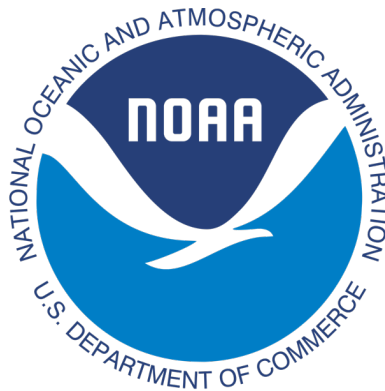


Experimenting with machine learning hindcast emulators to help tune CAM (a.k.a wherein I attempt "scikit-learn for dummies")

Colin Zarzycki (@weatherczar) 
+ others!



- Two primary core code-level improvements...
 - Directly prognosing momentum flux evolution in CLUBB

$$\begin{aligned} \frac{\partial \overline{u'_h w'}}{\partial t} = & \underbrace{-\overline{w} \frac{\partial \overline{u'_h w'}}{\partial z}}_{ma} - \underbrace{\frac{1}{\rho_s} \frac{\partial \rho_s \overline{w'^2 u'_h}}{\partial z}}_{ta} - \underbrace{\overline{w'^2} \frac{\partial \overline{u_h}}{\partial z}}_{tp} - \underbrace{\overline{u'_h w'} \frac{\partial \overline{w}}{\partial z}}_{ac} + \underbrace{\frac{g}{\theta_{vs}} \overline{u'_h \theta'_v}}_{bp} \\ & \underbrace{-\frac{C_6}{\tau} \overline{u'_h w'}}_{pr1} + \underbrace{C_7 \overline{u'_h w'} \frac{\partial \overline{w}}{\partial z}}_{pr2} - \underbrace{C_7 \frac{g}{\theta_{vs}} \overline{u'_h \theta'_v}}_{pr3} + \underbrace{C_7 \overline{w'^2} \frac{\partial \overline{u_h}}{\partial z}}_{pr4} \\ & + \underbrace{\frac{\partial}{\partial z} \left[(K_{w6} + \nu_6) \frac{\partial \overline{u'_h w'}}{\partial z} \right]}_{dp1} \end{aligned}$$

Larson (2020), Guo et al., (2021), Nardi et al., (2022)

- Defining a "regime-specific" eddy timescale formulation

$$\frac{1}{\tau} = \underbrace{\frac{C_{\tau,back}}{\tau_{ref}}}_1 + \underbrace{C_{\tau,sfc} \left(\frac{u^*}{K} \right) \left(\frac{1}{z - z_s + z_{dis}} \right)}_2 + \underbrace{C_{\tau,shear} \left(\left(\frac{\partial u}{\partial z} \right)^2 + \left(\frac{\partial v}{\partial z} \right)^2 \right)^{\frac{1}{2}}}_3 + \underbrace{C_{\tau,N} \sqrt{\max(N^2, 0)}}_4$$

Parameter	EAM-def	EAM-taus
C1 = C1b	1.335	–
C14	1	–
C2rt = C2thl	1.75	–
C2rthl	2.275	–
C6rt = C6thl	4	–
C6rtb = C6thlb	6	–
C6rtc = C6thlc	1	–
C6rt_Lscale0	14	–
C6thl_Lscale0	14	–
wpxp_L_thresh	60	–
C8	4.3	0.5
C11	0.8	0.5
C11b	0.35	0.5
C11c	0.5	–
gamma_coef	0.32	0.3
gamma_coefb	0.32	0.3
beta	1	2
C_{irsfc}	–	0.3
$C_{irshear}$	–	0.15
C_{irbkgn}	–	1.5
C_{irN}	–	0.65
$C_{irN,clr}$	–	2.0
z_s	–	300 m
$C_{irwpxpRi}$	–	3
$C_{irxp2Ri}$	–	1
$z_{displace}$	–	10 m
N_{thresh}^2	–	3.3E–4

