

CESM CVCWG Winter Meeting  
(February 21, 2023)

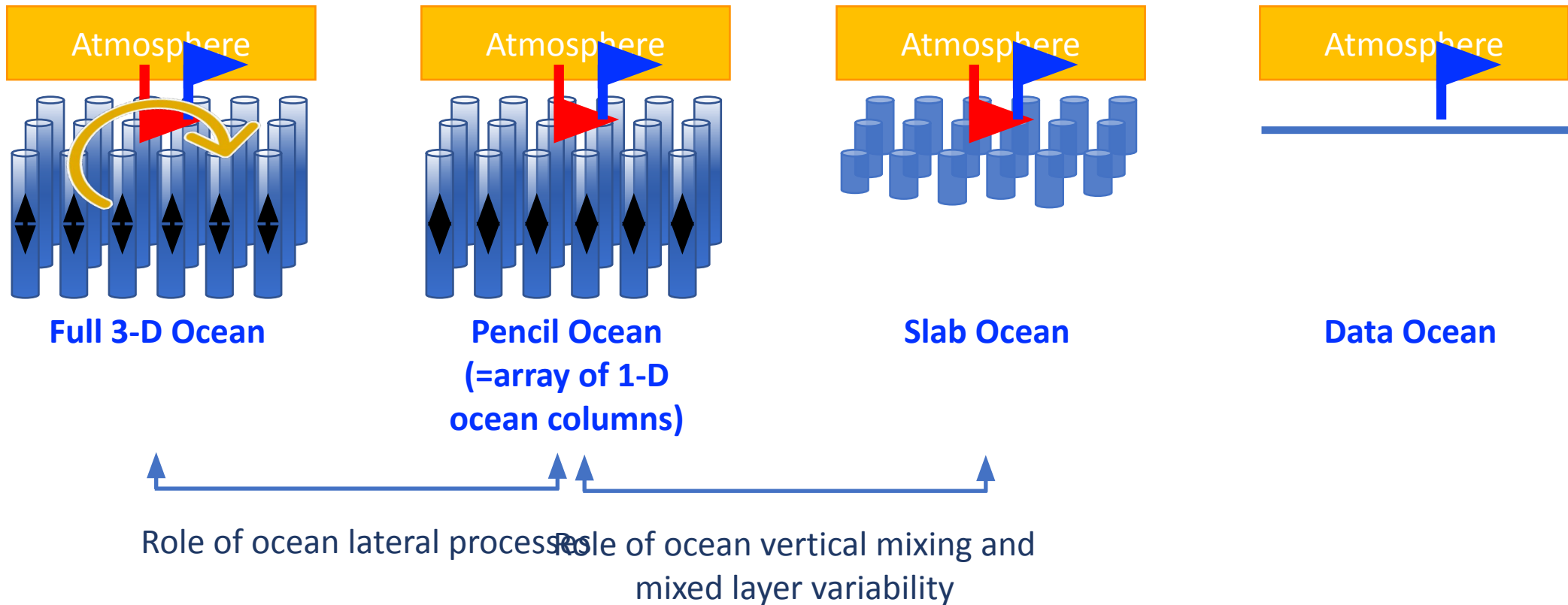
# CESM Pencil Ocean Model

Young-Oh Kwon<sup>1</sup>, Ivan Lima<sup>1</sup>, Gokhan Danabasoglu<sup>2</sup>, Jim Benedict<sup>3</sup>, Amy Clement<sup>4</sup>,  
Clara Deser<sup>2</sup>, Mike Levy<sup>2</sup>, Yu-Chiao Liang<sup>5</sup>, Isla Simpson<sup>2</sup>, Bob Tomas<sup>2</sup>, Yu-Heng Tseng<sup>5</sup>

(1: WHOI, 2: NCAR, 3: LANL, 4: RSMAS, 5: NTU)

# Pencil Ocean Model (aka 1dPOP / column ocean model / mixed layer ocean model)

## Choices for the ocean model component in CESM



## Previous studies using similar 1-D ocean models

- Bhatt et al. (1998), Alexander et al. (2000), Cassou et al. (2007), Kwon et al. (2011)
  - ↳ prognostic mixed layer scheme, but not consistent with the corresponding full ocean model.
- Hsu et al. (2022)
  - ↳ prescribed spatial and temporal variability of MLD.
- Klingaman and Woolnough (2014), Hirons et al. (2015)
  - ↳ prognostic mixed layer scheme (K Profile Parameterization, KPP), but did not have a corresponding full ocean model.

## CESM2 Pencil Ocean Model

- Uses CESM2 ocean model component (POP2) by disabling lateral processes.
- 3-D mean flux corrections for the temperature and salinity are applied to ensure the climatologies are consistent with the fully coupled CESM2.
- 3-D mean flux corrections are also applied to the horizontal velocity to ensure the realistic vertical shear for the KPP mixing scheme.

