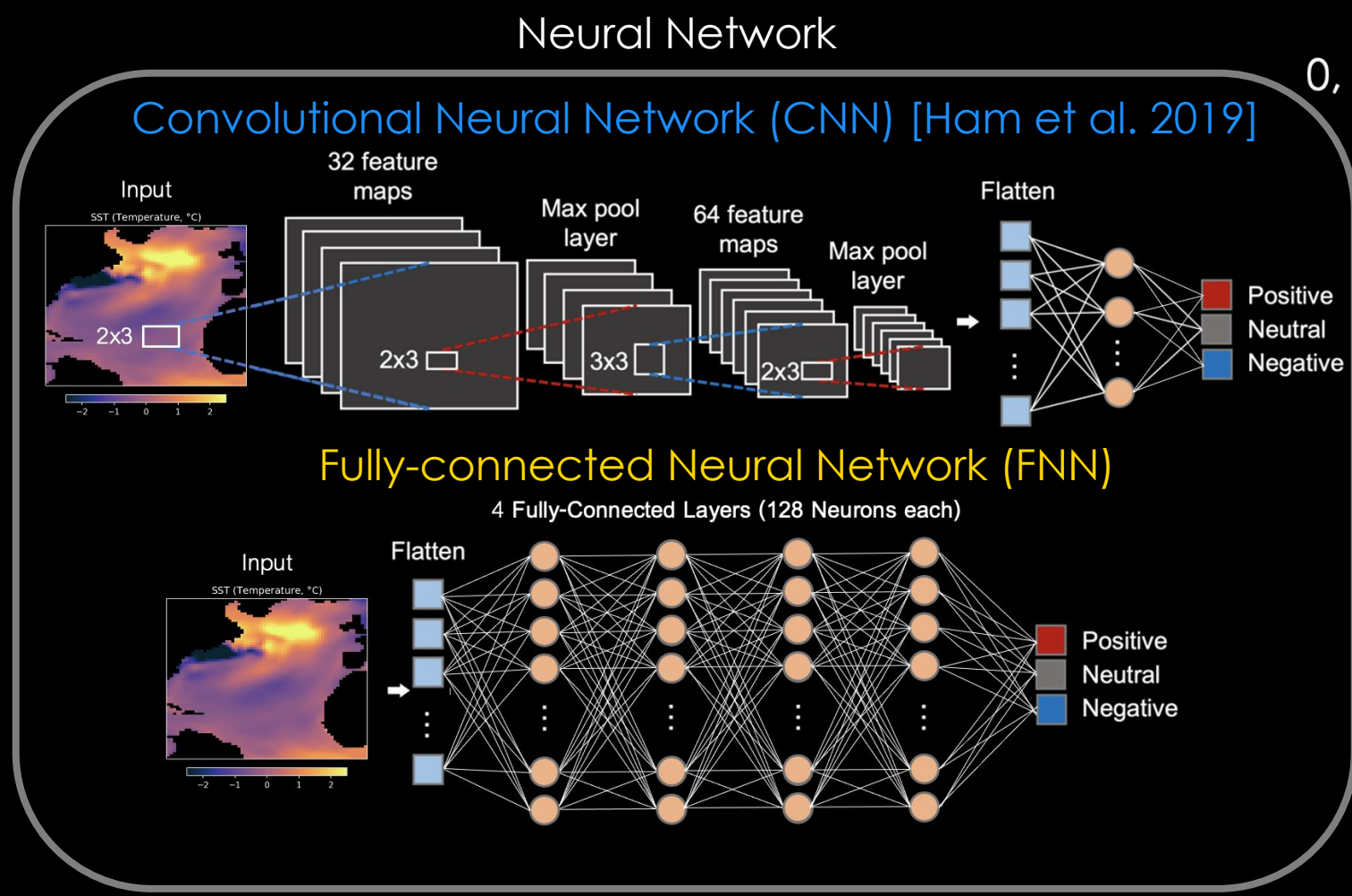
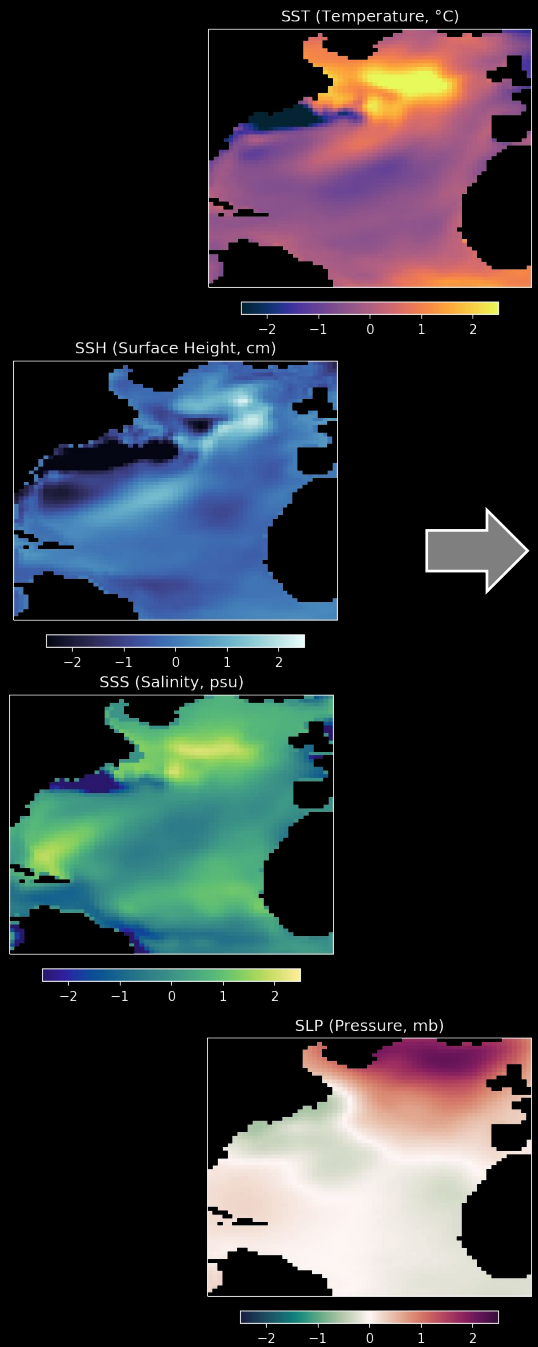


