

# Characterizing two types of Cirrus Clouds that differ in Nucleation Mechanism and Radiative Effect, based on a new CALIPSO Retrieval

Hom cirrus

Het cirrus

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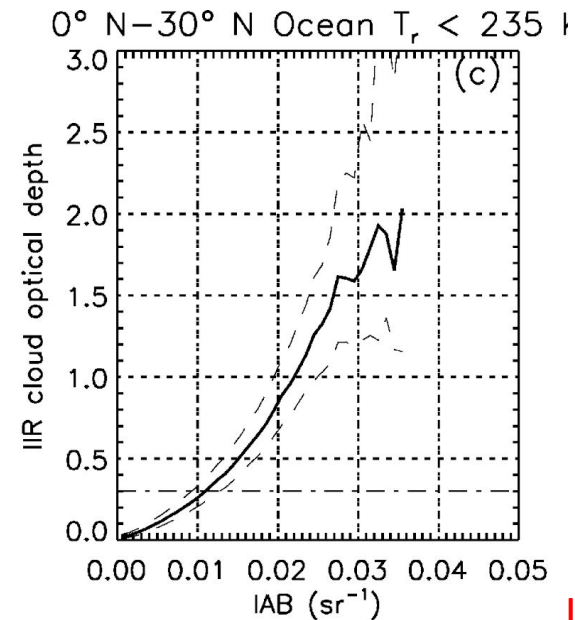
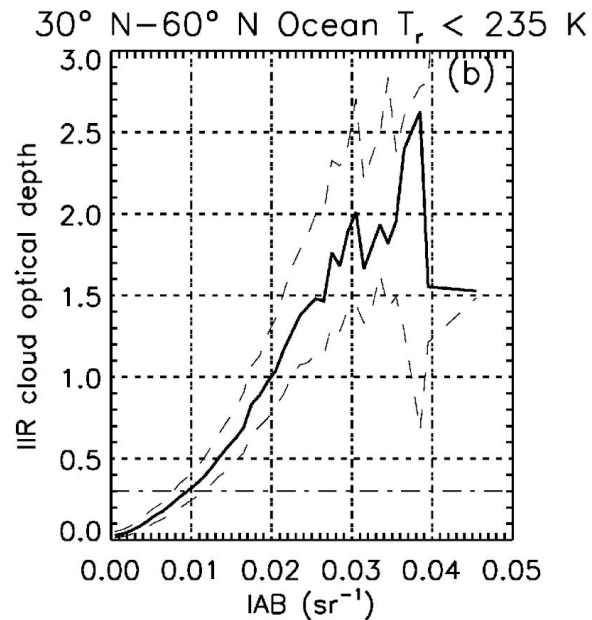
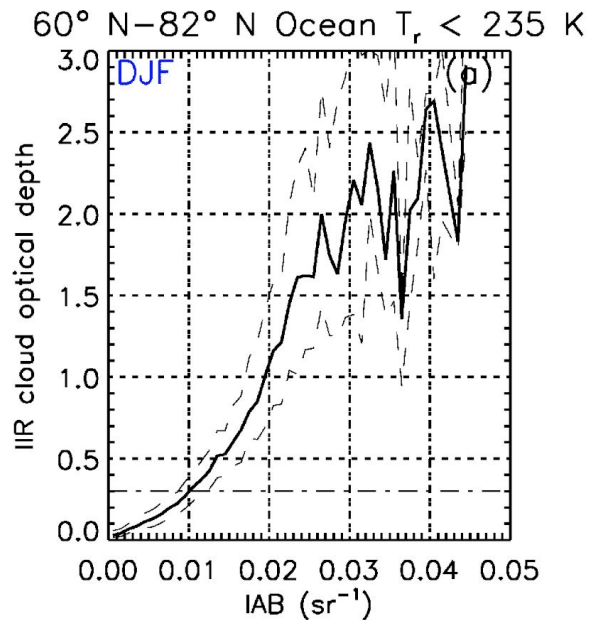
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Since last year's talk covered the methodology of this new CALIPSO satellite retrieval, this year's talk will cover only the results (i.e., time is too limited to cover both). However, a few fundamentals can be mentioned:

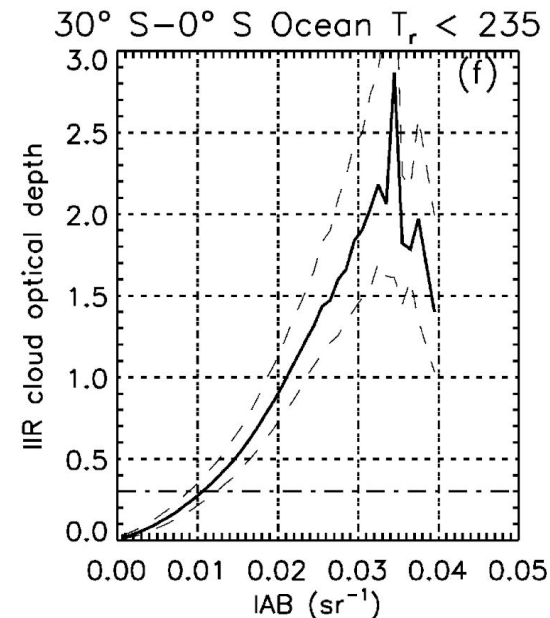
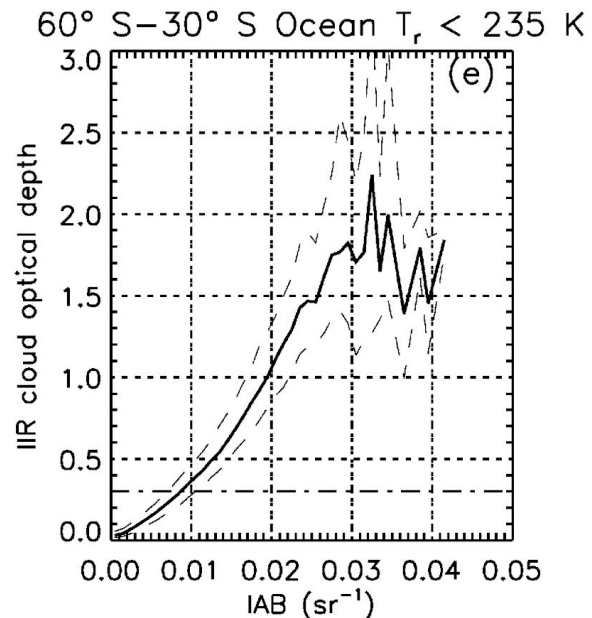
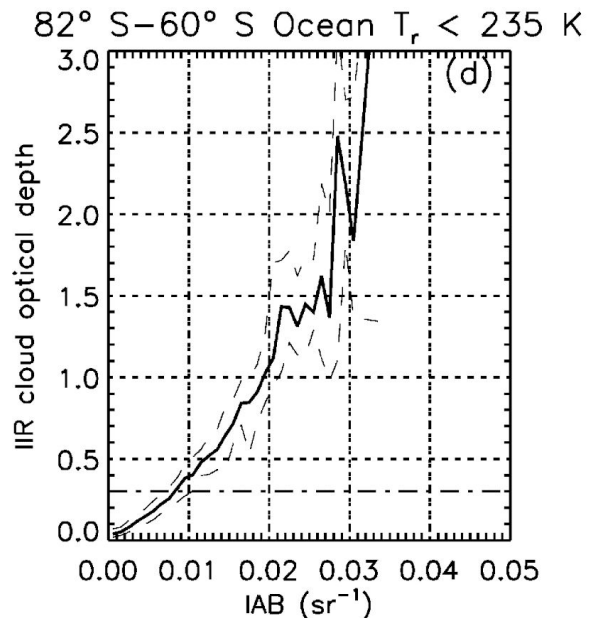
1. Only single-layer ice clouds are sampled that are semi-transparent to the CALIOP lidar.
2. Most of the clouds have a visible optical depth  $< 3$ .
3. Retrieved cloud properties correspond to cloud layers and not in-cloud profiles.
4. Sampled clouds have a radiative temperature of  $\leq 235$  K ( $-38$  °C).
5. The following analysis is based on 4 years of CALIPSO data.