## Governing Equations for the pencil ocean model

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ \kappa_{\theta} \left( \frac{\partial \theta}{\partial z} - \gamma_{\theta} \right) \right] + SfcHFlx_{non-SWFlx} + SWFlx(z) + Q_{ice} + G_{\theta}$$

$$\frac{\partial S}{\partial t} = \frac{\partial}{\partial z} \left[ \kappa_s \left( \frac{\partial S}{\partial z} - \gamma_s \right) \right] + SfcFWFlx + (FWFlx + Salt)_{ice} + G_s$$

$$\frac{\partial U}{\partial t} = \frac{\partial}{\partial z} \left[ \kappa_m \frac{\partial U}{\partial z} \right] + fV + SfcMFlx_U + G_U$$

$$\frac{\partial V}{\partial t} = \frac{\partial}{\partial z} \left[ \kappa_m \frac{\partial V}{\partial z} \right] - fU + SfcMFlx_V + G_V$$

The momentum equations are needed to provide velocity vertical shear for the KPP parameterization,  $\kappa_{\theta}$ ,  $\kappa_s$ ,  $\gamma_{\theta}$ ,  $\gamma_s$ .

## Step 1: Calculate G-terms by restoring to a target climatological annual cycle.

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ \kappa_{\theta} \left( \frac{\partial \theta}{\partial z} - \gamma_{\theta} \right) \right] + SfcHFlx_{non-SWFlx} + SWFlx(z) + Q_{ice} + G_{\theta}$$

$$G_{\theta}(x, y, z, t) = \lambda [\bar{\theta}_{ref}(x, y, z, \bar{t}) - \theta(x, y, z, t)]$$

$\bar{\theta}_{ref}$  can be a climatological monthly mean calculated from a long full 3-D control simulation or observation.  
 $\theta$  is the temperature prognostically calculated by the pencil ocean model coupled CESM2 at each time step.

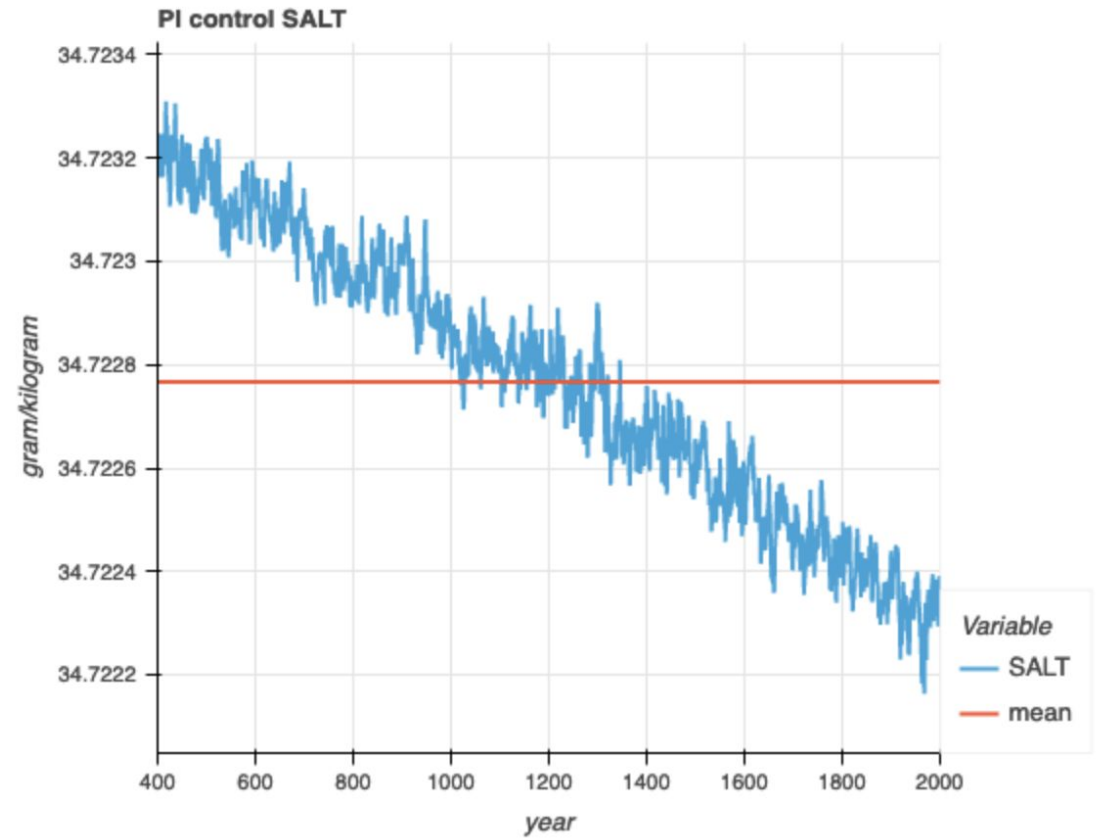
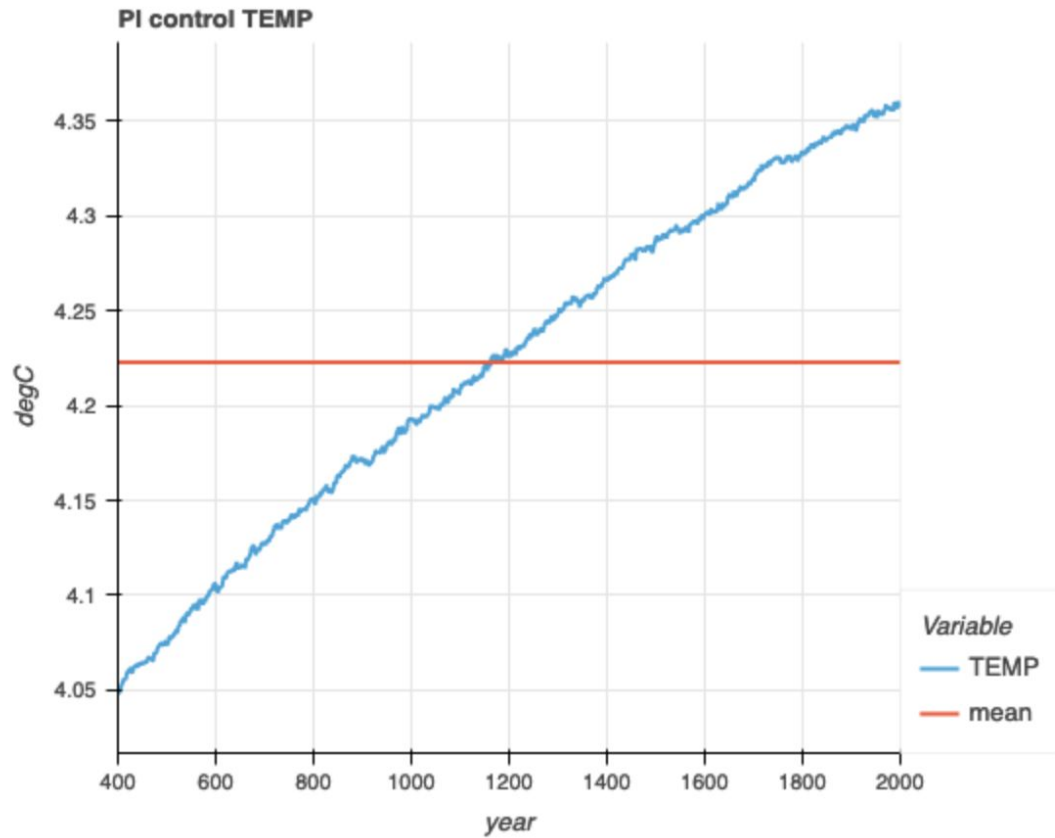
$\bar{\theta}_{ref}$   $\Leftrightarrow$  Monthly climatology from Yrs 400-2000 of CESM2 PI control (b.e21.B1850.f09\_g17.CMIP6-piControl.001)