Community Earth System Model 1.1 (CESM1)  
40-member Large Ensemble Simulations  
40 x (1920-2015) = 3,440 Years

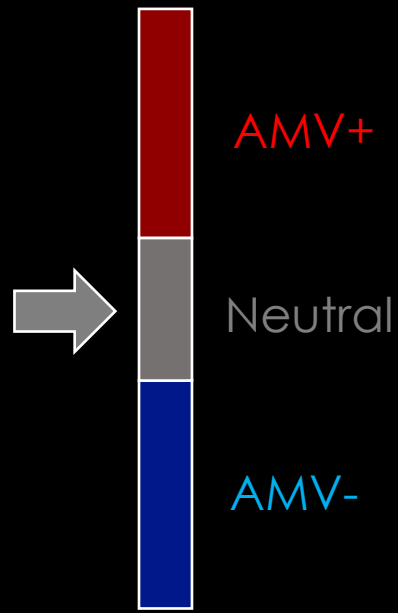
The "Black Box" of  
machine learning/deep neural networks



Investigate sources /patterns of predictability using **Layer-wise Relevance Propagation (LRP)**  
(Toms et al. 2020, Mamalakis et al. 2022, ...)



AMV State  
0, 3, ..., 24 years later  
1σ Threshold

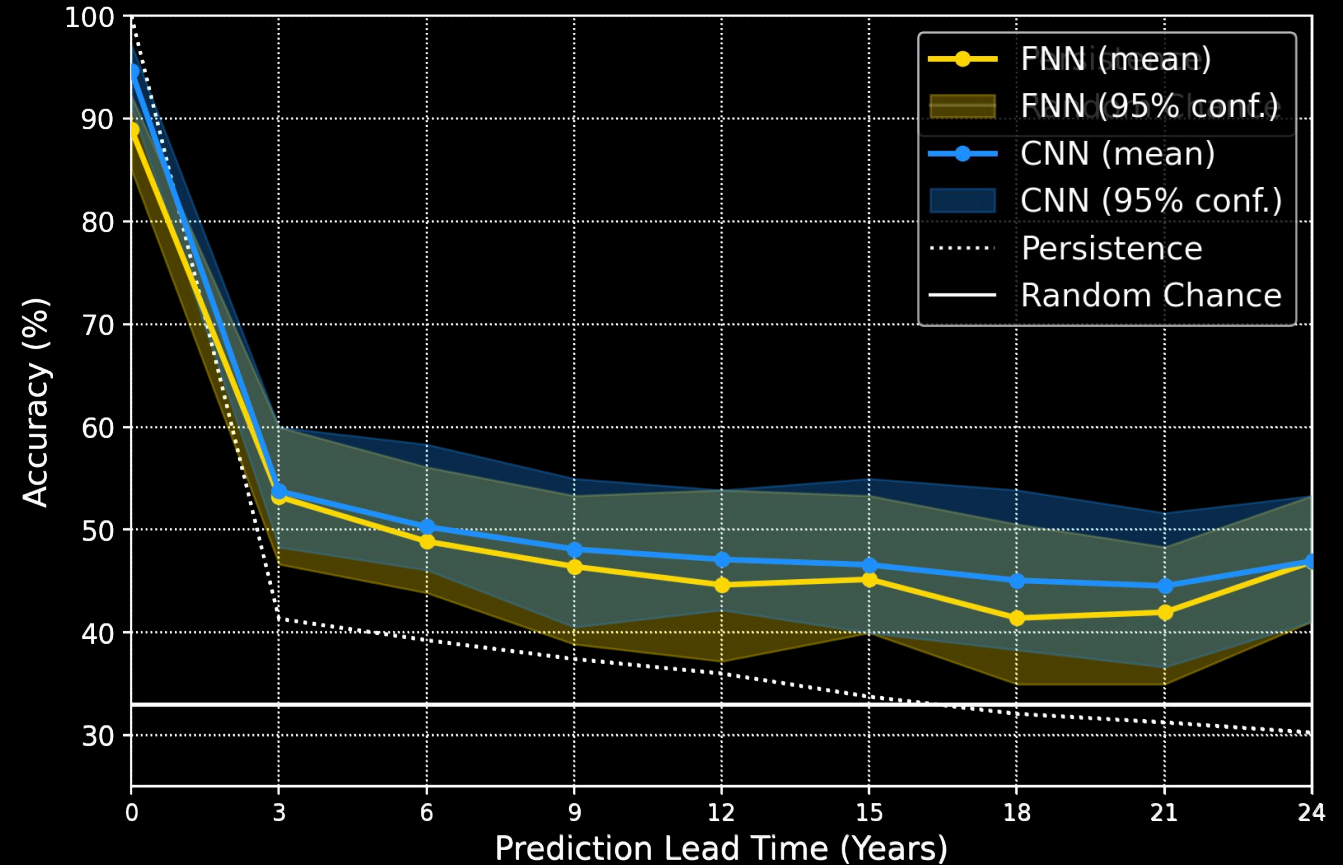