# Comparing cloud optical depth (OD) with integrated attenuated backscatter (IAB): OD uncertainty

OCEANS  
DJF  
4 years



Solid: median  
Dashed: 25th and 75th percentiles

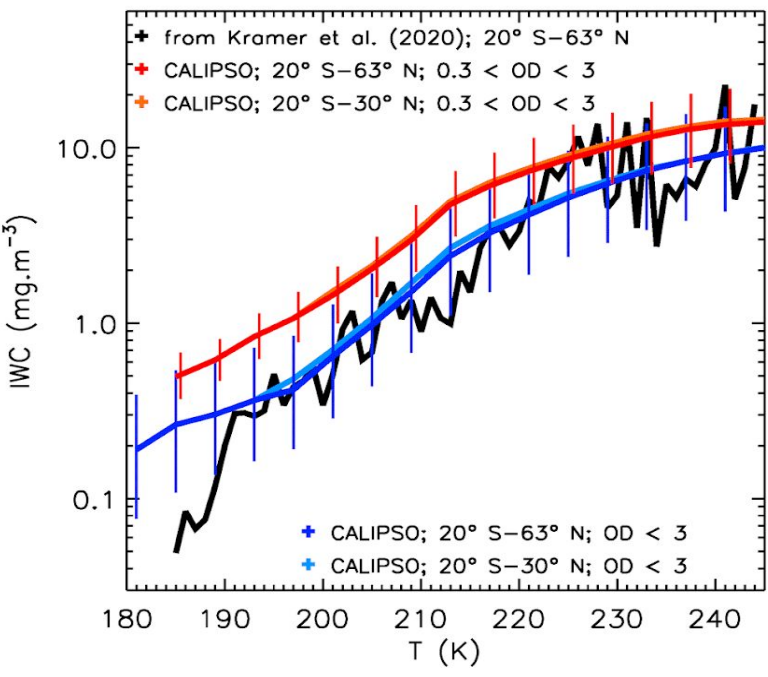
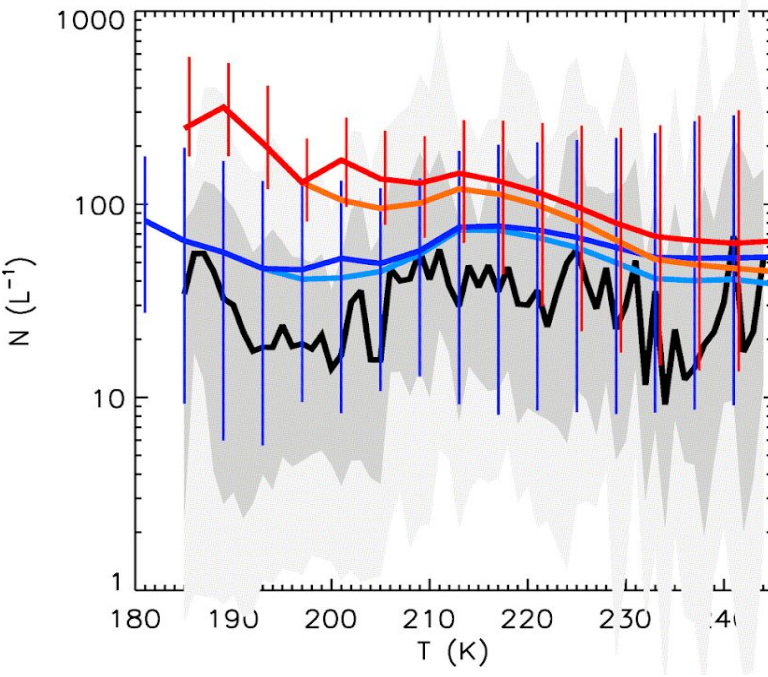
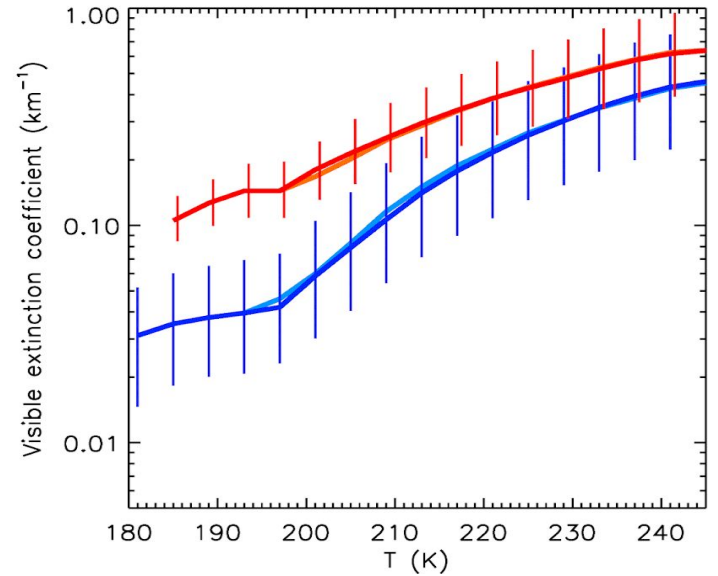
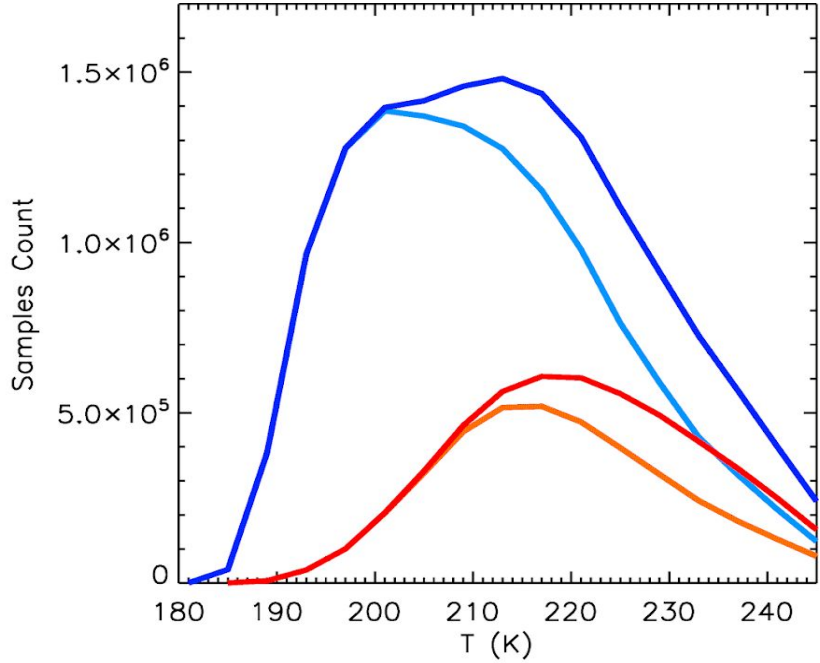
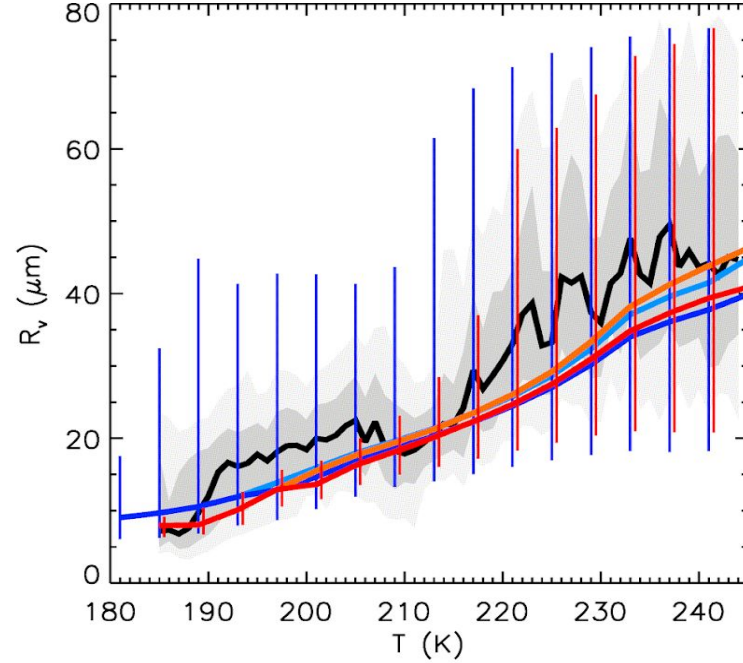


IAB  $\approx 0.01$  for OD  $\sim 0.3$

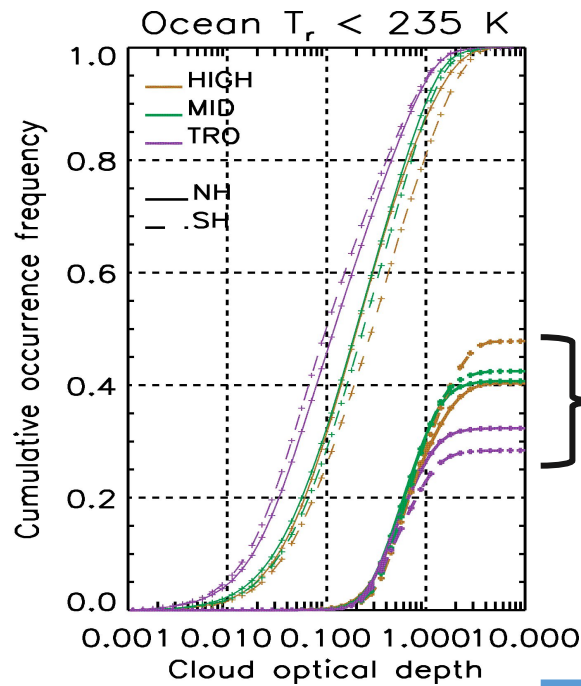
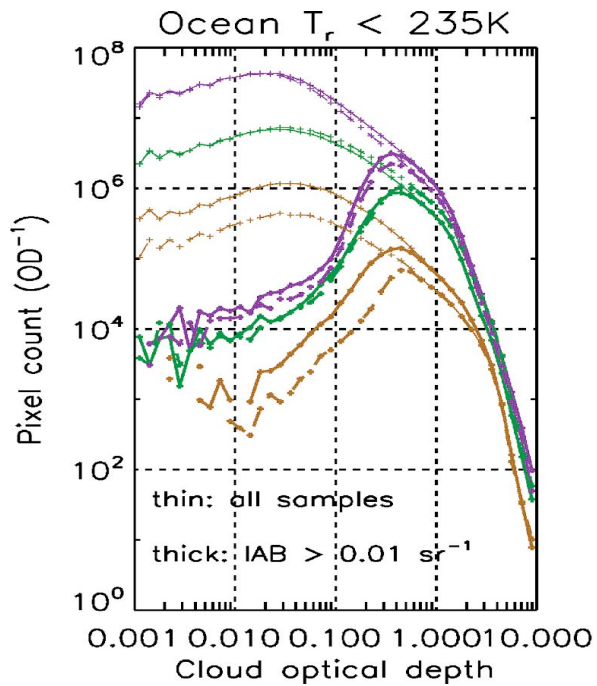
Retrievals are valid over land and ocean for IAB  $> 0.01 \text{ sr}^{-1}$ , while valid only over oceans with greater uncertainty for IAB  $< 0.01 \text{ sr}^{-1}$ . Retrievals are grouped into two categories based on this IAB threshold.