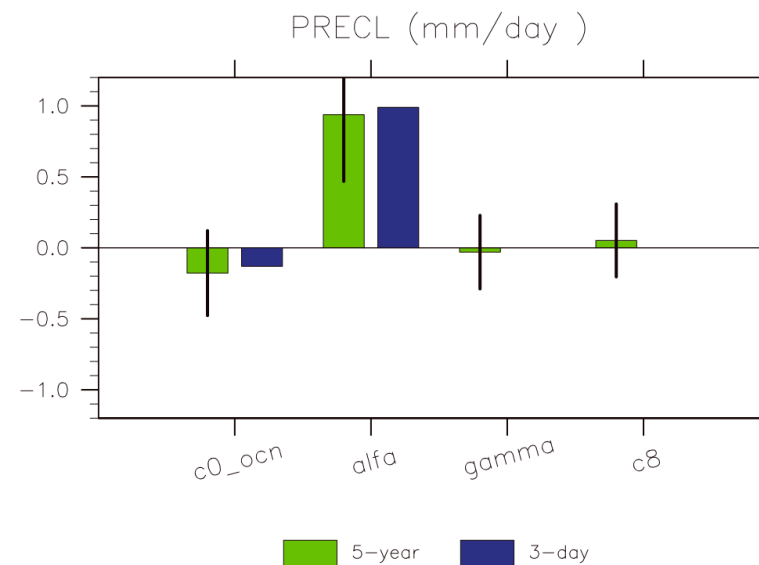
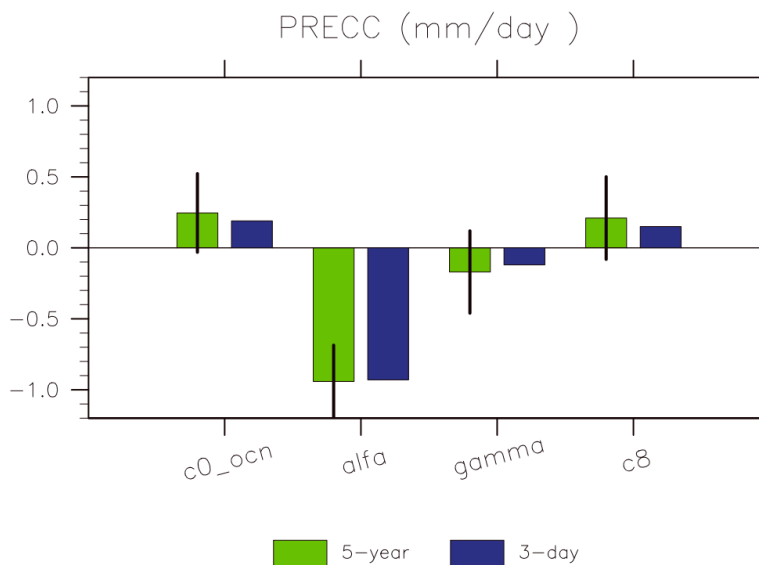
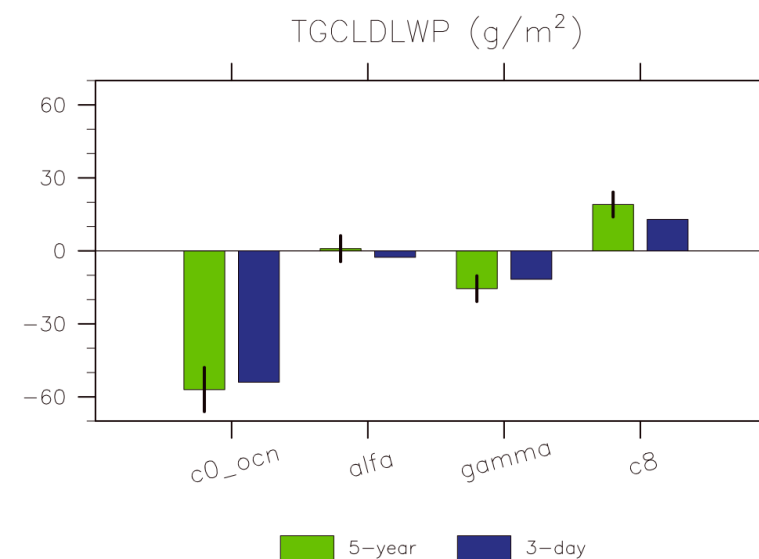
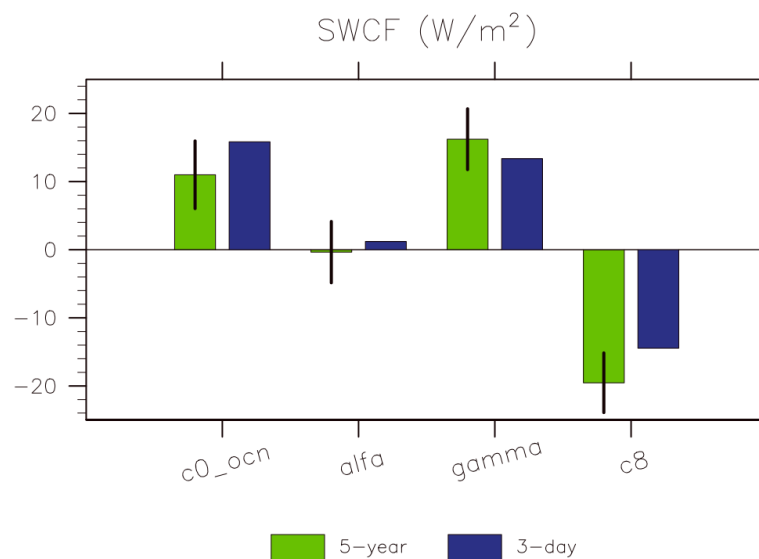


Trade ~10 parameters, **no added DoFs to CLUBB's tunable parameter set**

More "control" over momentum fluxes -> more interpretable from a process-oriented standpoint

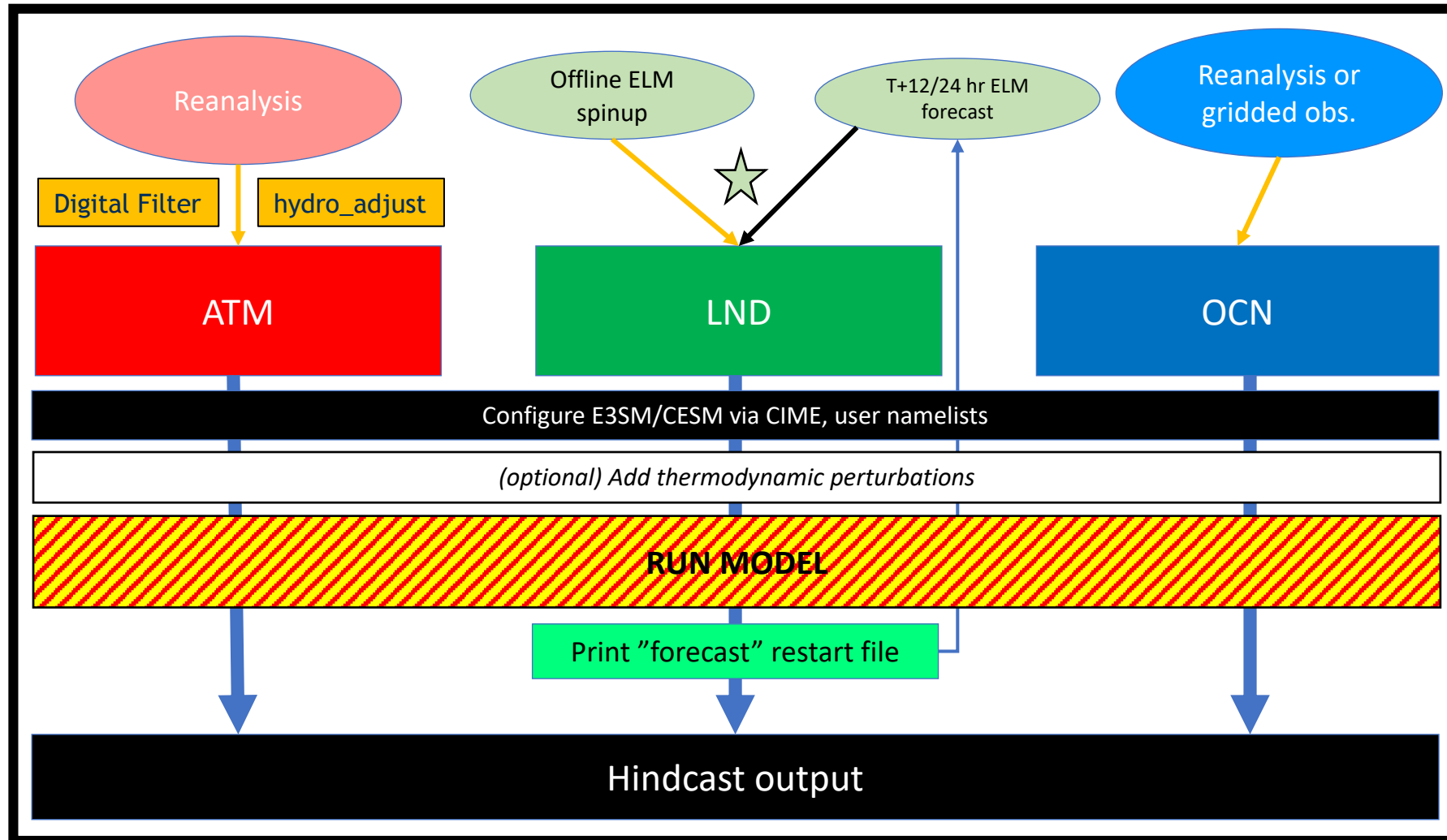
Using short-term simulations to predict long-term response