4 Predictors x 50 networks x 9 lead times = 1,800 networks

# Do Convolutional Neural Networks (CNNs) outperform Fully-connected Neural Networks (FNNs)?

SST as a predictor | Persistence Baseline: AMV state will remain the same

Both CNN and FNN outperform the baselines, and exhibit *comparable accuracy*



Shading: 95% confidence

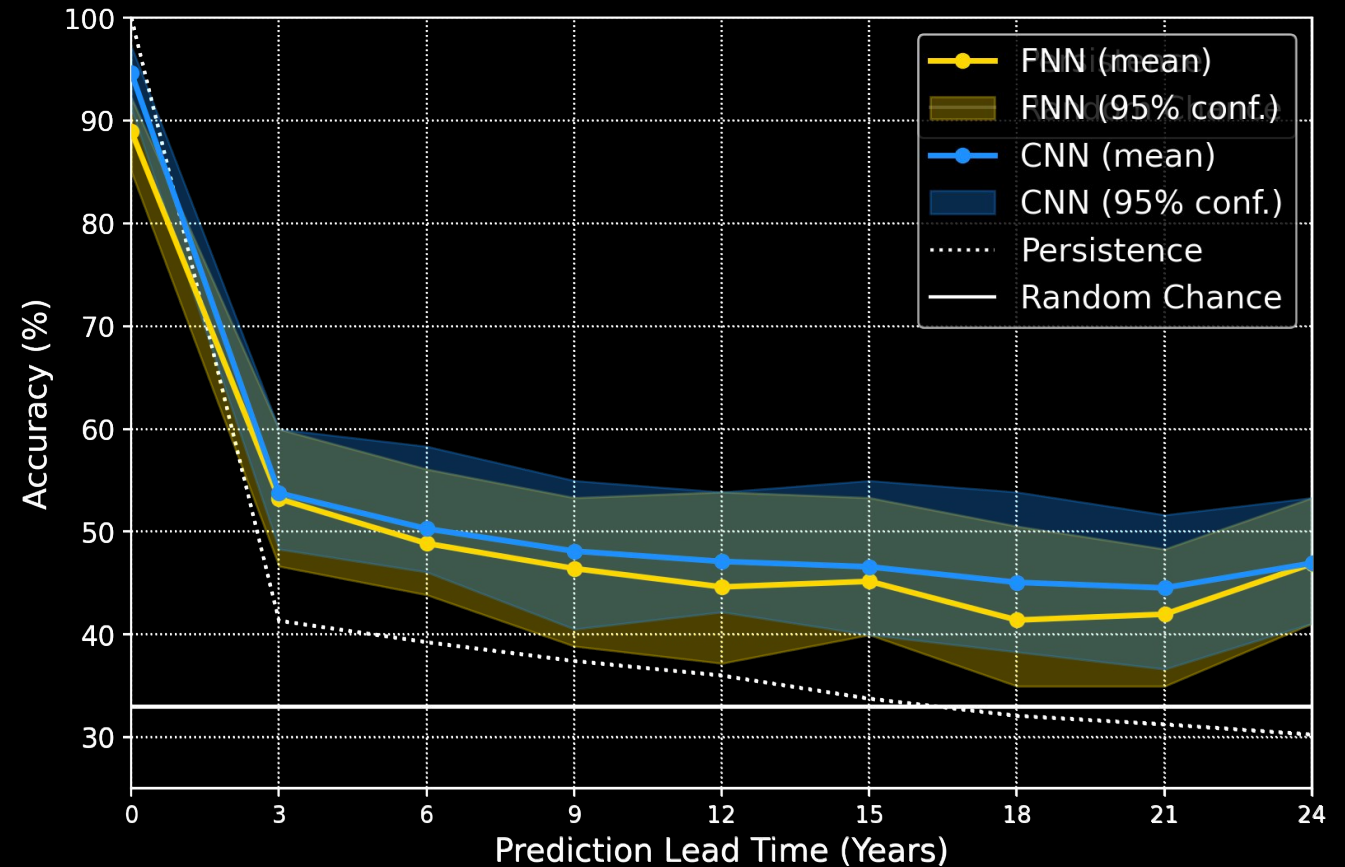
# Do Convolutional Neural Networks (CNNs) outperform Fully-connected Neural Networks (FNNs)?