**Comparison with global cirrus cloud climatology of Krämer et al. (2020, ACP) based on aircraft measurements (black curves).**

Reasonable agreement for all IIR samples ( $\sim 0.005 < OD < \sim 3$ ; OD = cloud optical depth) => blue curves; less agreement for  $0.3 < OD < 3.0$  where uncertainty is lowest => orange & red curves. Orange & light-blue curves are for tropics only. Bars & shading give percentiles (10%, 25%, 75% & 90%).



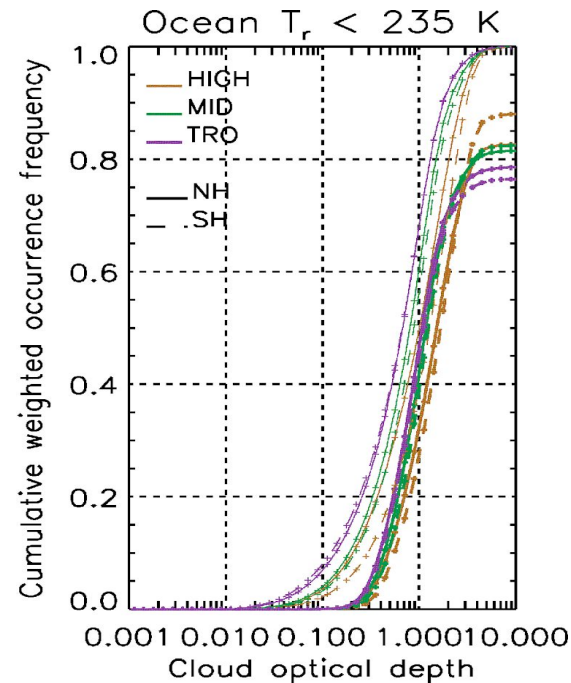
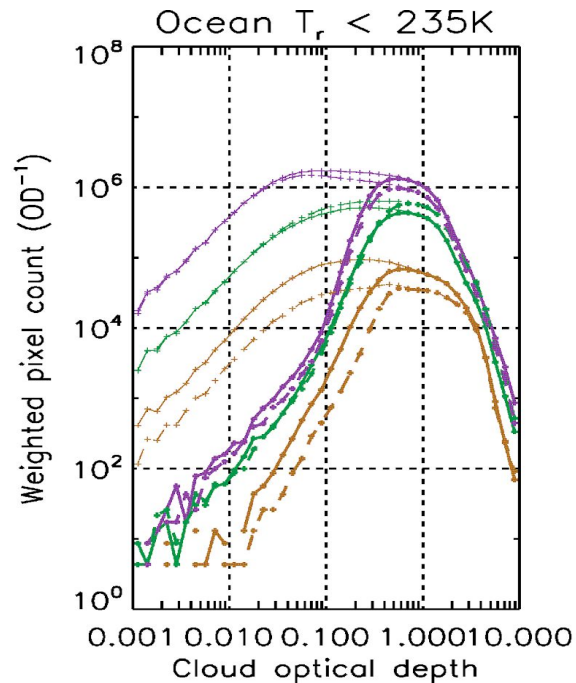
- + from Kramer et al. (2020); 20° S–63° N
- + CALIPSO; 20° S–63° N;  $0.3 < OD < 3$
- + CALIPSO; 20° S–30° N;  $0.3 < OD < 3$
- + CALIPSO; 20° S–63° N;  $OD < 3$
- + CALIPSO; 20° S–30° N;  $OD < 3$



Normalized to total number of samples for all sampled cirrus

Samples with  $\text{IAB} > 0.01 \text{ sr}^{-1}$  represent 28 to 48 % of all samples.

Looking at occurrence frequencies of cirrus samples having the lowest uncertainty ( $\text{IAB} > 0.01 \text{ sr}^{-1}$ )



76% to 88% of total weighted samples for all cirrus samples

Normalized to total number of weighted samples for all sampled cirrus clouds

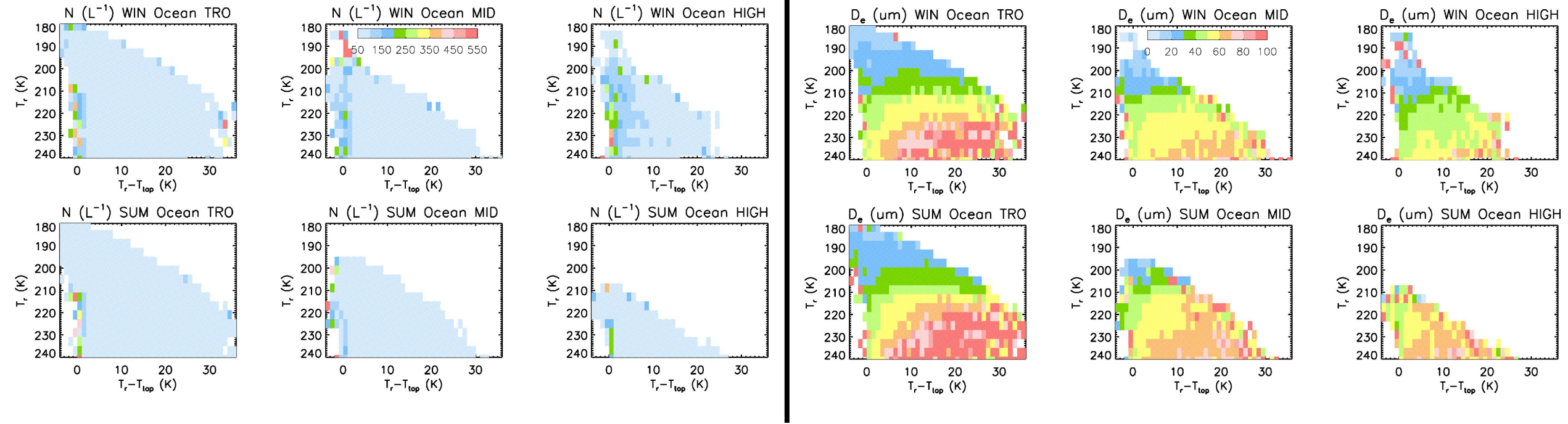
Net cloud radiative effect or CRE is approximated as pixel count x optical depth = weighted pixel count

76% to 88% of the net cloud radiative effect for all cirrus clouds appears to be associated with  $\text{IAB} > 0.01 \text{ sr}^{-1}$ , or  $\text{OD} > \sim 0.3$ .

In situ and liquid origin cirrus combined –  $IAB < 0.01 \text{ sr}^{-1}$  ( $OD < \sim 0.3$ )

## Number concentration $N$ ( $\text{L}^{-1}$ )

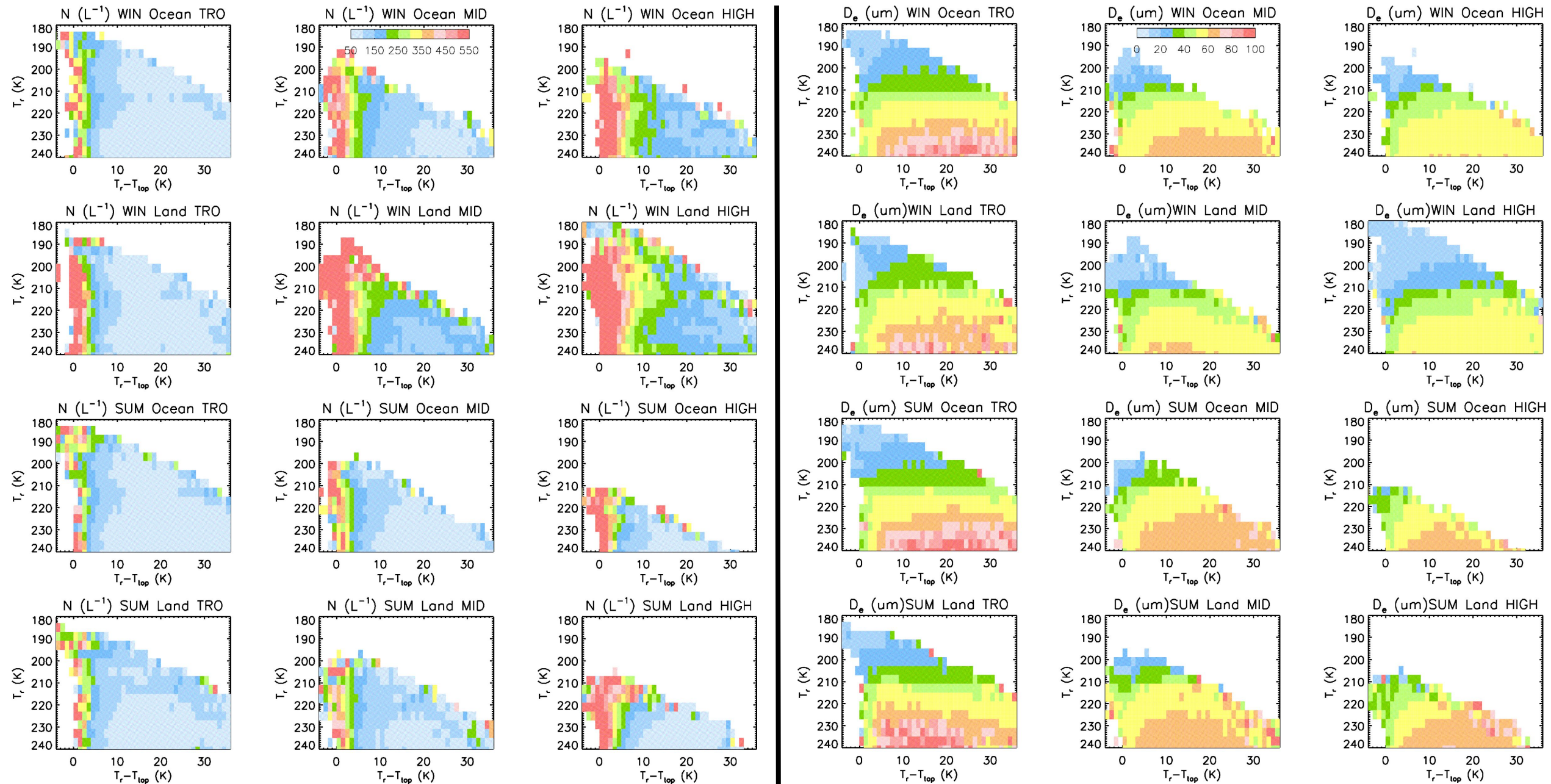
## Effective diameter $D_e$ ( $\mu\text{m}$ )