3-day run
5-year run



Betacast: hindcast support for CESM

<https://github.com/zarzycki/betacast>



The process?

Generate set (P) of parameter inputs and ranges

```

lubb_C_invrstau_bkgnd,0.1,5.0
clubb_C_invrstau_sfc,0.01,2.0
clubb_C_invrstau_shear,0.01,2.0
clubb_C_invrstau_N2,0.005,1.0
clubb_gamma_coef,0.1,0.9
clubb_gamma_coefb,0.1,0.9
clubb_c11,0.1,0.9
clubb_c11b,0.1,0.9
clubb_c8,2,8
clubb_beta,1.0,3.0
clubb_c_uu_shr,0,1
clubb_c_uu_buoy,0,1
clubb_c_invrstau_n2_clear_wp3,
clubb_c_invrstau_n2_wp2,0,1.2
clubb_c_invrstau_n2_xp2,0,0.7
clubb_c_invrstau_n2_wpxp,0,0.05
clubb_c_invrstau_wpxp_ri,0,1.0
clubb_altitude_threshold,25.0,1000.0
clubb_up2_sfc_coef,0,10
zmconv_tau,1800.,14400.
zmconv_ke,0.5e-6,10.0e-6
zmconv_c0_ocn,0.001,0.008
se_nu,0.20e15,1.0e15

```

P = 23

Generate LHS sample of size Z

```

# Do LHS calculations
sampler = qmc.LatinHypercube(d=num_vars)
#optimization="random-cd" added in 1.8.0
#sampler = qmc.LatinHypercube(d=num_vars, optimization="random-cd")
sample = sampler.random(n=num_samples)
scaled_sample = qmc.scale(sample, l_bounds, u_bounds)

```

Z = 1200

Resulting set of combinations (P lines, N columns)

```

2.235948e+00,1.554339e-02,1.952923e-01,9.122890e-01,2.279078e-01,5.576333e-01,
+02,4.783025e+00,5.193065e+03,4.999672e-06,4.165975e-03,3.956101e+14
3.534685e+00,1.608991e+00,5.220681e-01,9.069053e-01,4.120342e-01,3.703151e-01,
+02,5.741669e+00,3.907741e+03,7.819348e-06,5.576695e-03,9.961181e+14
1.705845e+00,3.213369e-01,1.386629e+00,4.559371e-01,8.768336e-01,4.258281e-01,
+02,5.133134e+00,1.380007e+04,9.195500e-06,7.161500e-03,7.955536e+14
2.072631e-01,1.503143e+00,2.491256e-02,4.590301e-01,1.559282e-01,2.093771e-01,
+02,2.995484e-01,2.404167e+03,2.502762e-06,5.110206e-03,7.337338e+14
1.130345e+00,9.305662e-01,1.616388e+00,9.387361e-02,2.904719e-01,7.207950e-01,
+02,1.640975e+00,5.032015e+03,7.666990e-06,7.470773e-03,7.911111e+14
1.679859e+00,1.175868e+00,9.201679e-01,9.885945e-01,8.134322e-01,8.043744e-01,
+02,5.218515e+00,1.051964e+04,8.461415e-06,3.343271e-03,6.808574e+14
9.343254e-01,4.103287e-01,8.032468e-01,1.136831e-01,4.711610e-01,6.300150e-01,
+02,9.459529e+00,3.936652e+03,6.452451e-06,6.152243e-03,7.255034e+14
2.281685e+00,1.522456e+00,5.030232e-02,8.805720e-01,7.752457e-01,2.150280e-01,
+02,4.948609e+00,3.441481e+03,9.873246e-06,7.043738e-03,4.584735e+14
@
"param_values.csv" 1200L, 358800B