SST as a predictor | Persistence Baseline: AMV state will remain the same

## CNNs and translation invariance



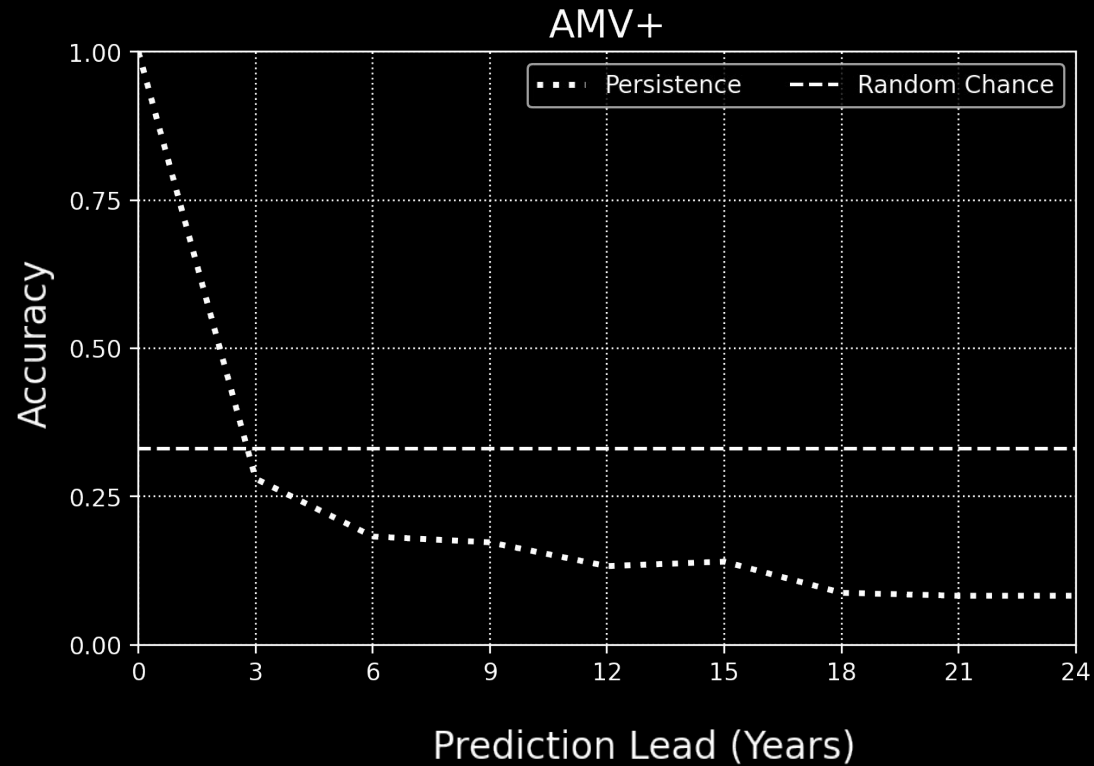
Source: [Zhou et al 2015](#)



Shading: 95% confidence

From this point onwards, we focus on results for the simpler **FNN**

# Differences in Skill by Predictor: **AMV+**, Baselines

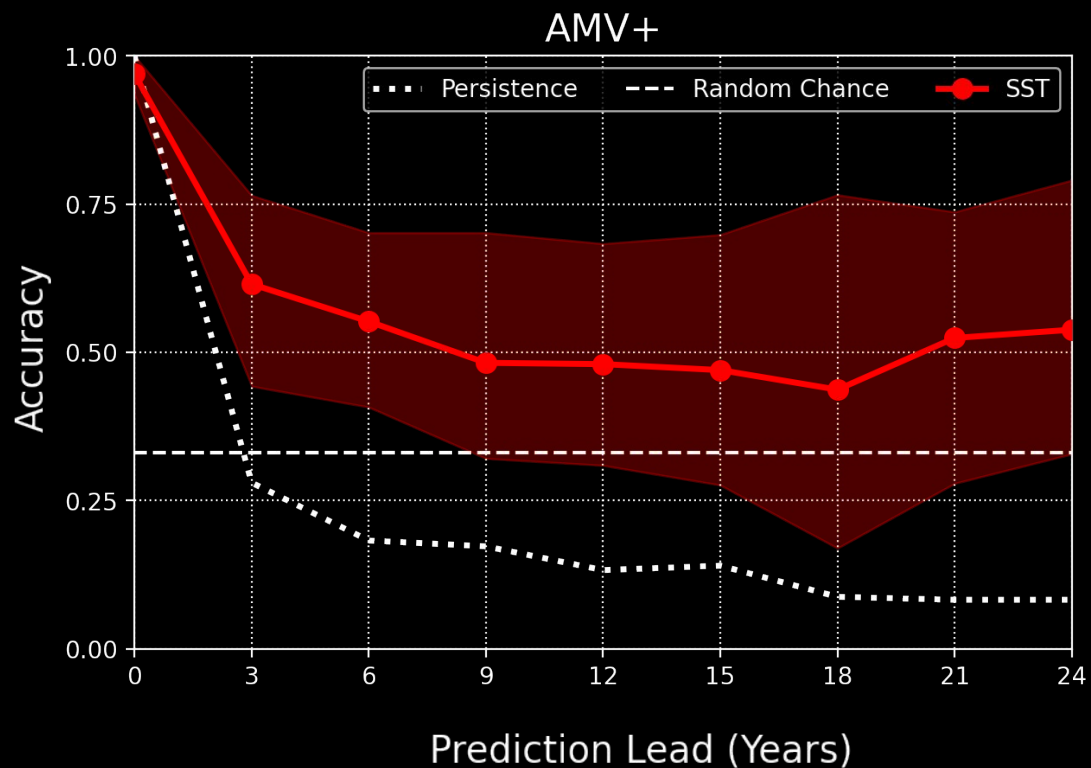


Predictors Evaluated:  
**SST**: Sea Surface Temperature  
**SLP**: Pressure at Sea Level  
**SSH**: Sea Surface Height  
**SSS**: Sea Surface Salinity

First, we examine the accuracy of **AMV+** predictions for FNNs trained with a given predictor.