$T_r$  = radiative temperature of cloud

$T_{\text{top}}$  = cloud top temperature

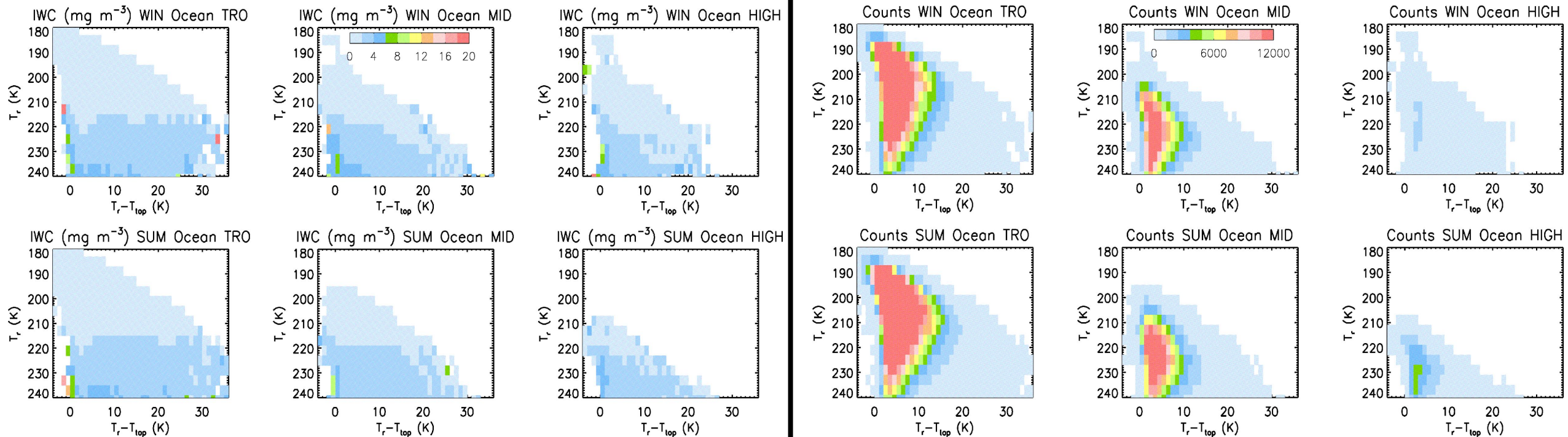
$T_r - T_{\text{top}}$  = related to the cloud geometric thickness because  $T_r$  is most of the time near mid-cloud.

$N \text{ (L}^{-1}\text{)}$ In situ and liquid origin cirrus combined - IAB > 0.01 sr<sup>-1</sup> (OD > ~ 0.3) $D_e \text{ (}\mu\text{m)}$ 

In situ and liquid origin cirrus combined –  $IAB < 0.01 \text{ sr}^{-1}$

Ice water content or IWC ( $\text{mg m}^{-3}$ )

Samples Count

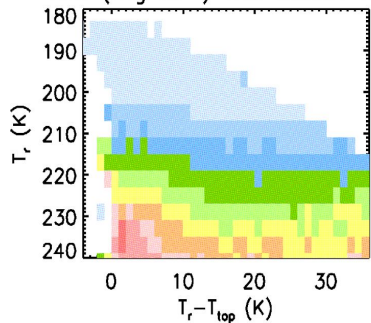




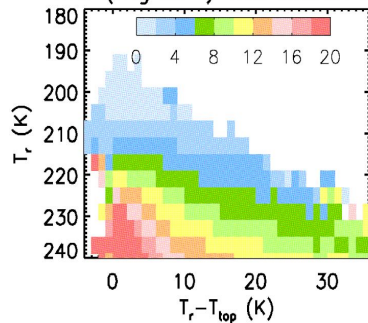
# In situ and liquid origin cirrus combined - $IAB > 0.01 \text{ sr}^{-1}$

## IWC ( $\text{mg m}^{-3}$ )

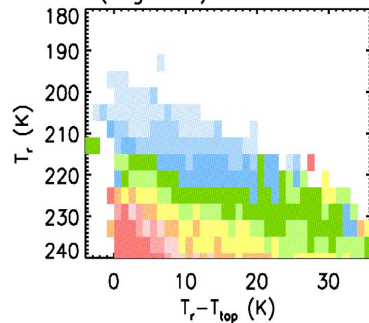
IWC ( $\text{mg m}^{-3}$ ) WIN Ocean TRO



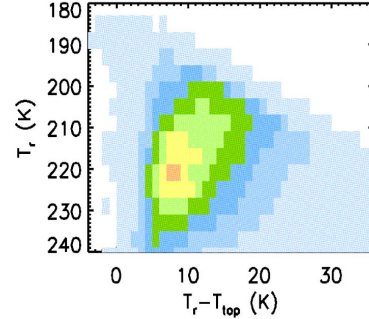
IWC ( $\text{mg m}^{-3}$ ) WIN Ocean MID



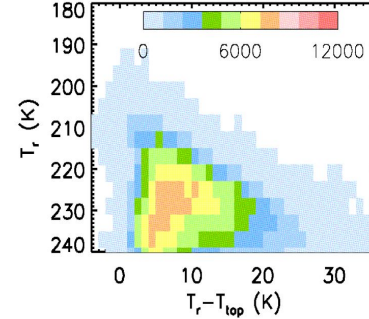
IWC ( $\text{mg m}^{-3}$ ) WIN Ocean HIGH



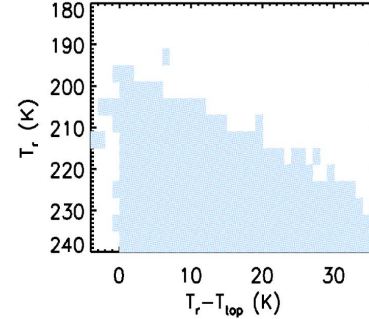
Counts WIN Ocean TRO



Counts WIN Ocean MID

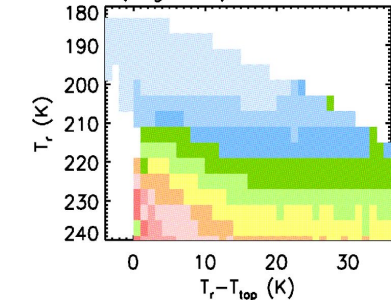


Counts WIN Ocean HIGH

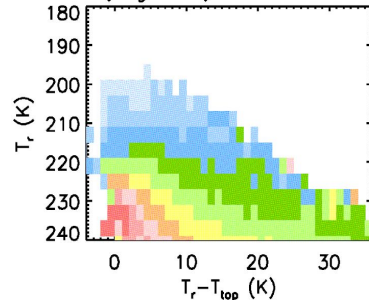


## Samples Count

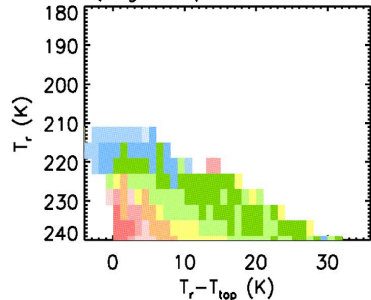
IWC ( $\text{mg m}^{-3}$ ) SUM Ocean TRO



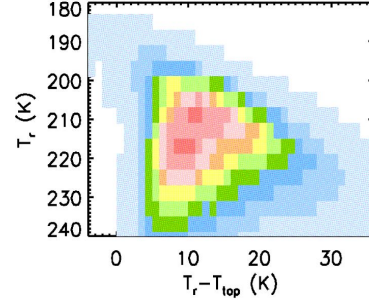
IWC ( $\text{mg m}^{-3}$ ) SUM Ocean MID