```

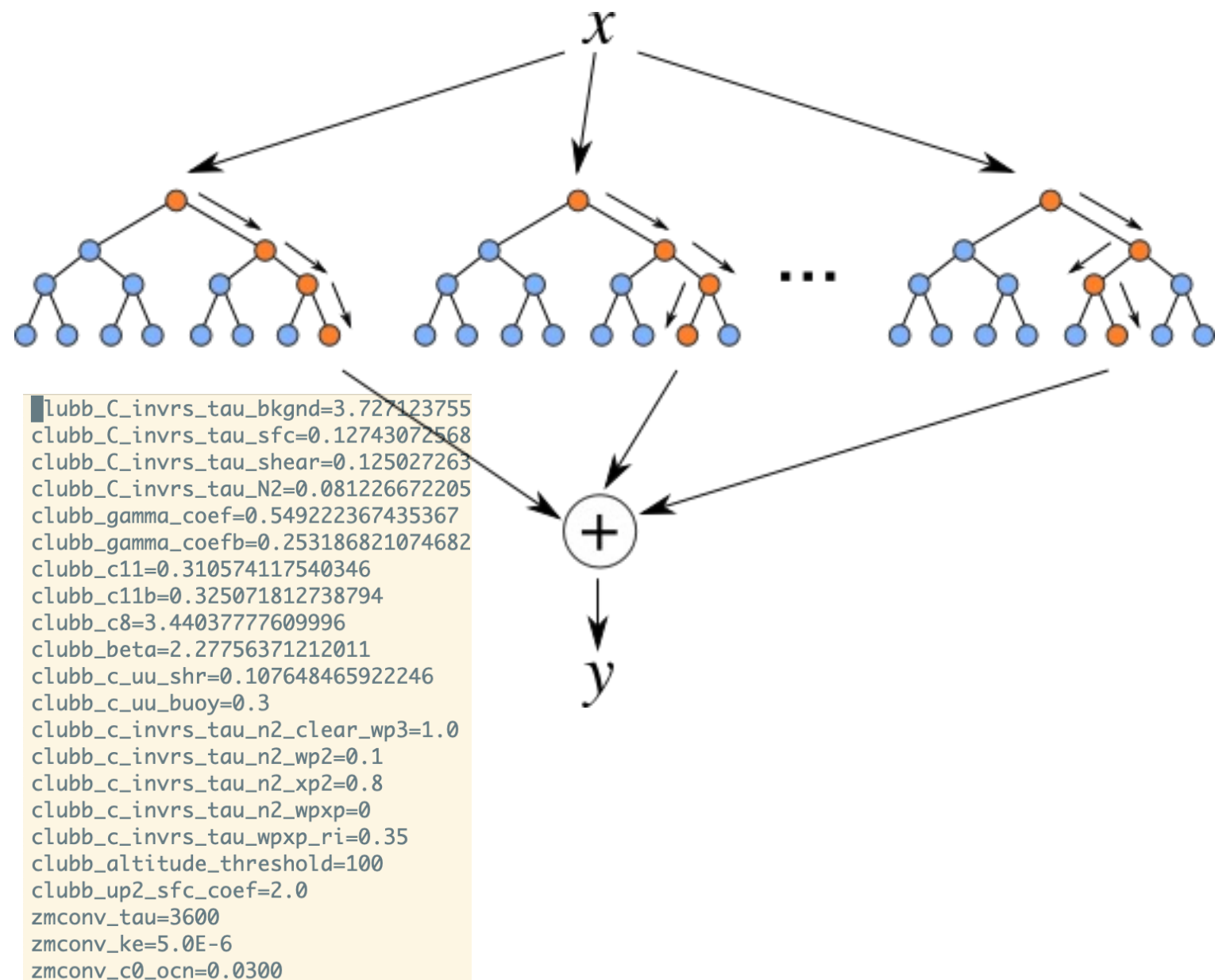
Each line is a single set of parameters to pass in via user_nl_cam

The mechanics?

- Pick N_{inits} (6, JMMJSN) that each run for D (3) days
- So we have $Z * N_{\text{inits}} = 7,200$ simulations to run
- Parallelize on Cheyenne, not so bad! ~3-4 "real" days if spread out across 20 jobs
- Average output fields +24-36 hours for climate metrics, compute anomaly relative to reference (i.e., benchmark)
 - Currently aggregating to 5x5 grid boxes, although more of a practicality
 - Results in 1200 "outputs" which are $nlat \times nlon$ array for each CAM variable (1200 x 2263 = 2.7M points)

The tool?

- Train output "vectors" (flattened 2-D arrays) using *random forest regressor*
- Model can be run with user editing a `user_nl_cam` (i.e., x vector)
- Model predicts *anomaly* vector relative to *reference*
- Reshape back to 2-D array for analysis!



Interpretable: what is important in making a prediction?

Qian et al., 2018

CLDLOW

PRECT

```
{'bootstrap': True, 'criterion': 'squared_loss', 'max_depth': 10, 'min_child_weight': 1, 'min_split_loss': 0.0, 'min_weight_fraction_leaf': 0.0, 'n_estimators': 100, 'oob_score': False, 'random_state': 0, 'split_method': 'random', 'subsample': 1.0, 'verbose': 0}
0.21145597135218605 ['clubb_C_invrs_tau_shear']
0.12463443474785157 ['clubb_c8']
0.12342257234142996 ['clubb_c_uu_shr']
0.07391954146511641 ['clubb_gamma_coef']
0.06919068386443027 ['clubb_c_invrs_tau_n2_xp2']
0.0577467637024121 ['clubb_c_invrs_tau_n2_wp2']
0.05464471274454084 ['clubb_up2_sfc_coef']
0.049369764776027704 ['clubb_c11b']
0.030048289208605573 ['clubb_c11']
0.02360788463659788 ['clubb_C_invrs_tau_N2']
0.022327059578247193 ['clubb_C_invrs_tau_sfc']
0.018762494092775136 ['clubb_gamma_coefb']
0.018036163351335226 ['clubb_C_invrs_tau_bkgnd']
0.016158214378506144 ['clubb_c_invrs_tau_wpxp_ri']
0.015510901361020353 ['zmconv_tau']
0.014994598952002312 ['zmconv_c0_ocn']
0.012494952361274148 ['clubb_altitude_threshold']
0.012151111111111111 ['se_nu']
0.010751111111111111 ['clubb_c_uu_buoy']
0.010331111111111111 ['']
0.010301111111111111 ['']
0.010121111111111111 ['se_nu']
0.009995213743247003 ['clubb_c_uu_buoy']
MAE is 0.023259240956448914
```

```
RF
{'bootstrap': True, 'criterion': 'squared_loss', 'max_depth': 10, 'min_child_weight': 1, 'min_split_loss': 0.0, 'min_weight_fraction_leaf': 0.0, 'n_estimators': 100, 'oob_score': False, 'random_state': 0, 'split_method': 'random', 'subsample': 1.0, 'verbose': 0}
0.3660887501511995 ['clubb_C_invrs_tau_shear']
0.23207146949825044 ['zmconv_tau']
0.10274271767942769 ['clubb_c_uu_shr']
0.03815391055362532 ['clubb_C_invrs_tau_shear']
0.031082624577777974 ['clubb_C_invrs_tau_shear']
0.019294462641779916 ['zmconv_ke']
0.018479361177184808 ['clubb_up2_sfc_coef']
0.017884228805207063 ['clubb_c8']
0.014472660114623509 ['clubb_altitude_threshold']
0.013667826436264269 ['clubb_C_invrs_tau_shear']
0.013630740292987121 ['clubb_gamma_coef']
0.012188004150956996 ['se_nu']
0.01162726859095599 ['clubb_c11b']
0.011555666832940022 ['clubb_c_invrs_tau_shear']
0.011453657677168197 ['clubb_c_invrs_tau_shear']
0.011386226102659524 ['clubb_gamma_coef']
0.011260959725820783 ['clubb_c_invrs_tau_shear']
0.010121111111111111 ['se_nu']
0.009707215903686292 ['clubb_c_invrs_tau_shear']
MAE is 0.2078194178048861
```