“**Restoring run**”: 20-yr simulation of the PI control configuration using CESM2.1.4+1dPOP  
with the restoring strength of  $\tau_{\theta,S}=15$  days and  $\tau_{U,V}=3$  hours (I.C. = Yr 1161 of CESM2 PI control).

  $\bar{G}_{\theta}(x, y, z, mon), \bar{G}_S(x, y, z, mon), \bar{G}_U(x, y, z, mon), \bar{G}_V(x, y, z, mon)$  for the step 2 “G-term runs”.

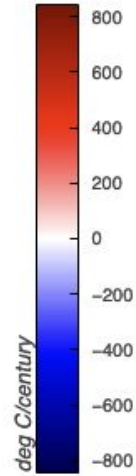
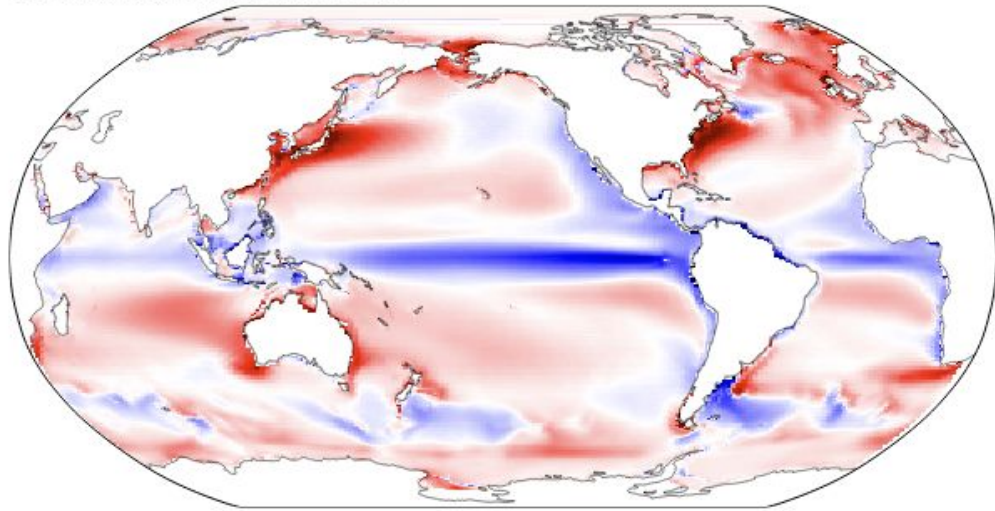
# Global 3-D mean temperature and salinity from CESM2 PI Control