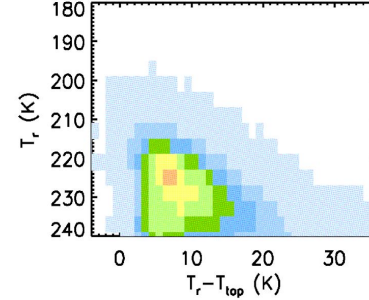
IWC ( $\text{mg m}^{-3}$ ) SUM Ocean HIGH



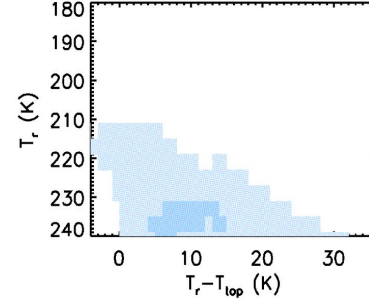
Counts SUM Ocean TRO



Counts SUM Ocean MID



Counts SUM Ocean HIGH



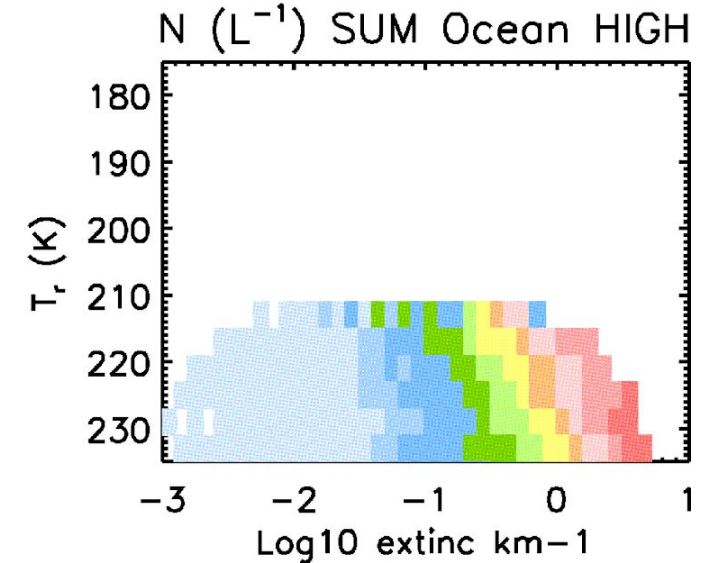
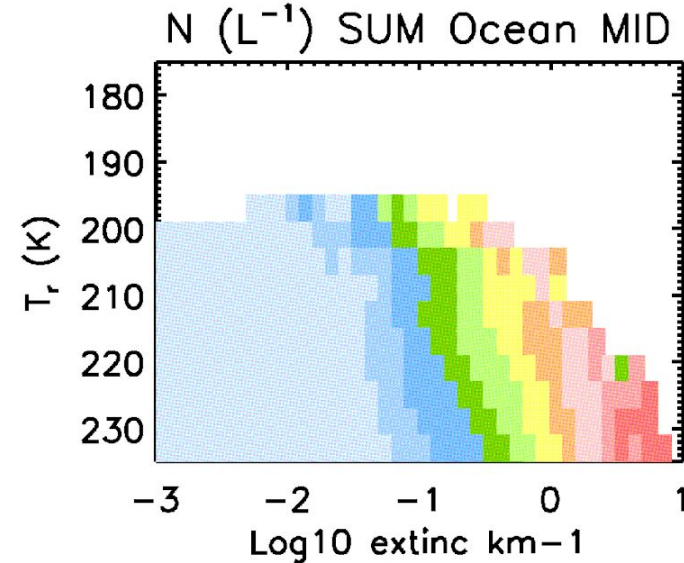
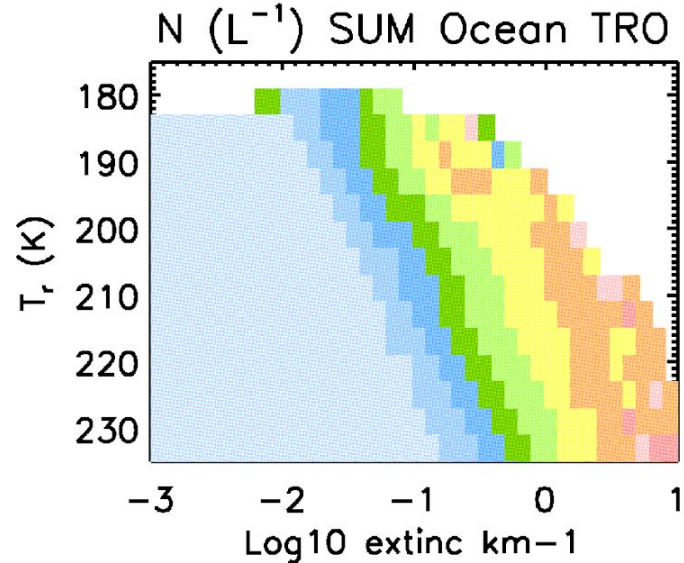
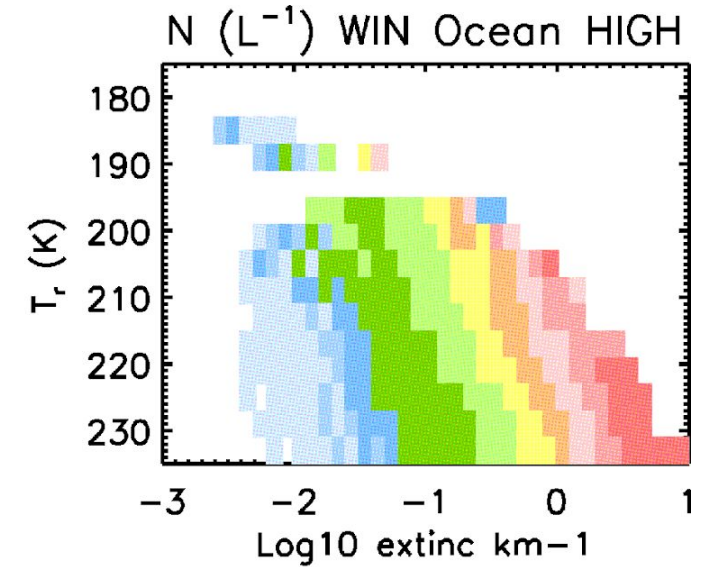
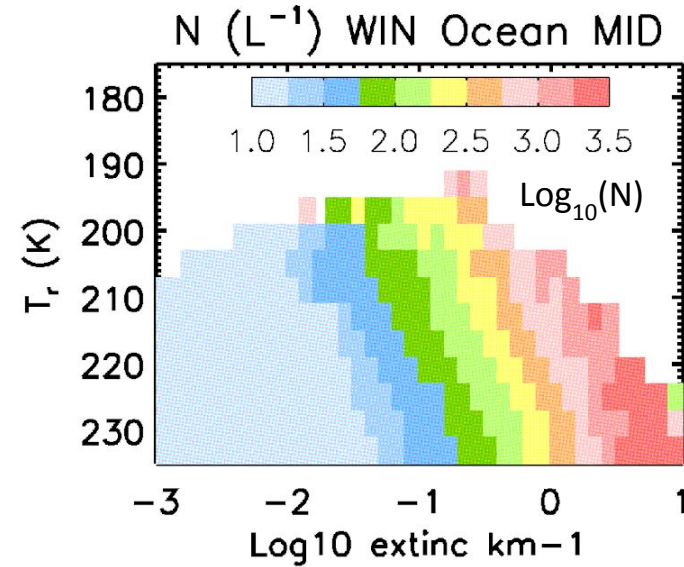
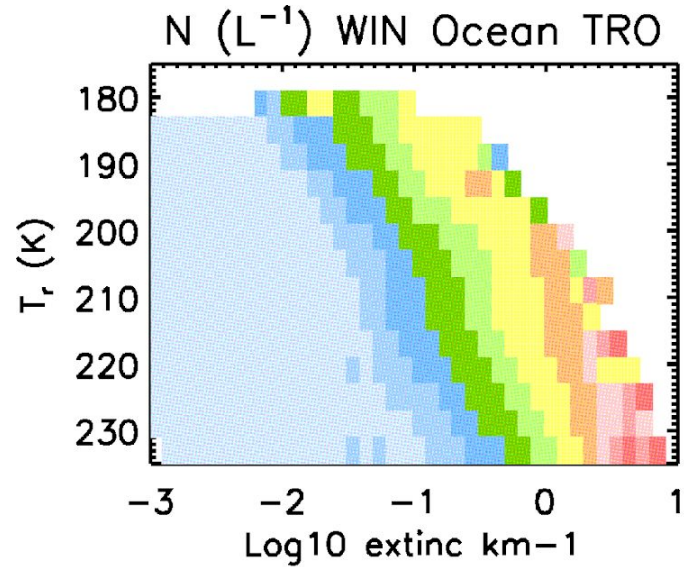
## Summary of these results:

1. When homogeneous ice nucleation (i.e., hom) is relatively active (i.e., N is higher), IWC is higher and  $D_e$  tends to be smaller.
2. Therefore, to distinguish between these two types of cirrus clouds, where one is formed primarily through heterogeneous ice nucleation (i.e., het) while hom is also active in the other type, use the cloud visible extinction coefficient defined as:

$$\alpha_{\text{ext}} = \frac{3 \text{ IWC}}{\rho_i D_e}$$

where  $\rho_i$  is the bulk density of ice.