- Benefit of RF, training provides ranking of sensitivity
- See Kyle Nardi's talk later today

CLDLOW

PRECT

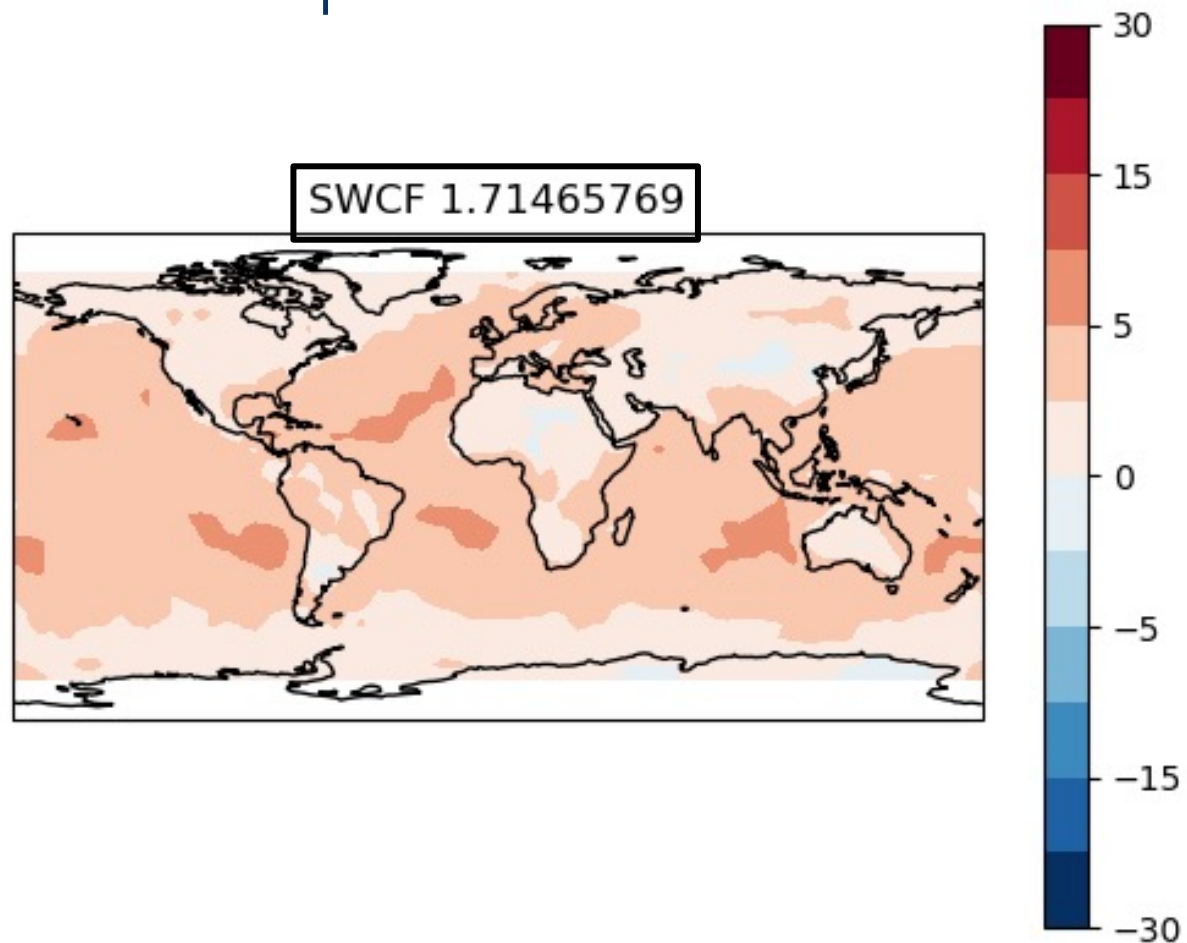
Reference -> perturbation



- Let's try changing a few things...
 - `clubb_C_invrs_tau_shear=0.1` (from 0.12)
 - `clubb_gamma_coef=0.5` (from 0.55)
 - `clubb_c8=2.8` (from 3.4)
 - `clubb_c_uu_shr=0.5` (from 0.1)
 - `clubb_c_invrs_tau_n2_wp2=0.05` (from 0.1)
 - `clubb_c_invrs_tau_n2_xp2=2.0` (from 0.8)
 - `clubb_altitude_threshold=20` (from 100)