I.C. = Yr 1161 of CESM2 PI control (b.e21.B1850.f09\_g17.CMIP6-piControl.001)

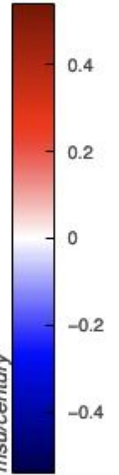
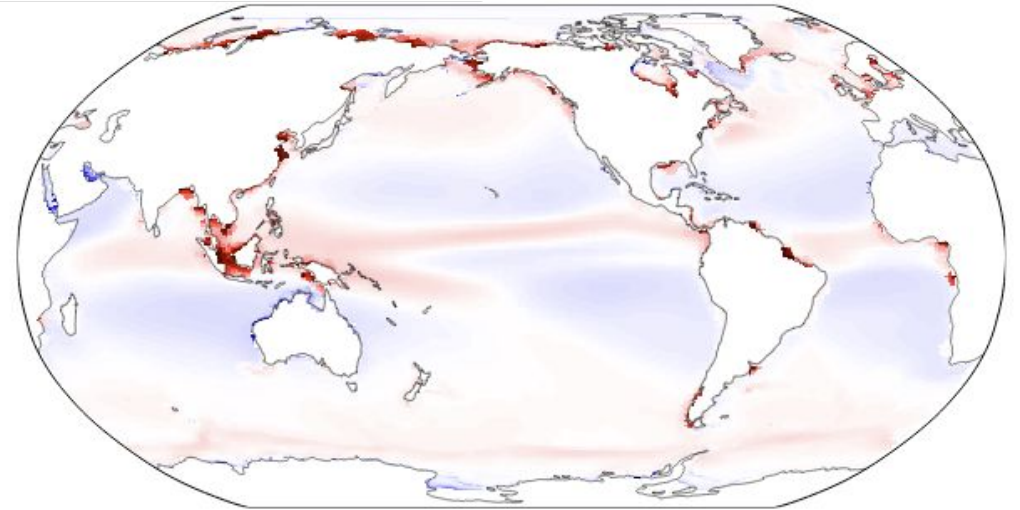


# Spatial patterns of the annual & upper 200 m mean G-terms

$\bar{G}_\theta$



$\bar{G}_S$





## Step 2: Prescribe $\bar{G}$ -terms in the pencil ocean model coupled CESM2.

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ \kappa_{\theta} \left( \frac{\partial \theta}{\partial z} - \gamma_{\theta} \right) \right] + SfcHFlx_{non-SWFlx} + SWFlx(z) + Q_{ice} + \bar{G}_{\theta}$$

**“G-term run”**: Use the fixed climatological monthly mean  $\bar{G}$ -terms saved from the step 1 + **additional SSS restoring**



**Two 100-yr simulations of the PI control configuration CESM2.1.4+1dPOP using the climatological *monthly* mean  $\bar{G}$ - terms from the restoring run + SSS restoring**