# All Cirrus Clouds: Analysis shows two types of cirrus



To understand these results, a very simple model was used and is described below:

Clausius-Clapeyron Equation:

$$e_{si} = e_{s0} \exp\left[ \frac{L_s}{R_g} \left( \frac{1}{T_0} - \frac{1}{T} \right) \right]$$

$e_{si}$  = water vapor pressure at ice saturation,  $L_s$  = latent heat of sublimation  
 $R_g$  = gas constant

Supersaturation required for homogeneous ice nucleation:

$$e_s / e_{si} = \exp\left[ \frac{L_f}{R_g} \left( \frac{1}{T} - \frac{1}{T_0} \right) \right]$$

$e_s$  = water vapor pressure at water saturation,  $L_f$  = latent heat of fusion

$$S_i^f = 1.0 + 0.305 (e_s / e_{si})$$

$S_i^f$  = supersaturation where homogeneous ice nucleation occurs

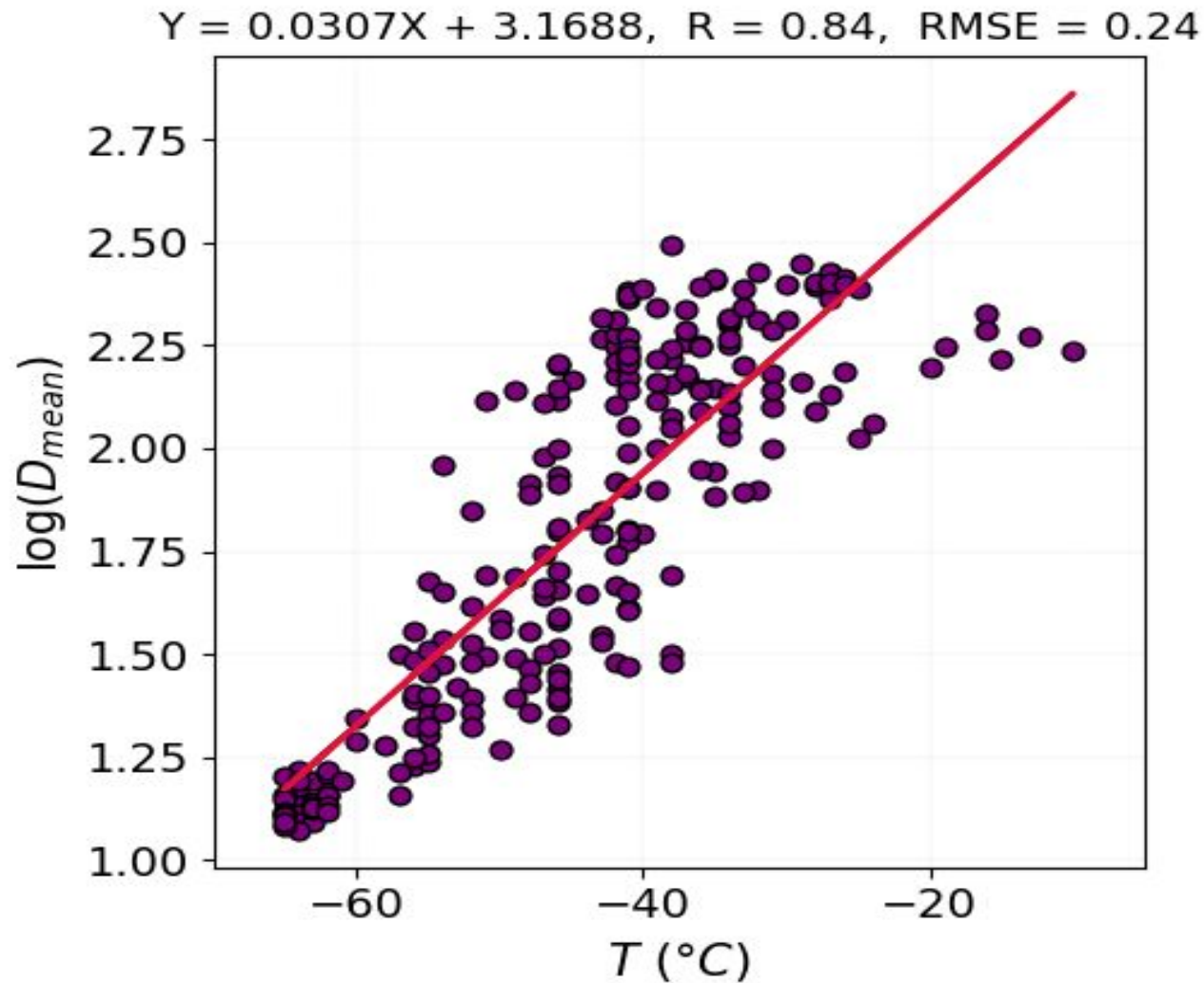
$$e_{hom} = S_i^f e_{si}$$

$e_{hom}$  = water vapor pressure at  $S_i^f$

Vapor densities are obtained from the gas law.

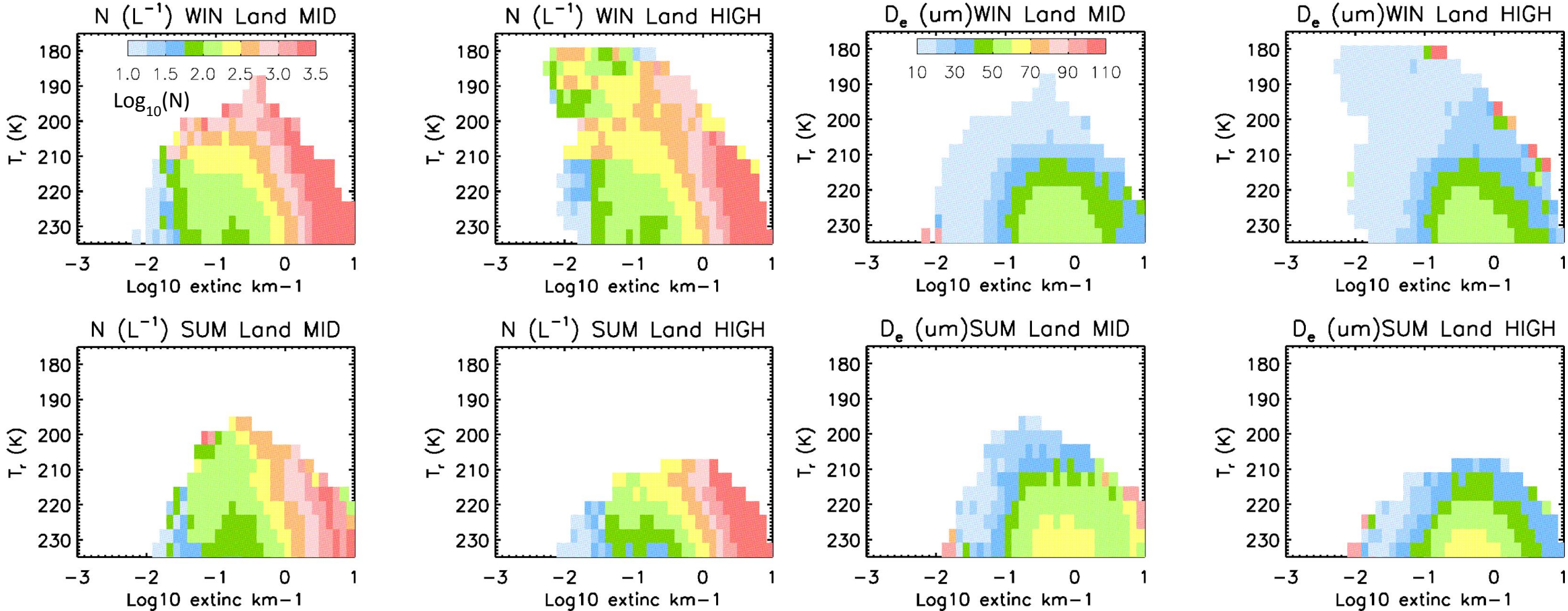
Maximum IWC resulting from homogeneous ice nucleation (hom):