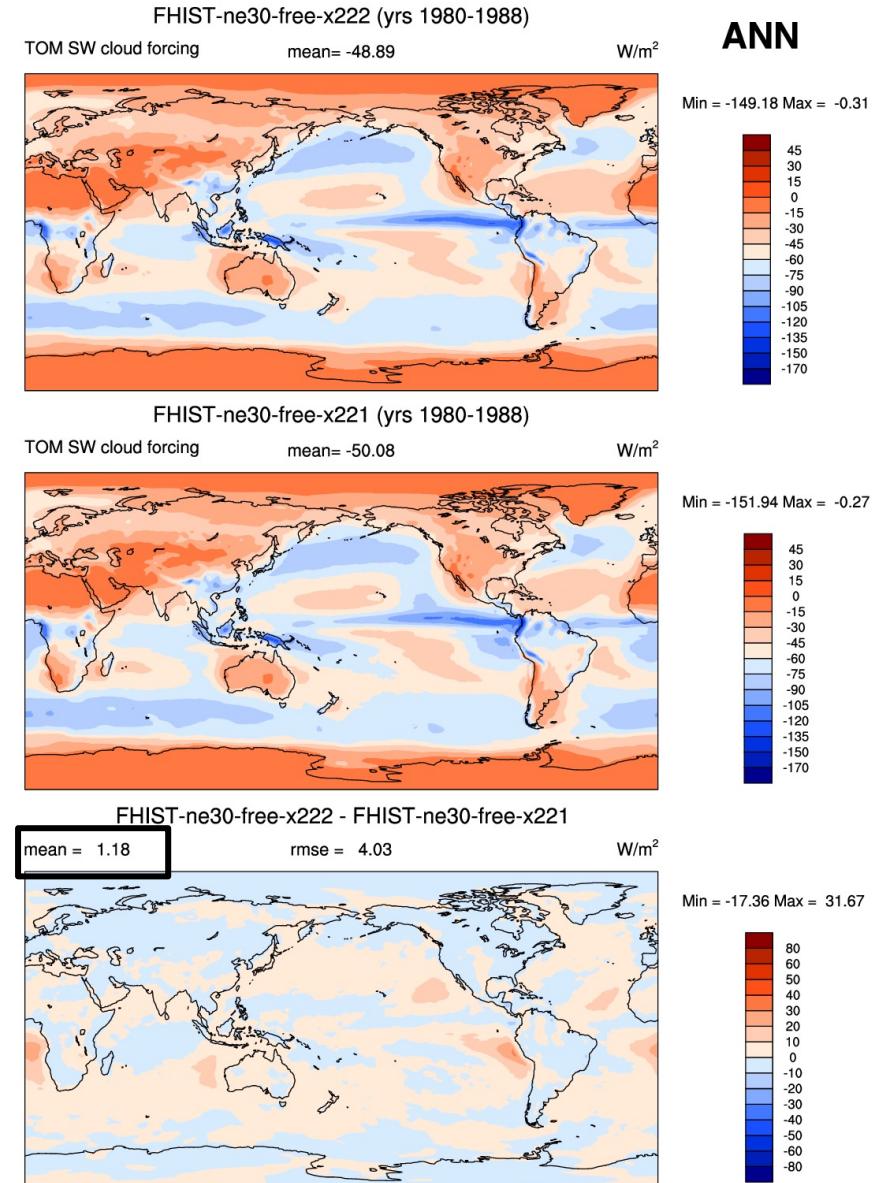
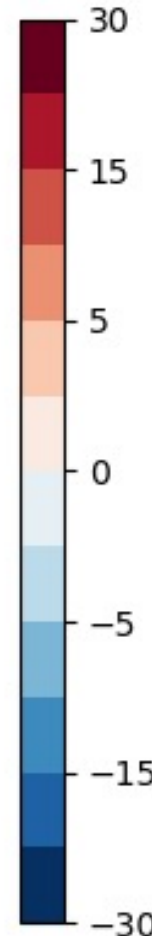
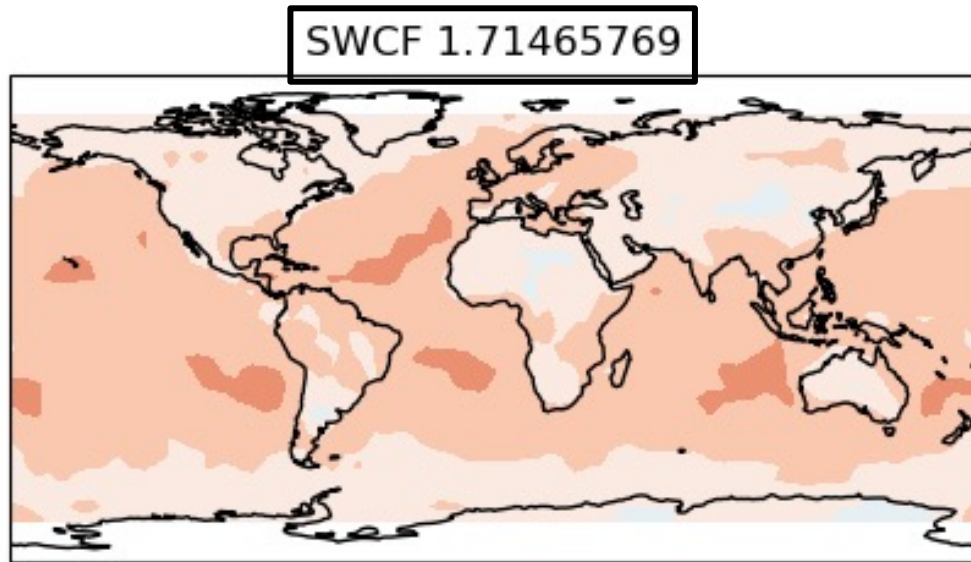
SWCF prediction

- Emulator predicts...