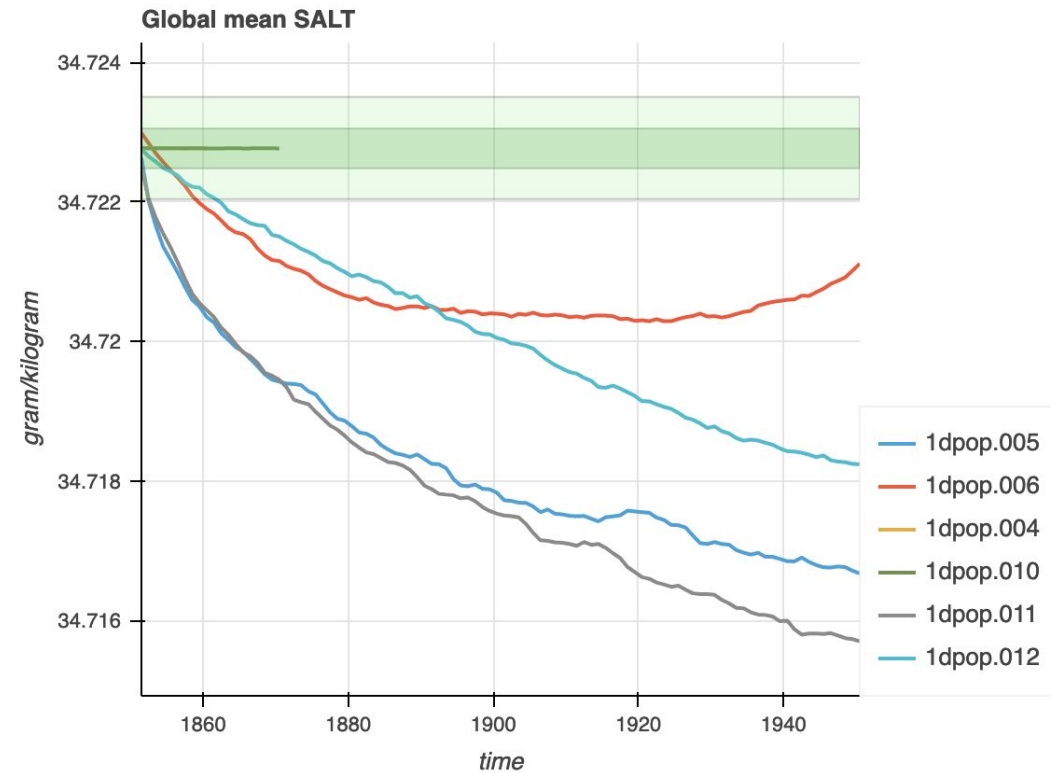
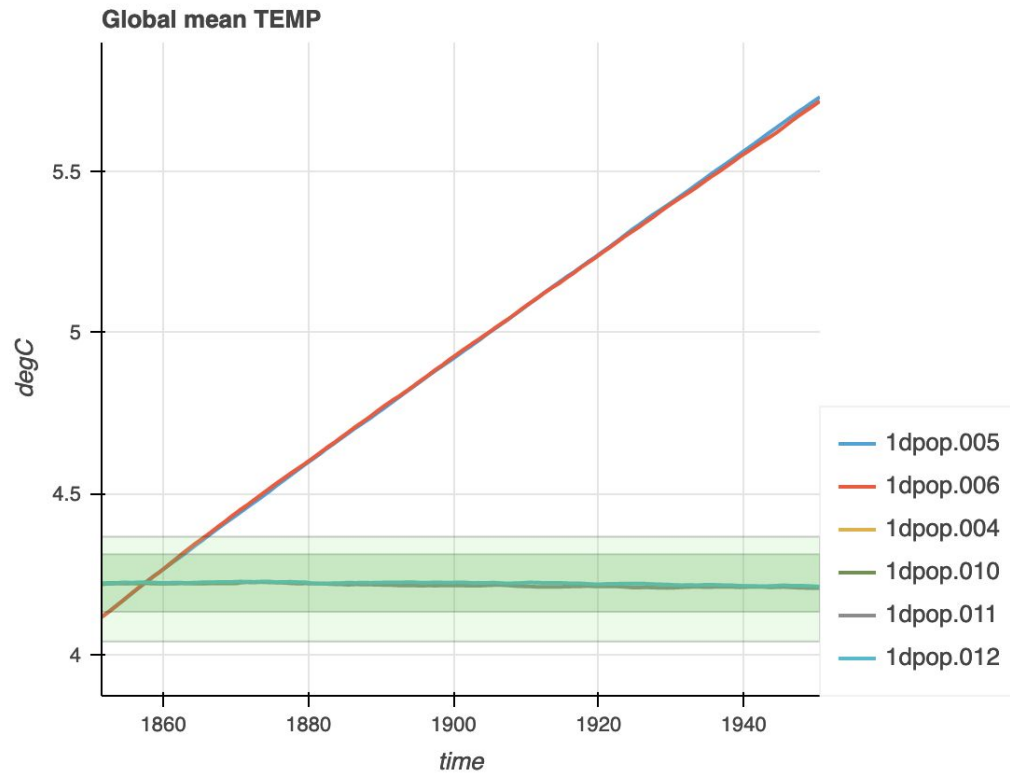
**(I.C. = Yr 1161 of CESM2 PI control)**

# CESM2 pencil ocean coupled simulations

- **1dpop.010**: restoring run
  - Target monthly climatology: Yrs **400-2000** of CESM2 PI control
  - I.C.: Yr **1161** restart file of CESM2 PI control
  - Run length: 20 years
- *Climatological monthly mean G-terms.*
- **1dpop.011**: G-term run
  - I.C.: Yr **1161** restart file of CESM2 PI control
  - Run length: 100 years
  - SSS restoring strength = 1 month
- **1dpop.012**: G-term run
  - Same as the 1dpop.011, but the SSS restoring strength = 1 year

# Stable temperature for 100-ysrs, while salinity drifts slightly.

Global 3-D mean time series

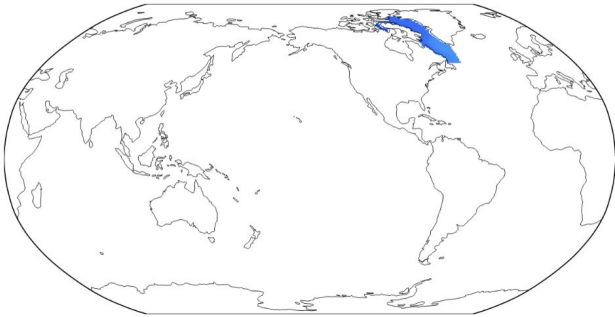


- Darker shading: PI control yrs 400-2000 annual mean (mean±std)
- Lighter shading: PI control yrs 400-2000 monthly mean (min/max)