$$IWC_{hom} = \rho_{hom} - \rho_{si}$$



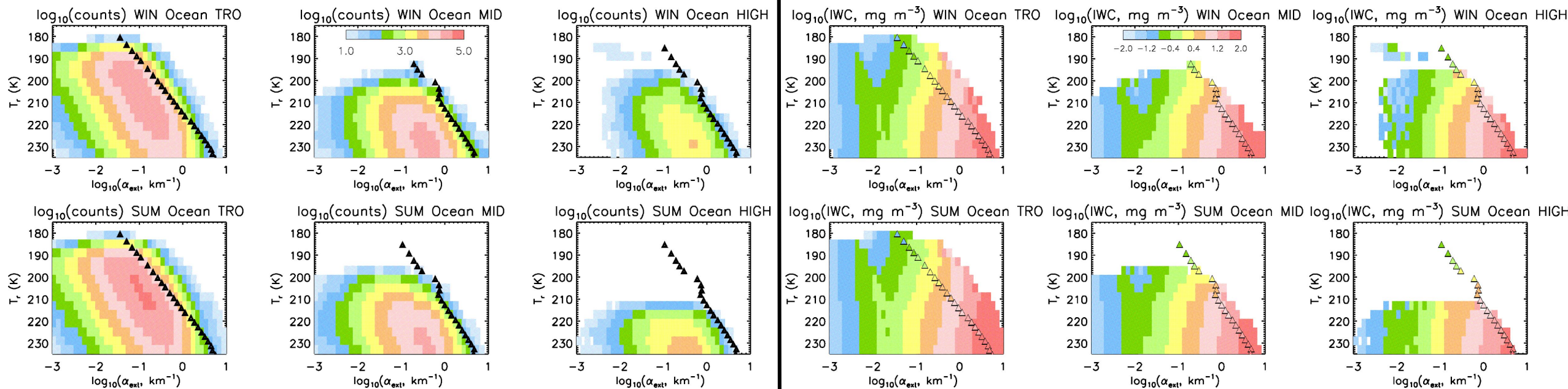
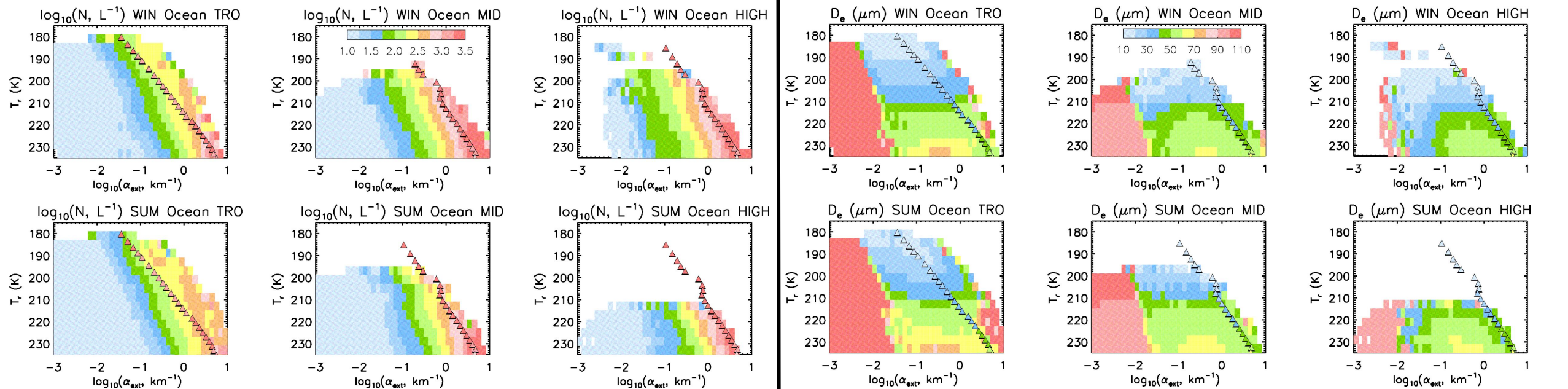
The mean maximum dimension of ice particle size distributions from the SPARTICUS field campaign were related to temperature. This  $D_{mean}$ , combined with ice particle mass and area expressions in Erfani and Mitchell (2016, ACP), were used to estimate  $D_e$  and relate  $D_e$  to temperature. For  $T < 235$  K, this  $D_e$  was reduced by a factor of 0.636 based on the impact of hom on  $D_e$  as deduced from  $D_e$  retrievals shown next.

# Land IAB > 0.01 sr<sup>-1</sup>



In regions most affected by hom (i.e., high N),  $D_e$  decreases from  $\sim 55 \mu\text{m}$  to  $\sim 35 \mu\text{m}$ , or by a factor of 0.636. The N plots also show that an IAB of  $0.01 \text{ sr}^{-1}$  roughly separates the two types of cirrus clouds.

All IABs Oceans with data from hom\_max\_IWC\_2.txt (TRO) and SPART1.txt files (MID, HIGH) overlplotted using the same color code for the color inside the triangles



## Summary and Conclusions

1. Cirrus clouds having IAB  $> 0.01 \text{ sr}^{-1}$  (or optical depth  $> \sim 0.3$ ) account for roughly 80% of the net radiative effect of all cirrus clouds, but their frequency of occurrence comprises 28% to 48% (depending on latitude) of the occurrence frequency for all cirrus clouds.
2. For these optically thicker cirrus clouds, ice nucleation by both het and hom occur, while only het is important for the optically thinner cirrus clouds. Based on the N-gradient in the extinction analysis, the transition between these cirrus types tends to occur  $\sim N = 55 \text{ L}^{-1}$ .
3. While aircraft in situ measurements are critical for testing satellite retrieval methods, the existence of two cirrus modes complicates these comparisons. In addition, satellite measurements can estimate the radiative importance of each cirrus mode which should be consistent with GCM predictions of cirrus clouds.



# Improvements to the CALIPSO cirrus cloud retrieval of Mitchell et al. (2018, ACP)

- Ice particle number concentration** no longer depends on estimates of IWC but rather depends on optical probe measurements of ice particle projected area:

New empirical function  $N$  and  $A_{PSD}$  are directly measured by aircraft PSD probes.

$$N = \left( \frac{N}{A_{PSD}} \right)_{\beta_{eff}} \times \frac{\left( 1 / Q_{abs,eff}(12\mu m) \right)_{\beta_{eff}} \times \tau_{abs}(12.05\mu m)}{\Delta z_{eq}} \quad (1)$$

- Estimation of in situ IWC has been improved**, with better estimates of small ice particle mass (Erfani & Mitchell, 2016, ACP), better agreement **between calculated (from in situ PSD measurements) and IIR  $\beta_{eff}$** , and a recent laboratory study on ice particle masses ( $D < 100 \mu m$ ; Weitzel et al., 2020, ACP).

- Improved retrieval equation for effective diameter** based on theory and two strong empirical relationships:

$$D_e = \frac{3}{2} \times \frac{IWC}{\rho_i \cdot A_{PSD}} = \frac{3}{2 \cdot \rho_i} \times \left( \frac{N}{A_{PSD}} \right)_{\beta_{eff}} \times \left( \frac{IWC}{N} \right)_{\beta_{eff}} \quad (2)$$

Theory, Mitchell, JAS, 2002

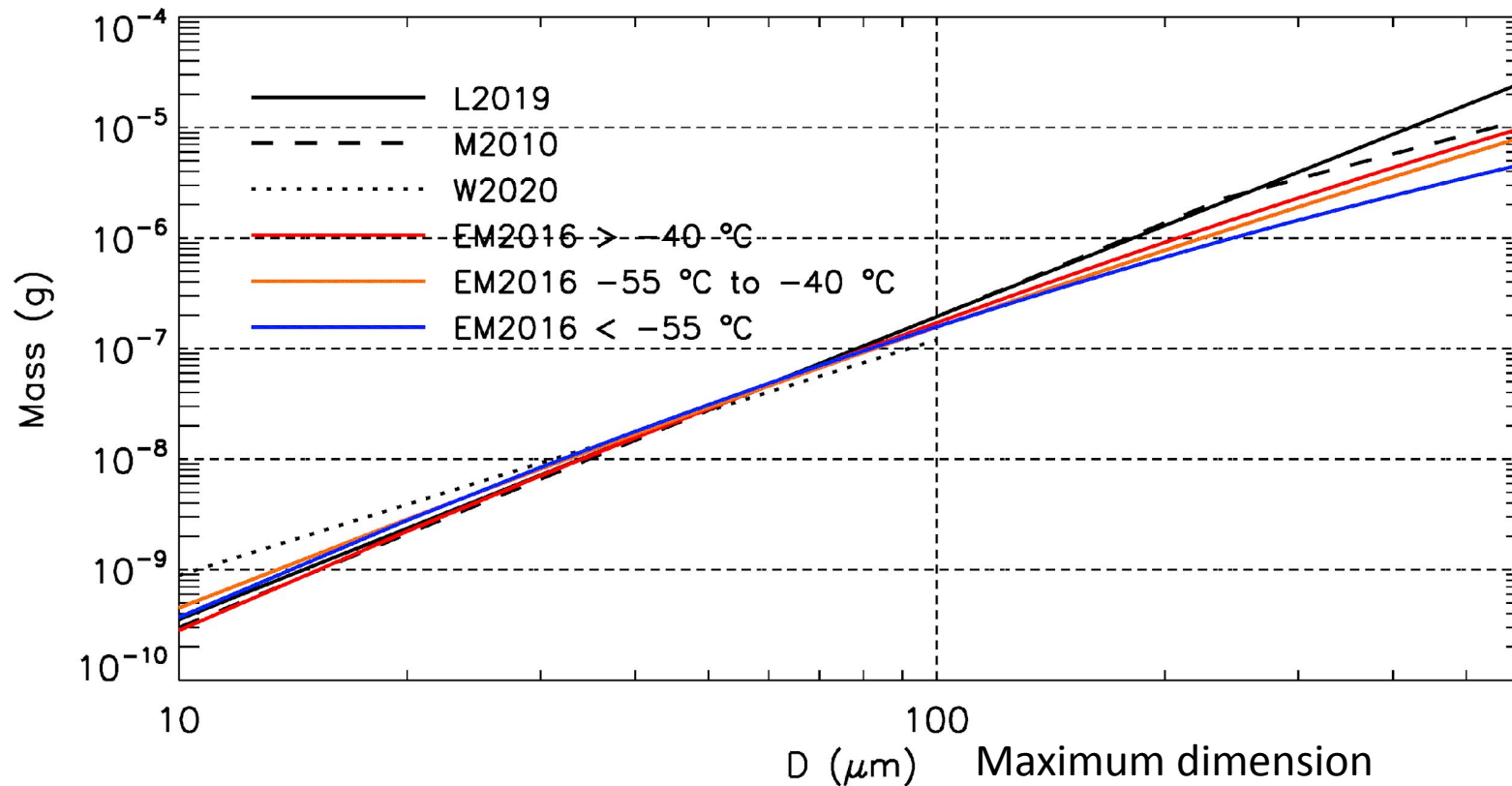
From which we derive

$$IWC = \frac{\rho_i}{3} \times \alpha_{ext} \times D_e \quad \text{with} \quad \alpha_{ext} = \frac{2 \cdot \left( 1 / Q_{abs,eff}(12\mu m) \right)_{\beta_{eff}} \times \tau_{abs}(12.05\mu m)}{\Delta z_{eq}} \quad (3)$$