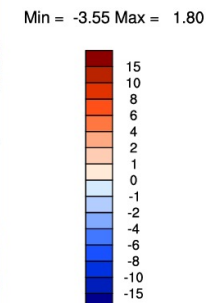
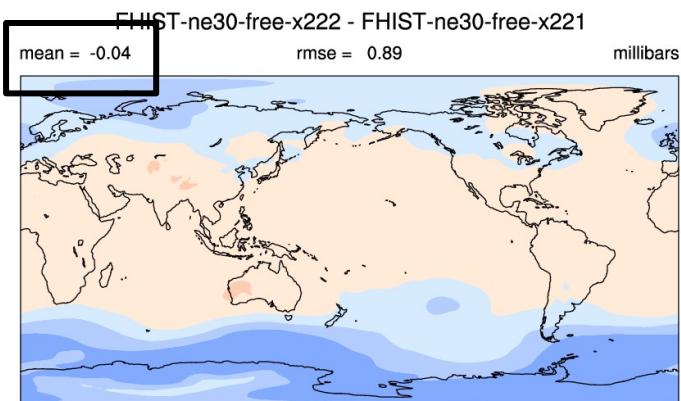
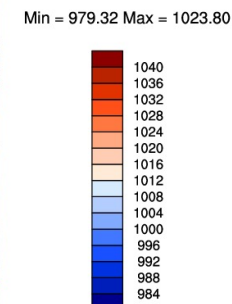
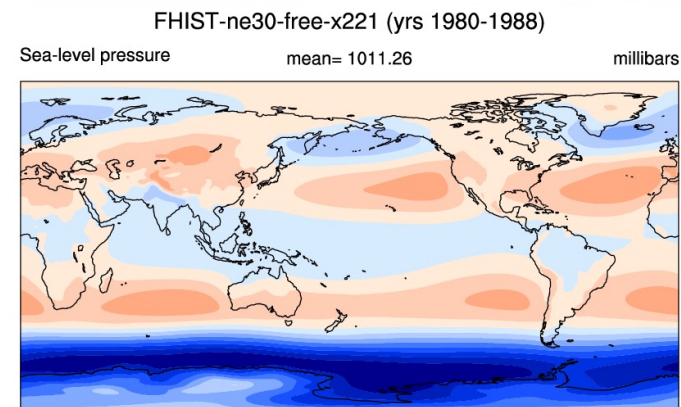
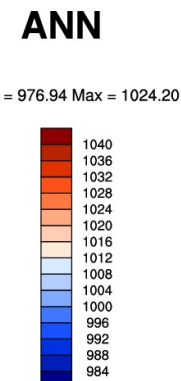
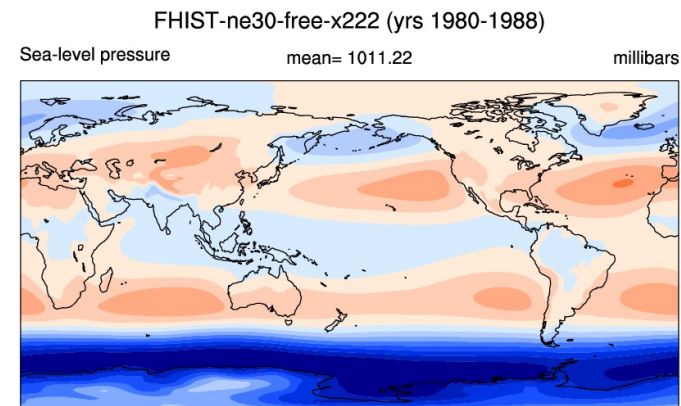
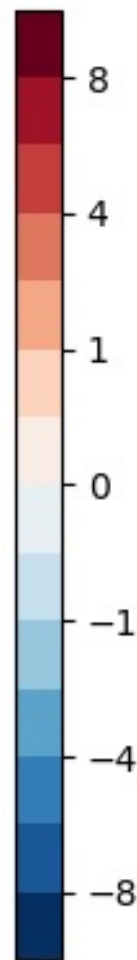
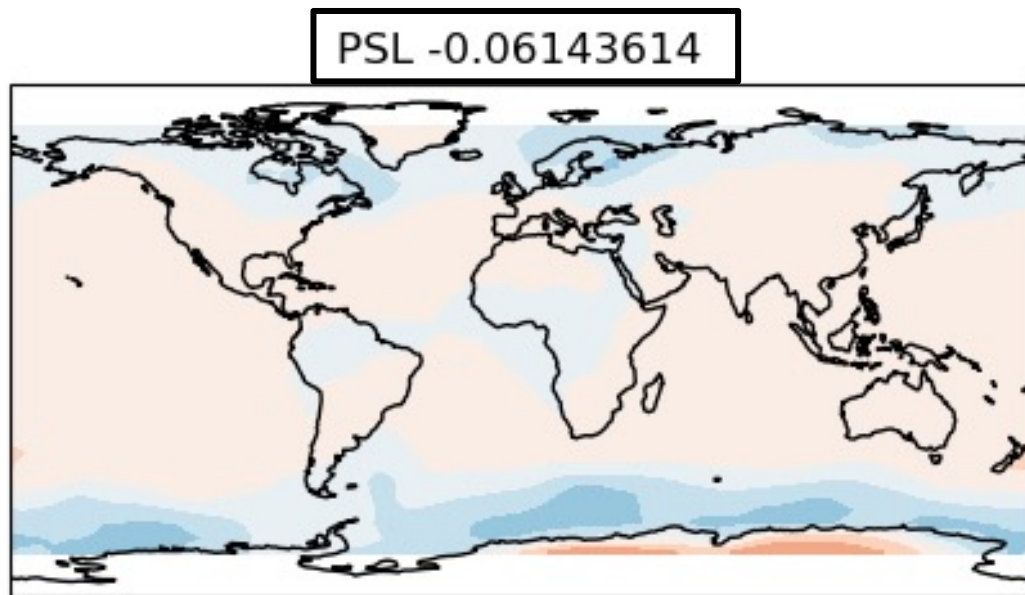
SWCF prediction

- Emulator predicts...