- 1 mo SSS restoring: 1dpop.005, 1dpop.011
- 1 yr SSS restoring: 1dpop.006, 1dpop.012

# Labrador Sea (upper 200 m average)

Labrador Sea



1 mo SSS restoring →

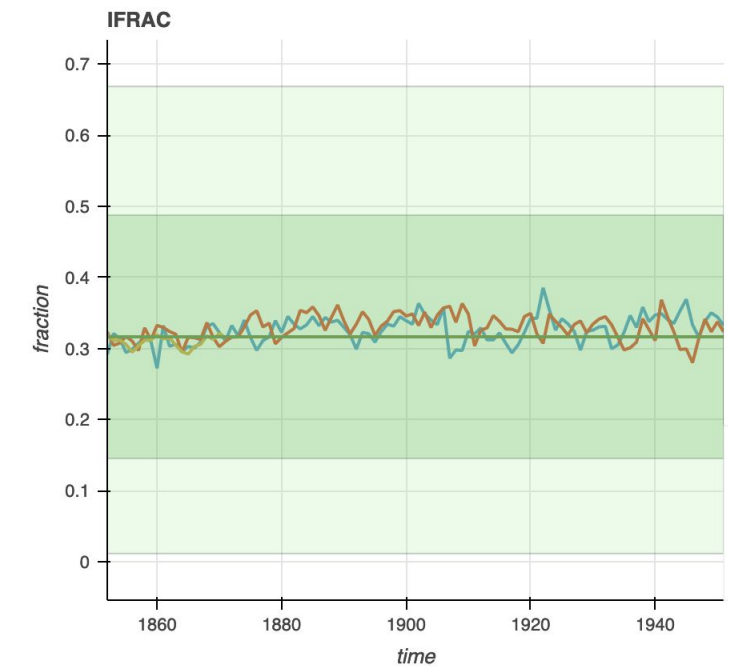
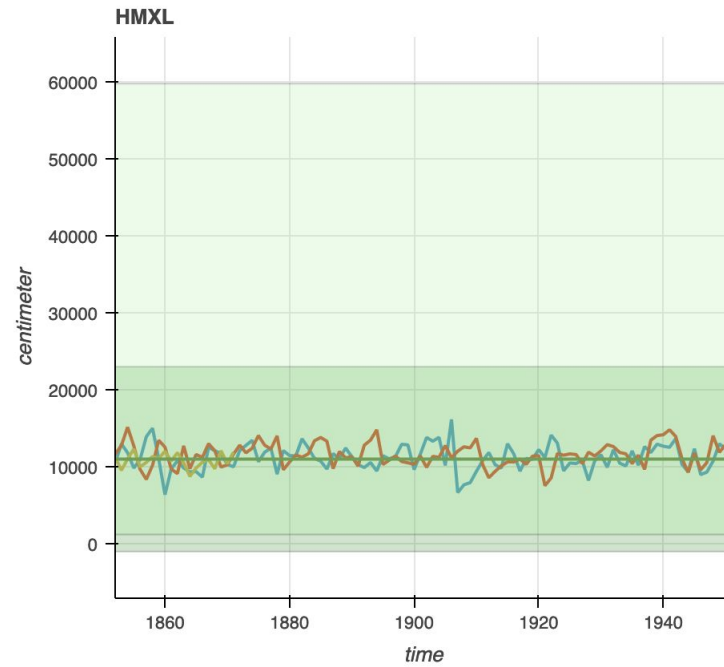
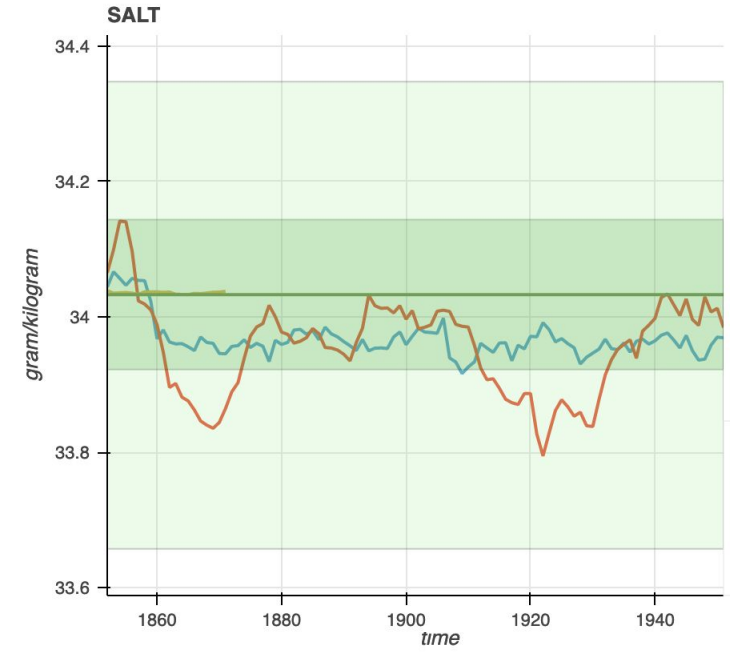
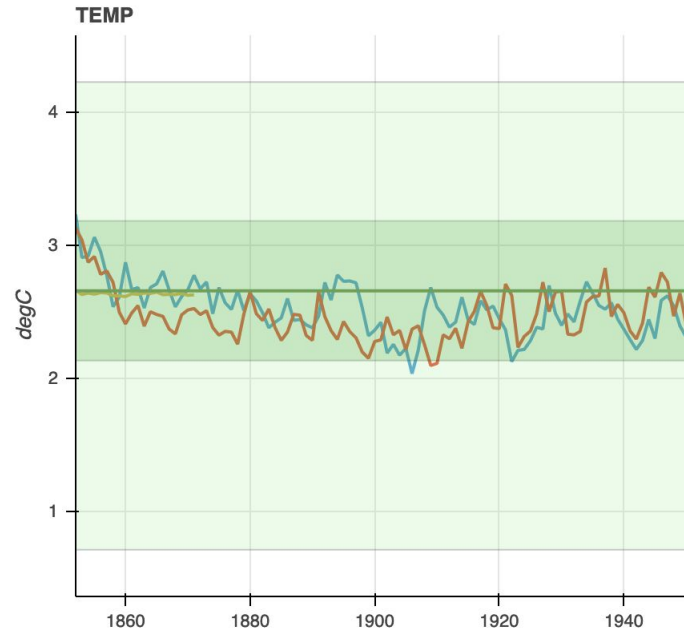
1 yr SSS restoring →