# Differences in Skill by Predictor: **AMV+**, **SST**

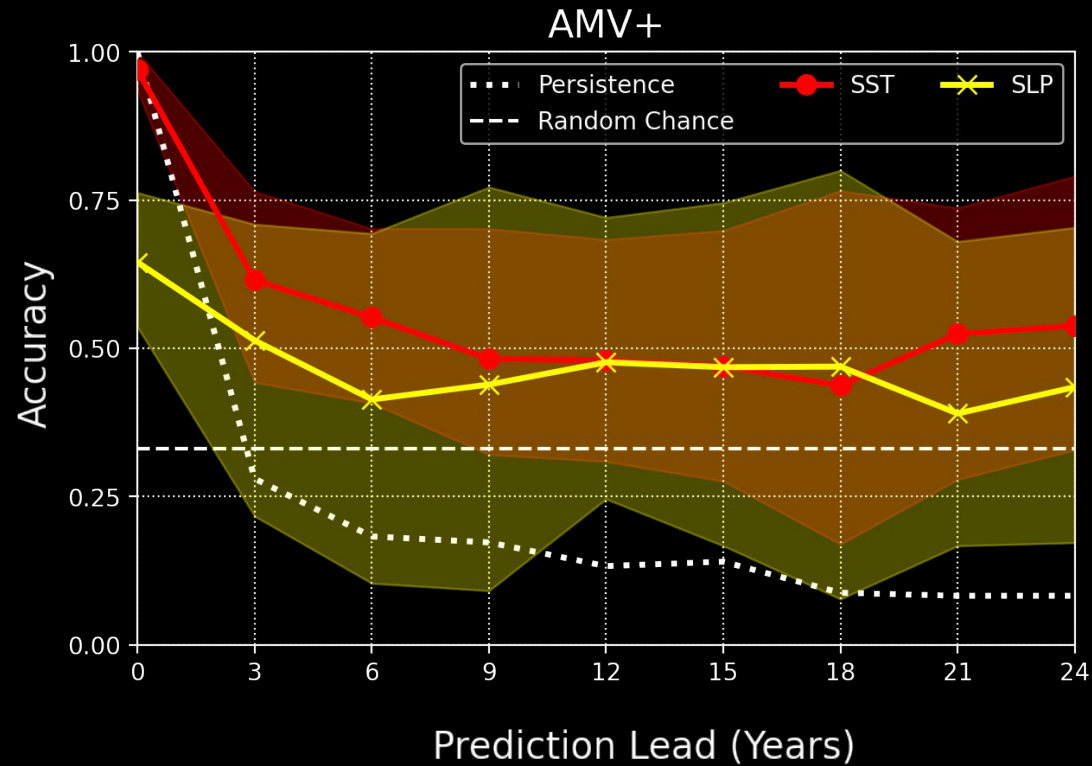


*Shading: 95% confidence*

Predictors Evaluated:  
**SST**: Sea Surface Temperature  
**SLP**: Pressure at Sea Level  
**SSH**: Sea Surface Height  
**SSS**: Sea Surface Salinity

**SST** is a useful predictor in the first 3-6  
The FNN is outperforming the persistence baseline

# Differences in Skill by Predictor: **AMV+**, **SLP**

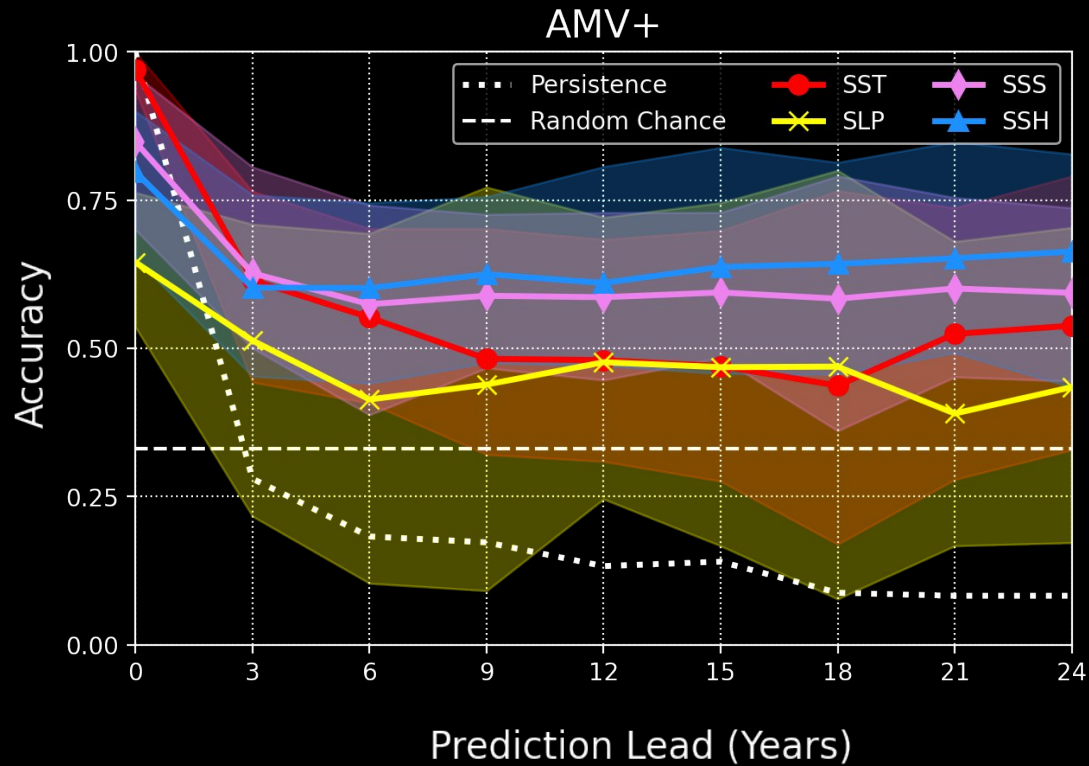


Predictors Evaluated:  
**SST**: Sea Surface Temperature  
**SLP**: Pressure at Sea Level  
 SSH: Sea Surface Height  
 SSS: Sea Surface Salinity