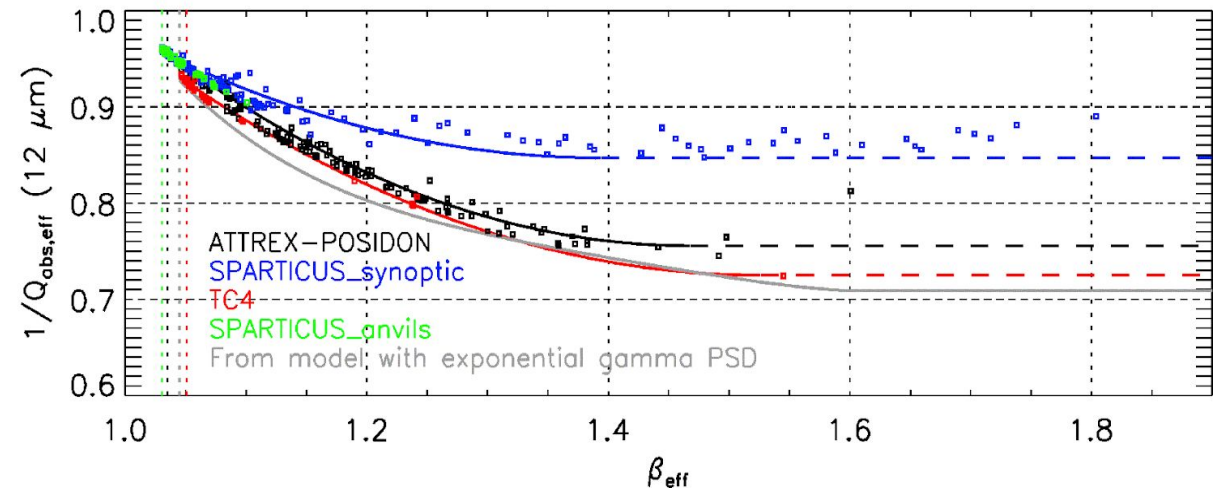
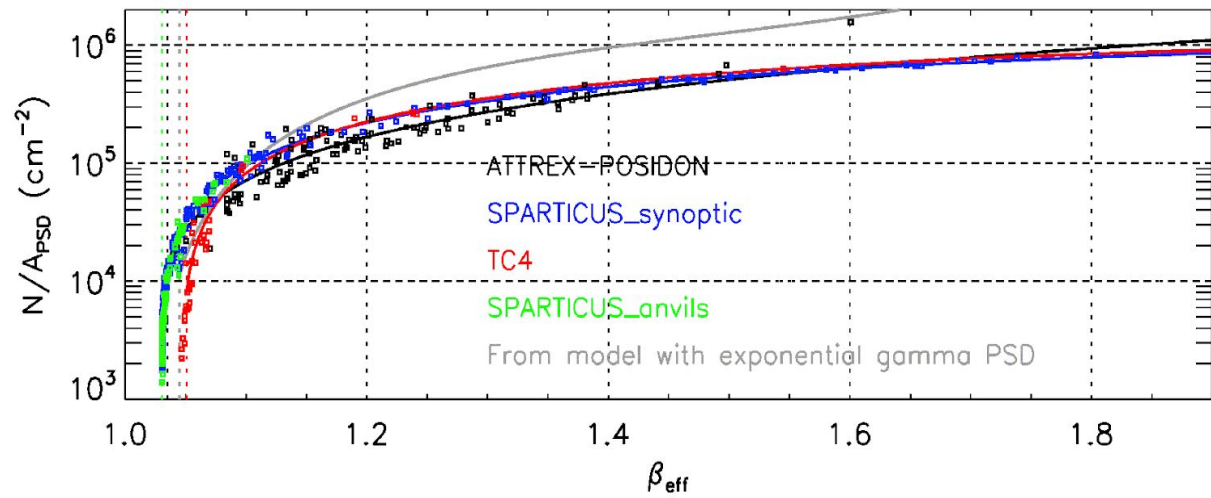
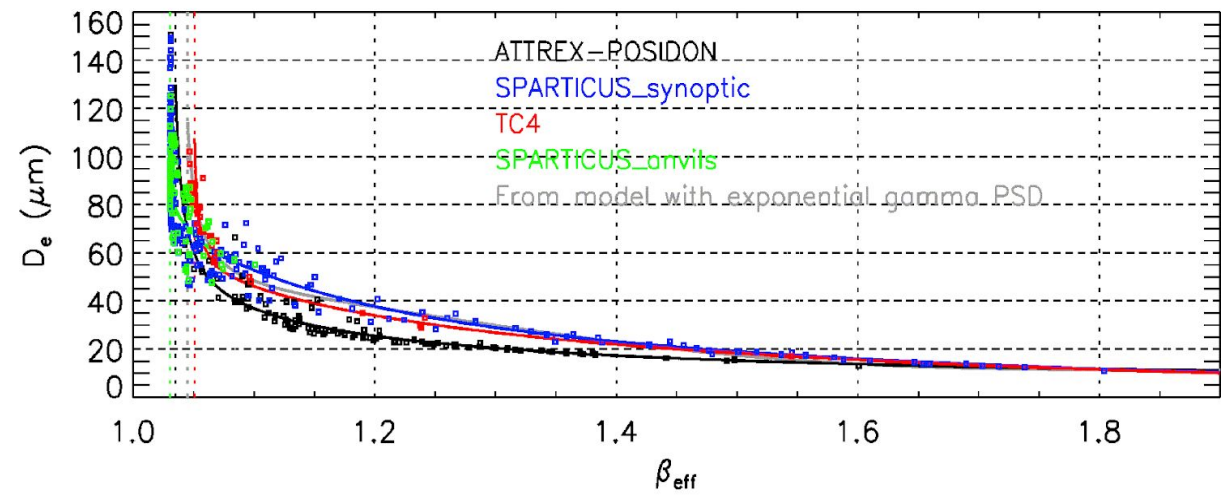
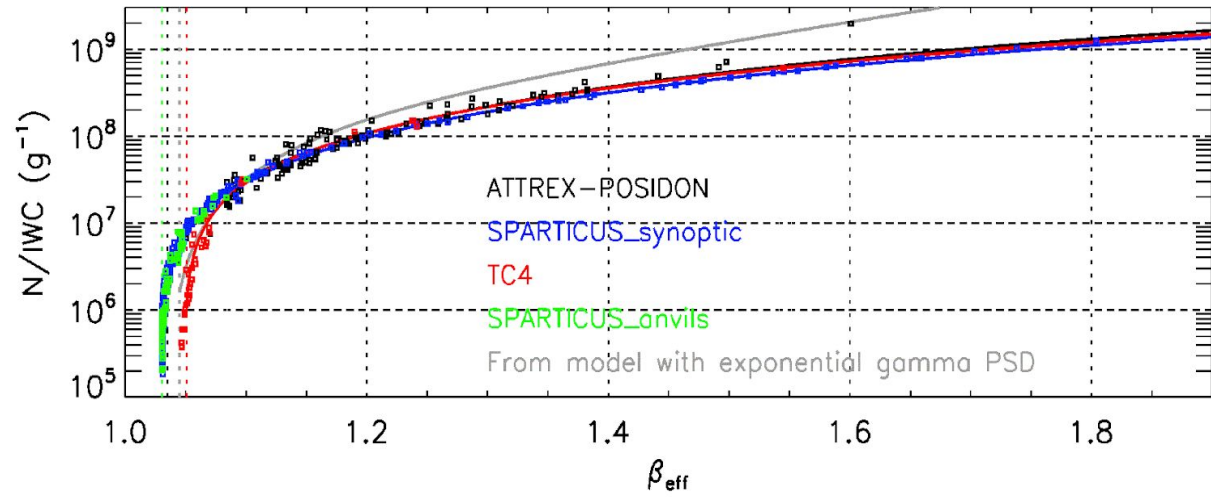
The  $N/A_{psd}-\beta_{eff}$ ,  $N/IWC-\beta_{eff}$ , and  $1/Q_{abs,eff}(12\mu m)-\beta_{eff}$  relationships were developed from cirrus cloud PSD measurements from several field campaigns using the same methodology as in Mitchell et al. (2018, ACP).

## Evaluation of ice particle m-D expressions from:

- Lawson et al. (2019, JGR), *L2019*
- Mitchell et al. (2010, JAS), *M2010*
- Erfani and Mitchell (2016, ACP) for anvils, *EM2016*
- Weitzel et al. (2020, ACP, based on maximum 2-D projected dimension), *W2020*



□ These m-D relationships change both PSD IWC and PSD  $\beta_{\text{eff}}$



## XX - $\beta_{\text{eff}}$ relationships

This CALIPSO retrieval is based on these empirical XX -  $\beta_{\text{eff}}$  relationships where XX is the cirrus cloud property or property ratio shown on the y-axis.  $A_{\text{PSD}}$  is the size distribution projected area concentration.

TC4 is shown for discussion but not used in the retrievals.