1dpop.011

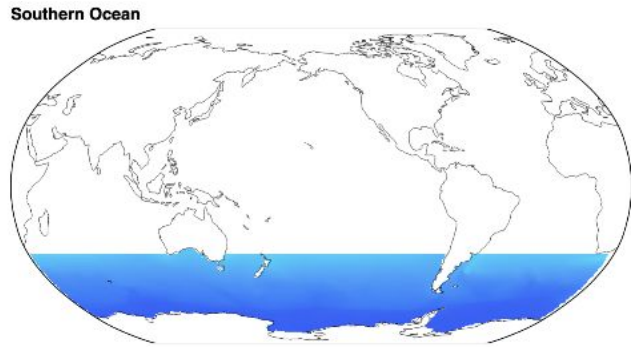
1dpop.012

restoring

pi control



# Southern Ocean (upper 200 m average)



1 mo SSS restoring →

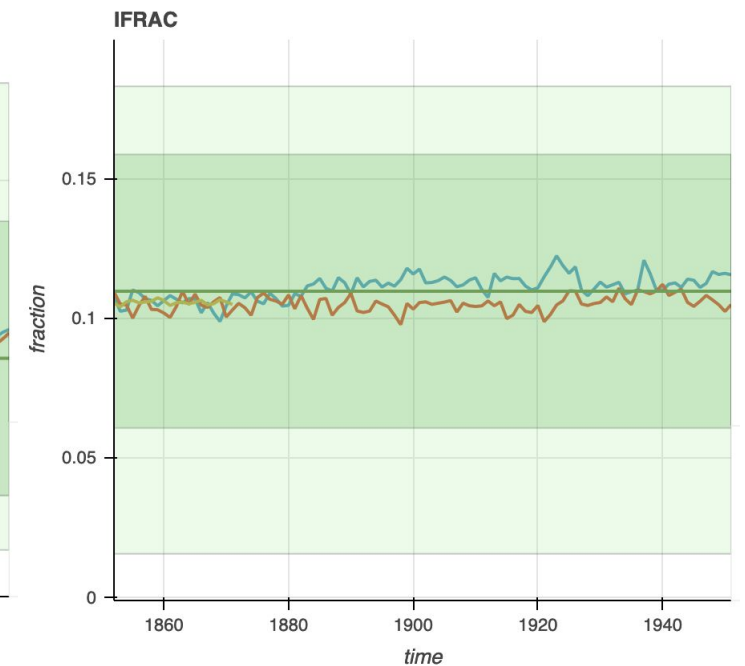
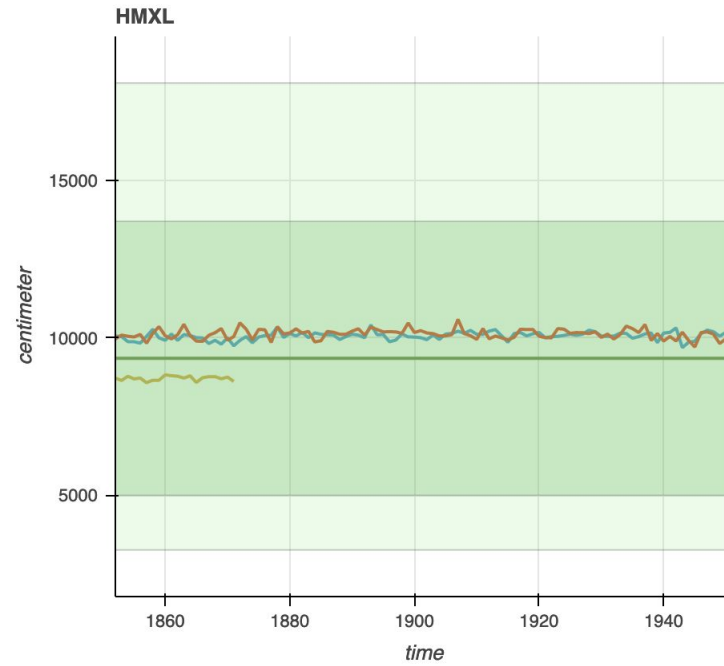
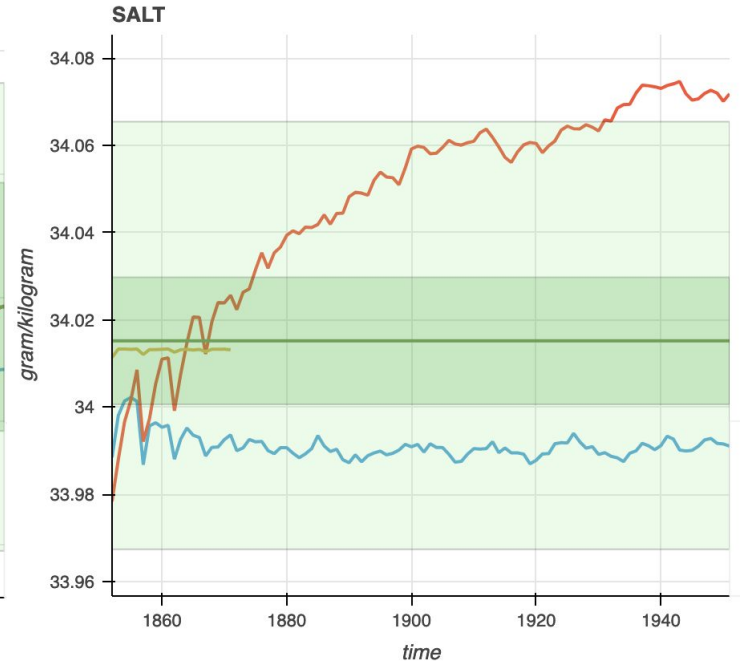
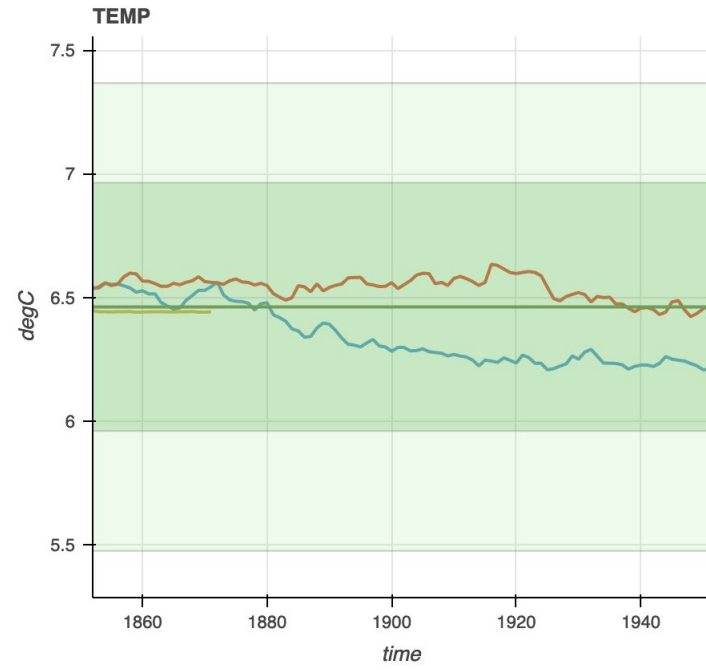
1 yr SSS restoring →

— 1dpop.011

— 1dpop.012

— restoring

— pi control



## Summary

- Implementation of the pencil ocean model within the CESM2 is ongoing.
- There are still some outstanding issues, e.g., salinity drift, potentially too small MLD variability.
- The goal is to have a multi-century (up to 1000 years) PI control simulation using CESM2 coupled with pencil ocean model, which will be made available through CVCWG.
- Additional pencil model configuration with Ekman transport will be followed.

# CESM Ocean Model Hierarchy