*Shading: 95% confidence*

**SLP** performs worse than **SST** in predictions <12 years, but has comparable skill at later leads.

# Differences in Skill by Predictor: **AMV+**, **SSS** & **SSH**



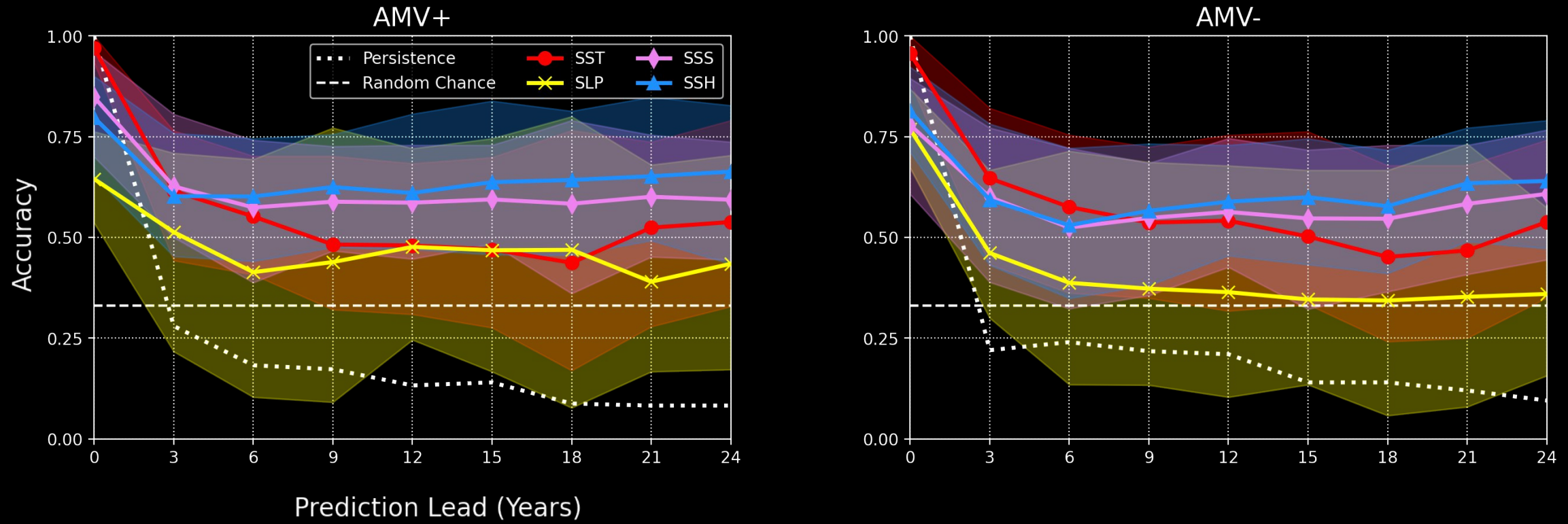
Predictors Evaluated:  
**SST**: Sea Surface Temperature  
**SLP**: Pressure at Sea Level  
**SSH**: Sea Surface Height  
**SSS**: Sea Surface Salinity

Shading: 95% confidence

**SSS** & **SSH** predictors result in consistently higher skill at predictions >6 years

Networks trained with *oceanic variables* exhibit higher accuracies at longer leadtimes