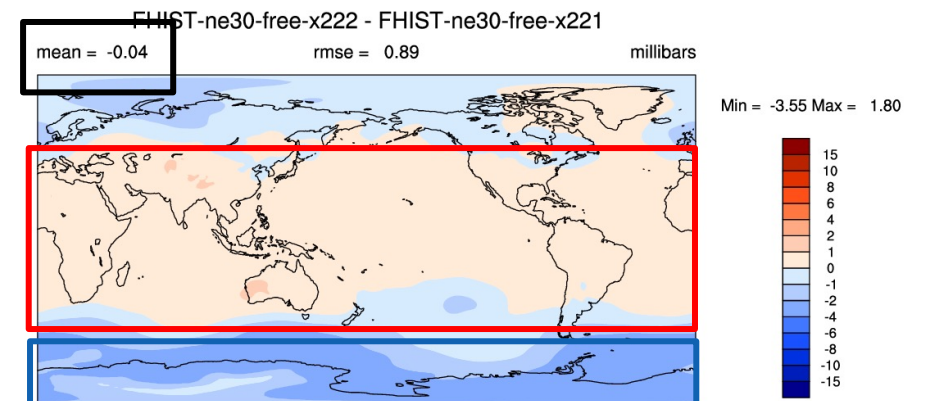
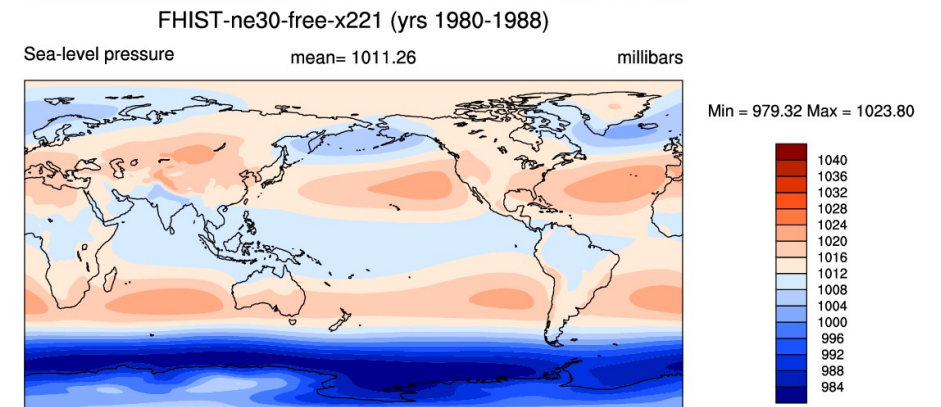
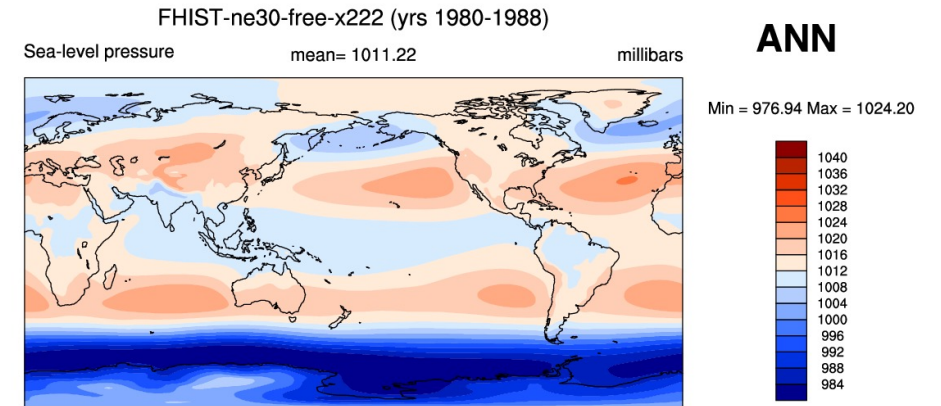
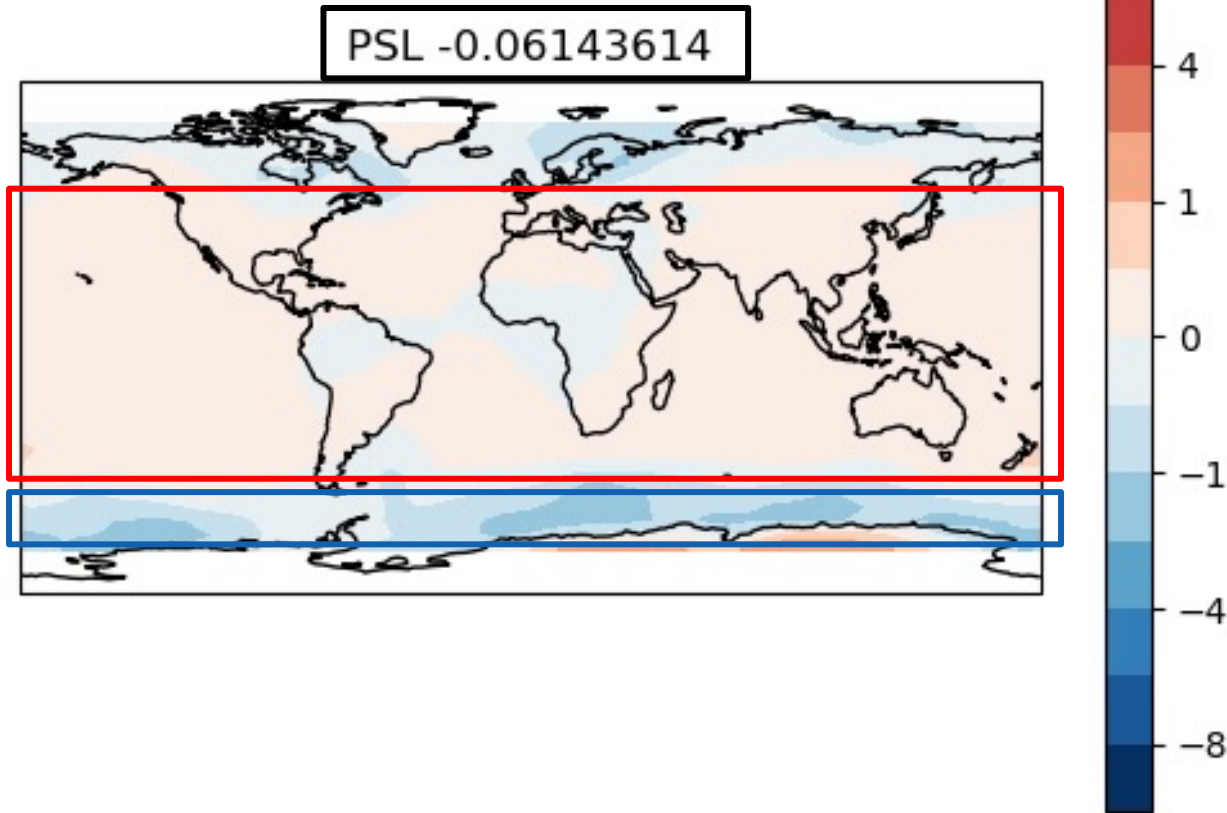
Note, color bars don't match!

PSL prediction



Note, color bars don't match!

PSL prediction



Note, color bars don't match!

Cost?



- Cost: we have $Z * N_{\text{inits}} * D$ days we have to integrate CAM for
 - Here, 21,600 days = ~60 year single member
 - Runs are small, help fill "economy" backfill!
- Outputs distilled into climatology files from CAM output O(1GB)
- Training for each var ~15 seconds on Macbook Pro (longer for XGBoost and NNs, but not otherworldly) → can be "pickled"
- Each "model" var takes ~8 seconds to run

Summary



- First blush?
 - Excellent for global mean *directional signal*!
 - Not bad for global mean *magnitude* (?)
 - Hint of regional information, but unclear (???)
 - Fast!
- Next steps:
 - Code cleanup / organization
 - Automatic optimization
 - Incorporate information about CLUBB booleans
 - New deck with expanded LHS using 6_3_091
- AMWG questions?
 - How to better leverage short runs for development + tuning?
 - Can this provide alternative pathways for physical interpretability?