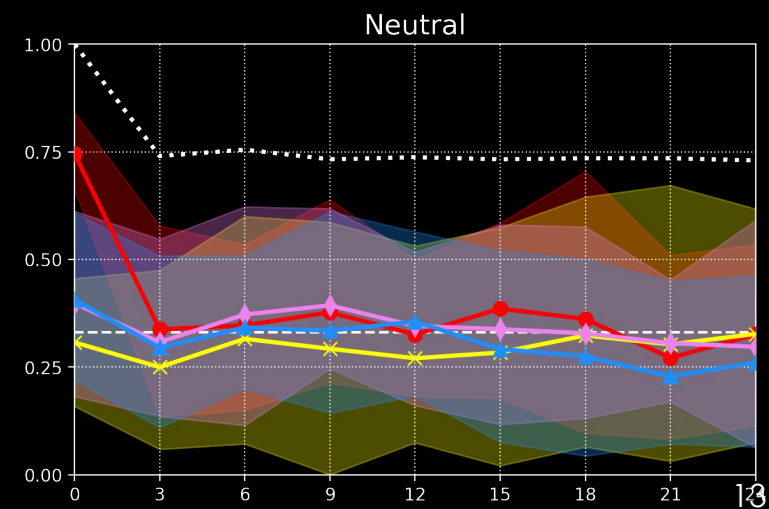
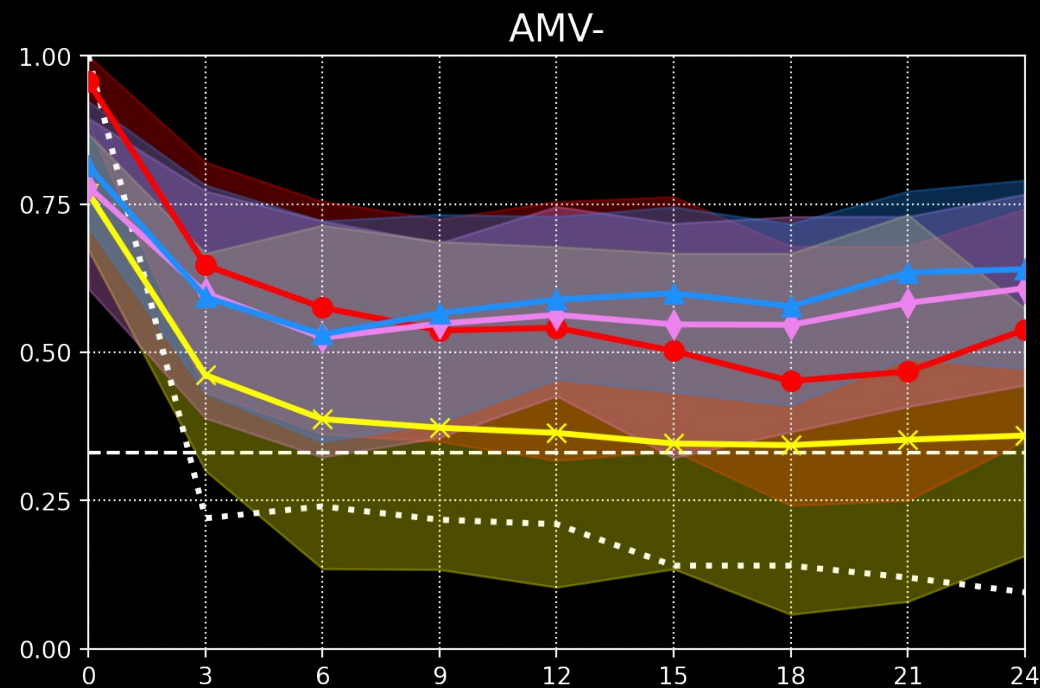
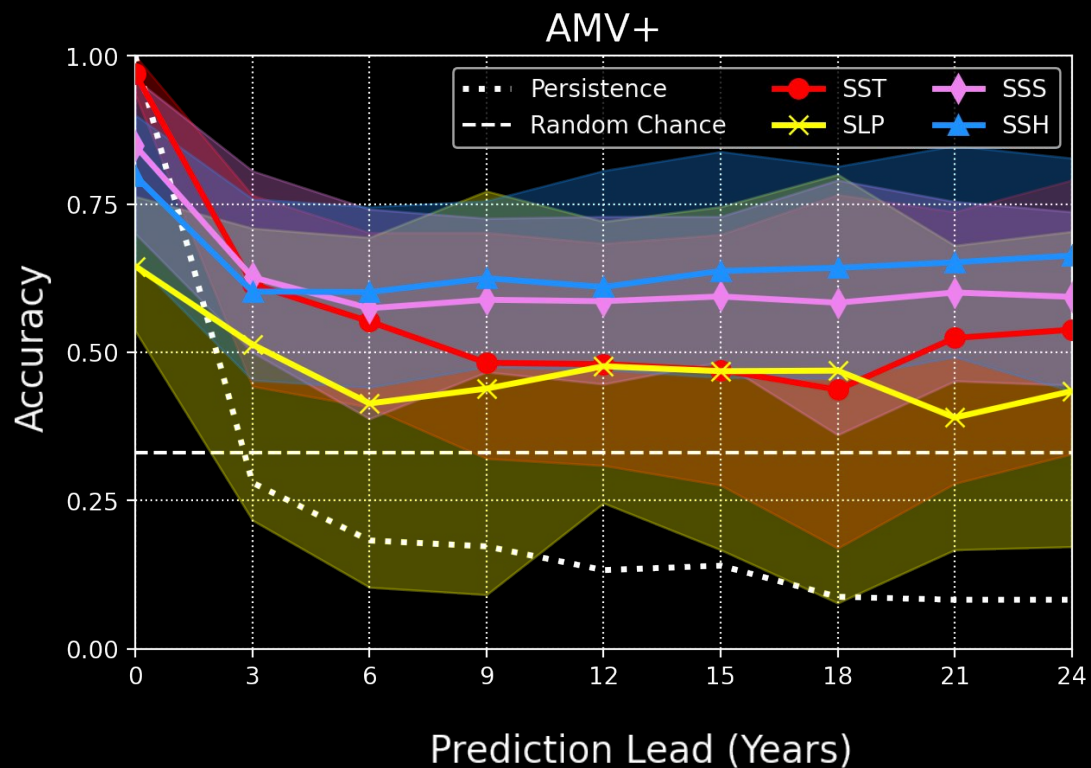
# Differences in Skill by Predictor: **AMV-**



*Shading: 95% confidence*

The differences between the predictors are similar for **AMV-**.  
 The gap in skill between **SST** and the **oceanic predictors** is smaller.

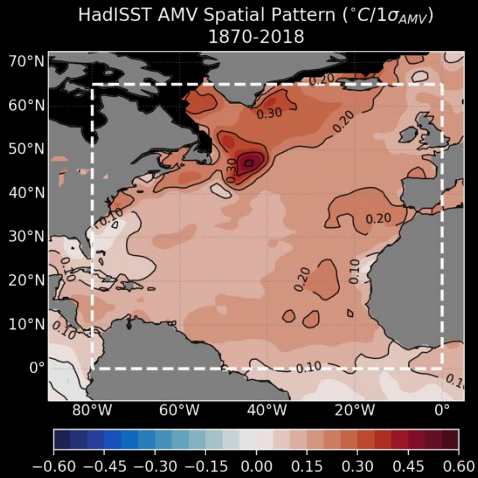
# Differences in Skill by Predictor: Neutral



*Shading: 95% confidence*

For all predictors, the networks are less skillful in predicting Neutral AMV states.

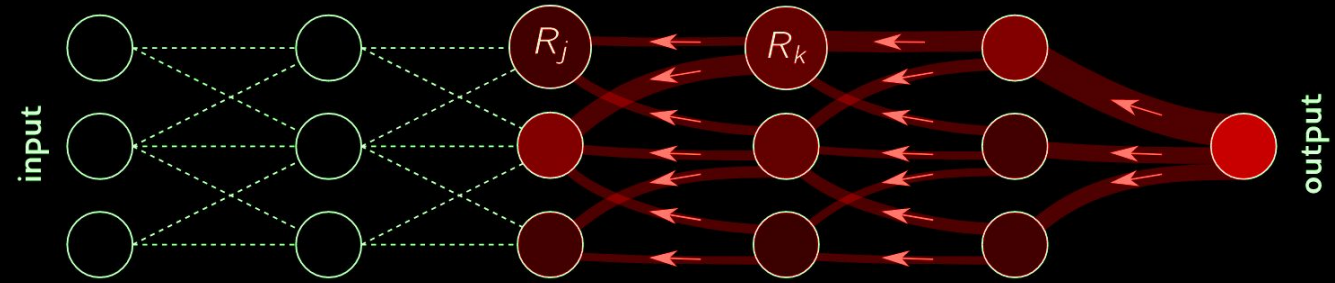
# Where are the sources of predictability? (Preliminary results)



SST

Gulf Stream/North Atlantic Regions have high relevance for long term prediction

## Layerwise Relevance Propagation (LRP)



Schematic from Montavon et al. 2019

Mean relevance composites of *correct AMV+* predictions for the Top 25 networks

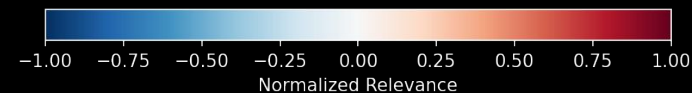
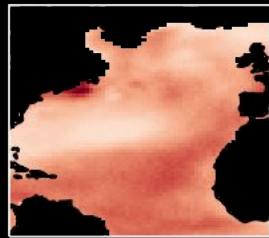
Lead 24

Lead 18

Lead 12

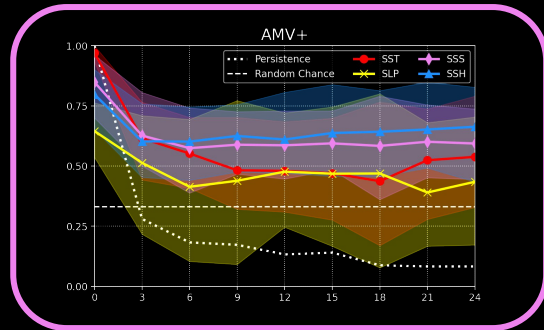
Lead 6

Lead 0

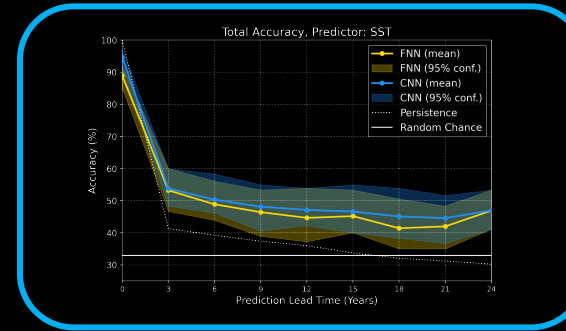


# Takeaways, Future Directions

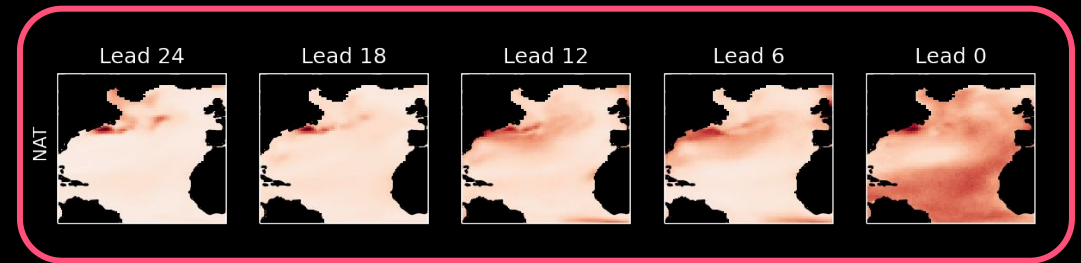
Complex architectures (CNN) do not necessarily lead to more accurate AMV prediction.



Gulf Stream / North Atlantic Current emerge as important regions for long term predictability



Oceanic variables (SSH, SSS) offer more predictive skill on long (>6 year) lead times



## Future Work:

- Investigate dynamics/causality behind predictability in high relevance regions for SSH, SSS
- Explore how the presence of the external trend contributes to predictability
- Examine sensitivity of relevance maps to other explainability methods
- Applicability and transfer learning to observations/reanalyses, and other models

Thanks for listening! Questions? Email: [glennliu@mit.edu](mailto:glennliu@mit.edu)